
Non-ergodic dynamics induced by measurements

— Andrea De Luca —
INHOMOGENEOUS RANDOM SYSTEMS
29 January 2025



COLLABORATORS



Adam Nahum
(ENS)



Pierre Le Doussal
(ENS)

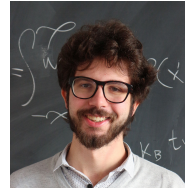


Tianci Zhou
(Virginia Tech)

arXiv:2312.17744



Chunxiao Liu
(Berkeley)



Guido Giachetti
(CYU \rightarrow LPENS)

Quantum Rep. 2024, 6(2), 200-230 (2401.00822)
arXiv:2501.00547



Federico Gerbino
(PhD - LPTMS)

OUTLINE

- ❑ Recap on thermalisation in many-body quantum systems
- ❑ Entanglement production:
 - membrane picture
 - random unitary circuits
- ❑ Monitored systems and measurement-induced phase transition
- ❑ Classically monitored systems
 - mapping to disordered systems
 - directed polymer solution
- ❑ Back to quantum
 - purification dynamics
 - random matrices
 - universality

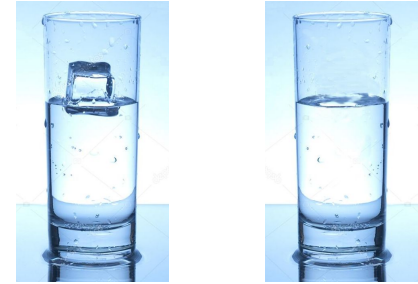
Out-of-equilibrium dynamics of isolated many-body quantum systems

Fundamental questions

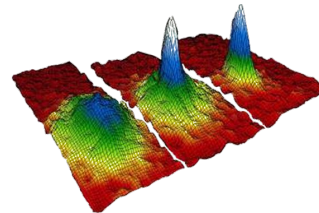
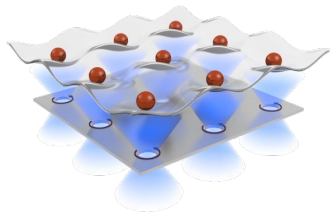
- How does a many-body system thermalise?
- Universality behind thermalization?
- Can thermalization be avoided?
- **New out-of-equilibrium phases?**

Experimental progress

cold atoms, trapped ions, etc. → fine-tuned interaction in isolated many-body quantum systems



Thermalization = loss of memory of initial conditions



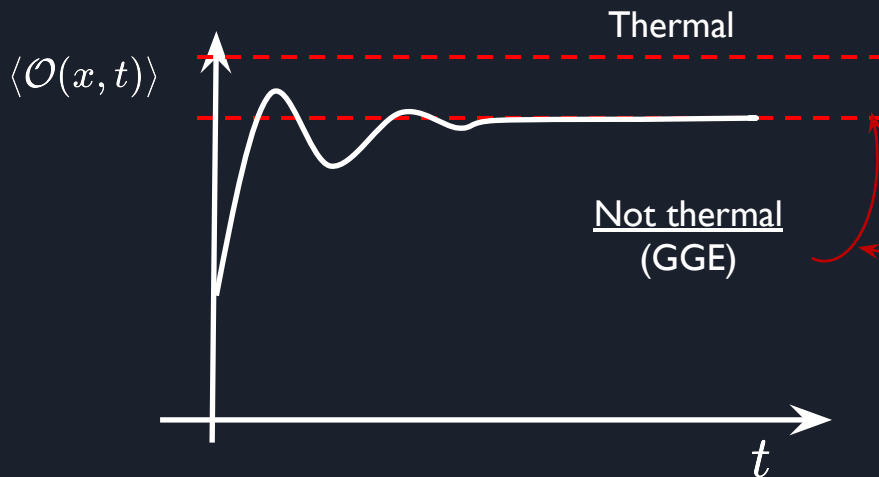
Sudden quantum quenches in homogeneous systems

1. Initial homogeneous high-energy state
2. Evolution with homogeneous H
3. Local relaxation to a steady state



CFT,
Calabrese, Cardy, '06

Emergent statistical description?



Fix by conserved
quantities

$$\hat{Q} = \int dx \hat{q}(x), \quad [\hat{H}, \hat{Q}] = 0$$

$$\langle \psi(t) | \hat{q}(x) | \psi(t) \rangle = \langle \psi(0) | \hat{q}(x) | \psi(0) \rangle$$

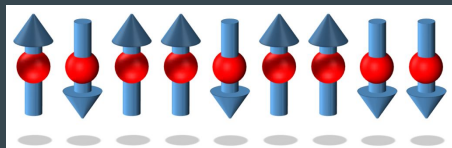
enforce conservation
of charges

$$\rho \propto e^{-\sum_j \beta_j Q_j}$$

Rigol *et al* '07

Role of Locality

1. Only way to observe relaxation is to use a smaller class of observables
2. A many-body system is extended in space

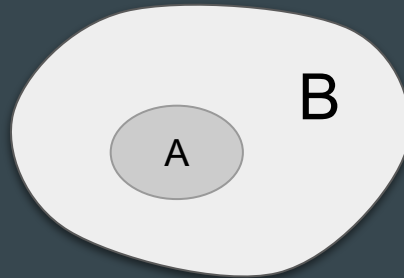


$$\mathcal{H} = \bigotimes_{i=1}^L \mathcal{H}_i$$

3. Can the system behave as its own “bath”?

Local observables (with support only in A) can relax:

$$\langle O(x) \rangle_{\infty} = \lim_{t \rightarrow \infty} \langle O(x, t) \rangle$$



Thermalisation and entanglement

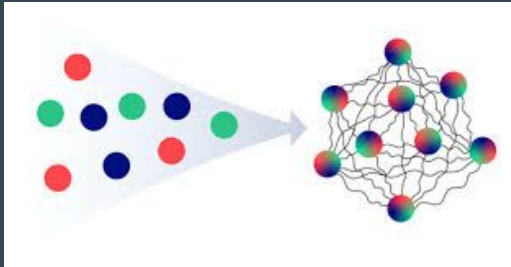
- Entanglement is a distinctive and unique feature of quantum mechanics

$$|\psi\rangle = \frac{1}{\sqrt{2}} (|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$$

- It implies information is partially lost when a portion of the system is discarded

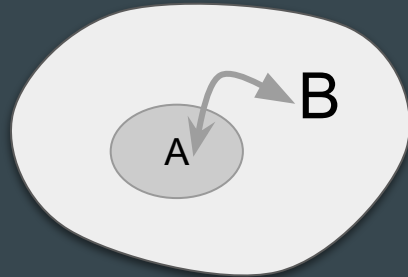
$$\rho = |\psi\rangle\langle\psi|, \quad \rho_A = \text{Tr}_B[\rho], \quad \text{Tr}[\rho_A^2] < 1$$

- Thermalization must produce a lot of entanglement



$$\rho_A \sim \frac{e^{-\beta H_A}}{Z}$$

$$\text{Tr}[\rho_A^2] \sim e^{-s_2 L_A}$$



WHAT HAS BEEN DONE

Standard methods do not apply: far from the groundstate, no small parameter



Numerical methods

- DMRG, exact diagonalization
- Restrictions: **small times,**
small sizes



Integrable models

- Bethe-Ansatz, free theories
- Restrictions: **fine-tuned,**
non-ergodic dynamics
- **standard ETH does not hold**

RANDOM CIRCUITS

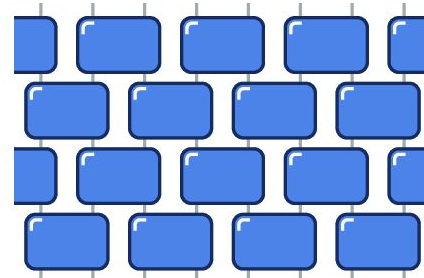
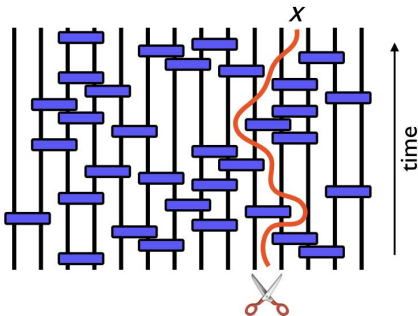
Hilbert space of
a single q-dit

$$\begin{array}{c} \gamma \quad \delta \\ \text{---} \quad \text{---} \\ | \quad | \\ \text{---} \quad \text{---} \\ \alpha \quad \beta \end{array} = U_{\alpha,\beta;\gamma,\delta}$$

gate acting on two sites

Recipe for building random circuits

- consider many q-dits
- choose a geometry to your liking
- make the q-dits interact with random gates (Haar distributed)

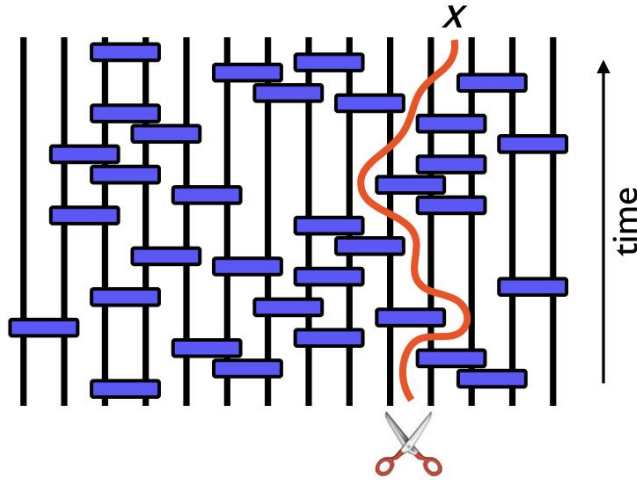


ENTANGLEMENT GROWTH & MINIMAL CUT

Renyi entropies: $S_n(x) = \frac{1}{1-n} \log(\text{Tr}[\rho_x^n])$

Von Neumann: $S_{VN}(x) = \lim_{n \rightarrow 1} S_n(x) = -\text{Tr}[\rho_x \log(\rho_x)]$

Hartley entropy: $S_0(x)$ Number of non-vanishing eigenvalues



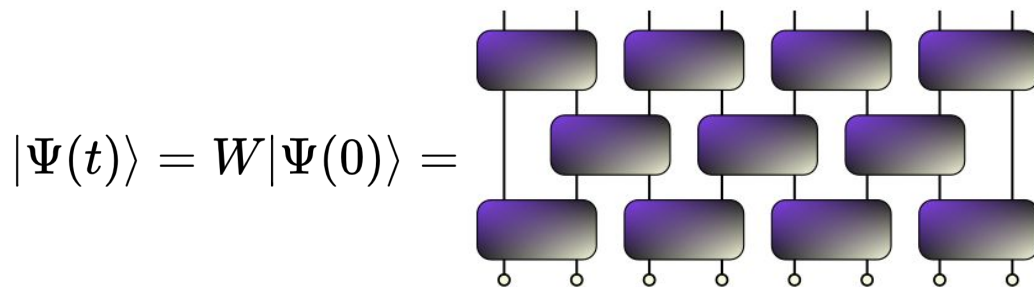
$S_0(x) \propto \text{length of the minimal cut} \sim t$

Skinner et al. PRX 7, 031016 (2017)

In general, entanglement entropies grow linearly with t

Random Unitary circuits

- Consider local degrees of freedom (e.g. spins)
- Evolve with local interactions

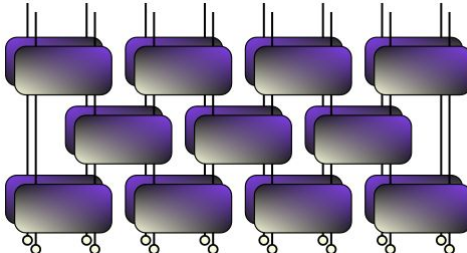


- Each gate is independently chosen as a (small) random unitary matrix

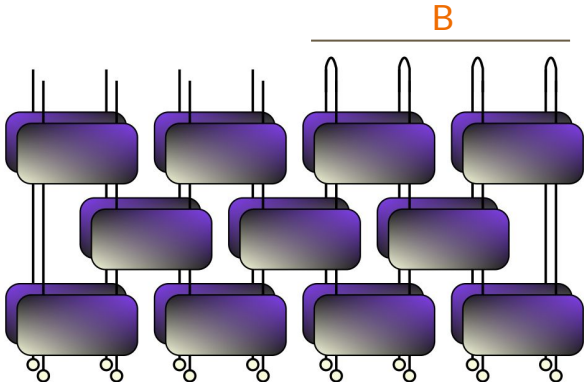
$$\begin{array}{c} \gamma \quad \delta \\ \text{[Gate]} \\ \alpha \quad \beta \end{array} = U_{\alpha,\beta;\gamma,\delta}$$

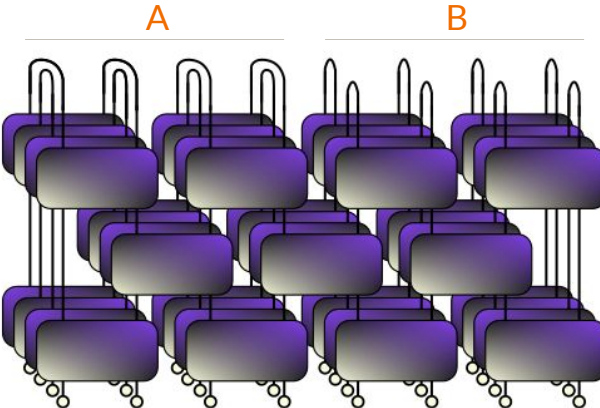
- No conserved quantity is present (not even the energy!)
- **Diagrammatic notation is advantageous**

Example: expressing the purity

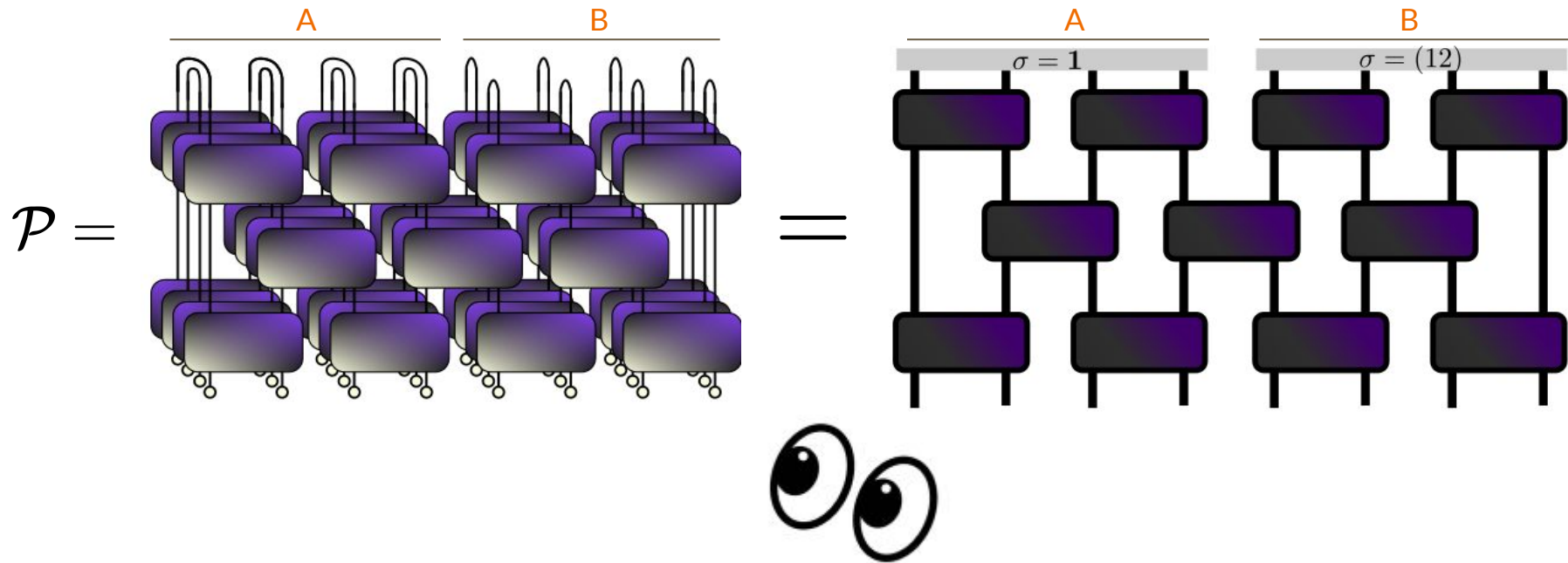
$$|\Psi(t)\rangle\langle\Psi(t)| =$$


$$\rho_A = \text{Tr}_B[|\Psi(t)\rangle\langle\Psi(t)|], \mathcal{P} = \text{Tr}[\rho_A^2]$$

$$\rho_A =$$


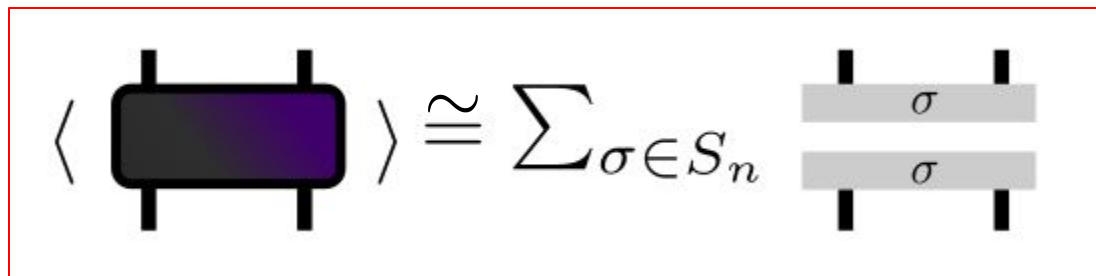
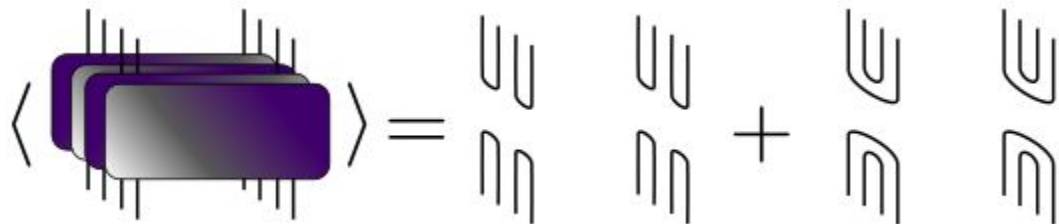
$$\mathcal{P} =$$


Purity as a classical partition function

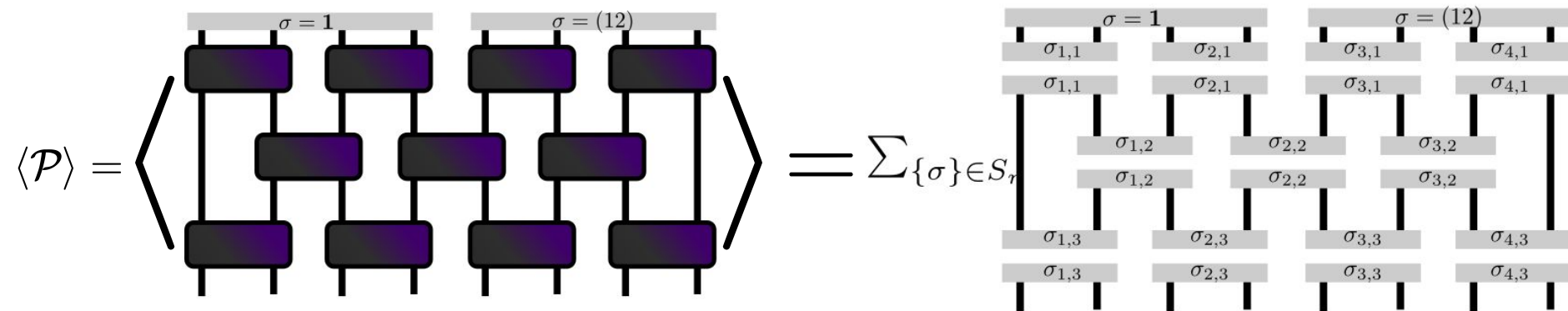


Diagrammatic average for random matrix

- average of large unitary matrices are done similarly

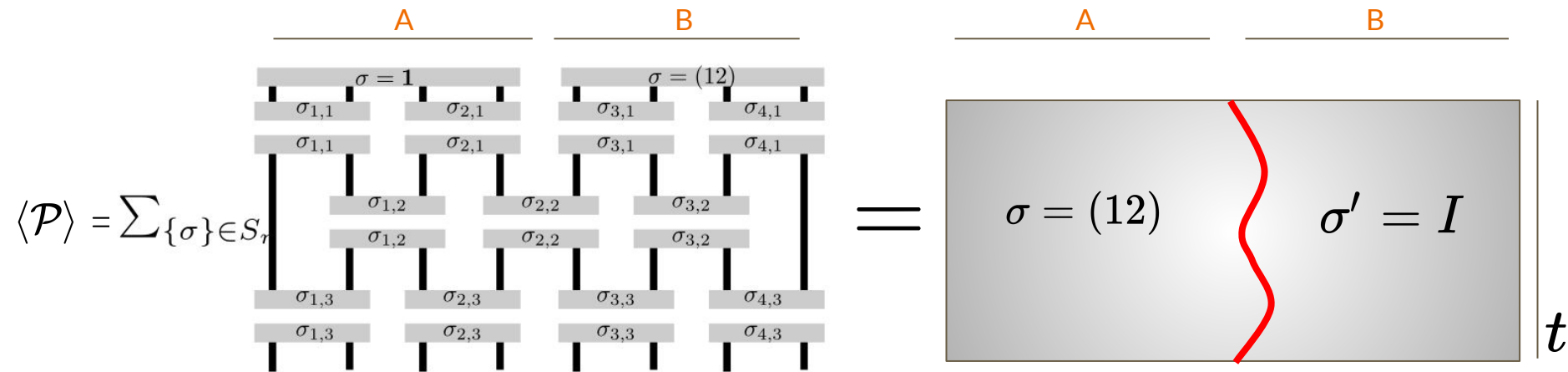


Average purity as a classical partition function



Classical partition function where local degrees of freedom range over permutations

Coarse-grained / membrane picture



T Zhou, A Nahum - PRX, 2020, A Nahum et al Phys. Rev. X 7,

$$\langle \mathcal{P} \rangle \sim e^{-s_2 t}, \quad \text{free energy of a membrane extended in time}$$

Beyond unitary dynamics: including measurements

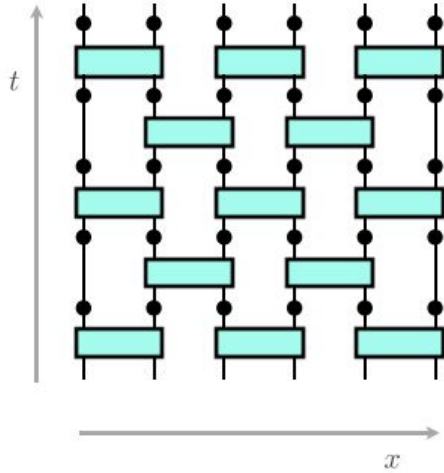


UNITARY

+



UNITARY DYNAMICS + MEASUREMENTS



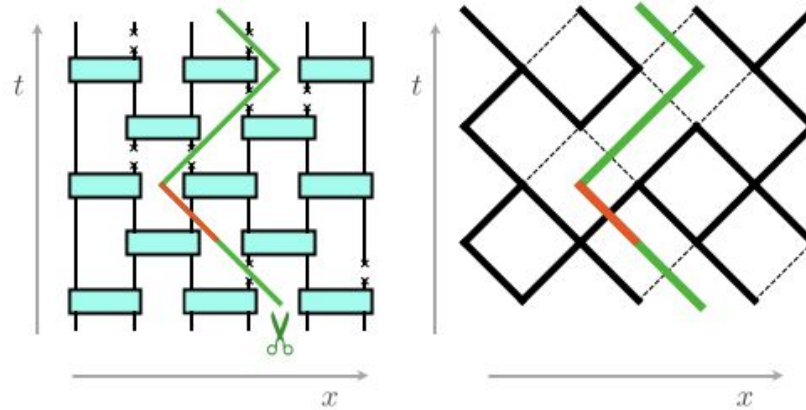
perform a projective measurement of S_z
with probability p

$$|\Psi_0\rangle \rightarrow |\Psi_t(\mathbf{a} = \text{positions} + \text{outcomes of measurements})\rangle$$

what is the entanglement of the resulting quantum state?

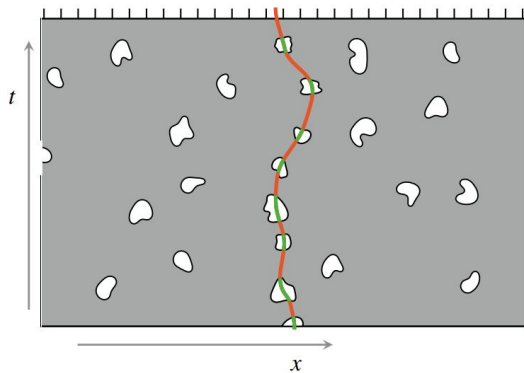
FROM MINIMAL CUT TO DIRECTED PERCOLATION

BONDS WHERE MEASUREMENTS OCCUR HAVE NO "COST" FOR THE PATH

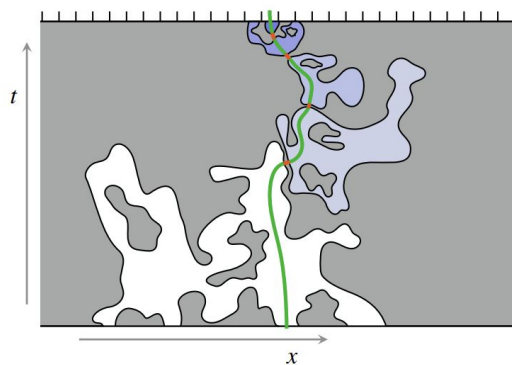


COARSE-GRAINED PICTURE

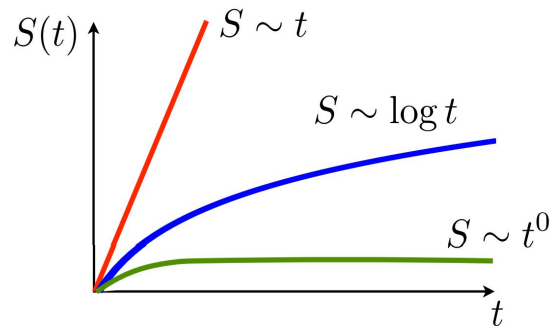
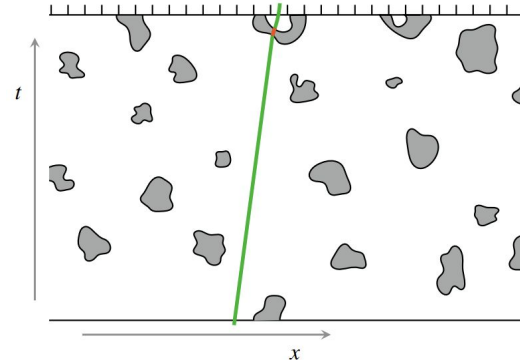
$$p < p_c$$



$$p = p_c$$



$$p = p_c$$



MEASUREMENT-INDUCED PHASE TRANSITIONS (MIPT)

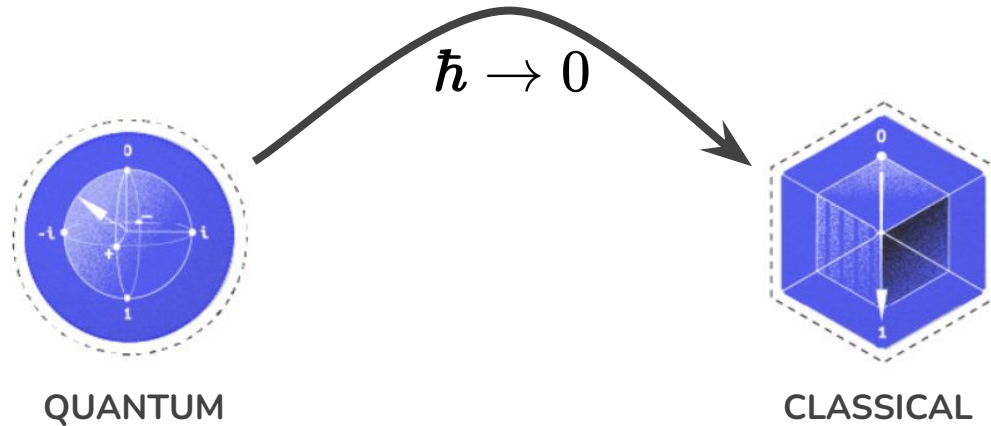
volume law
entangled phase

p_c

area law
disentangled phase

- Hartley entropy $S_0 / q \rightarrow \infty$: MIPT = classical directed percolation, **NOT TRUE IN GENERAL**
- Essentially impossible to observe (post-selection)
- Simulatable vs non-simulatable phase
- Hard to study analytically in general
 - it requires strong interactions (absent in non-interacting theories)
 - intrinsically stochastic

Spinoff: Explore the classical analogous of MIPT



Classical Markov chain

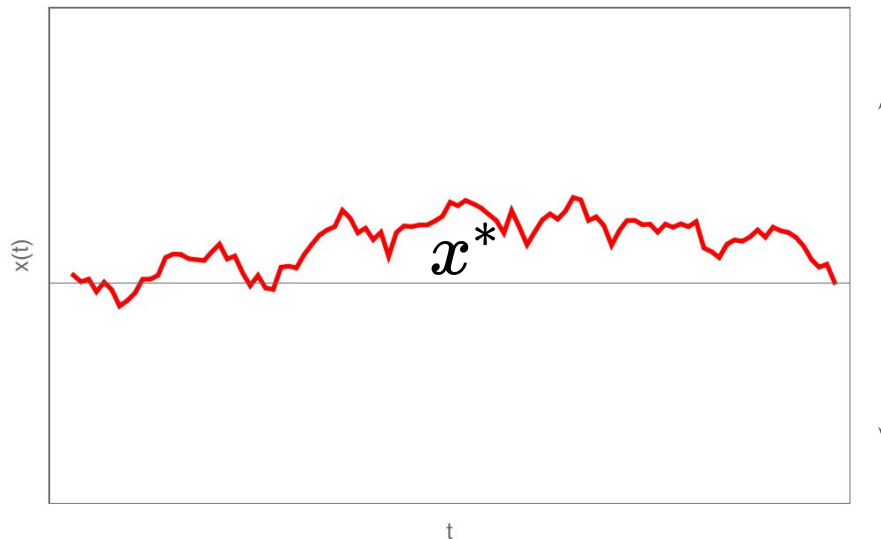
- system following stochastic dynamics

$$dx = f(x)dt + g(x)dW$$

- an observer tries to locate x

$$\text{Fokker-Planck} \rightarrow p(x)$$

e.g. random walk



Shannon entropy: $S[p] = - \int dx p(x, t) \log p(x, t)$

uncertainty grows with time

Example: directed random walk on a tree

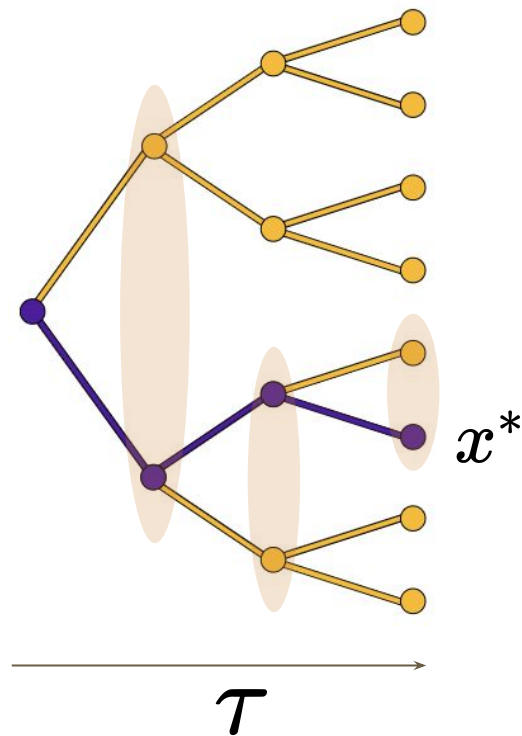
x^* = a random path on the tree

Motivation

- solvability
- interpretation as Lyapunov exponent of chaotic system

arXiv: 2501.00547

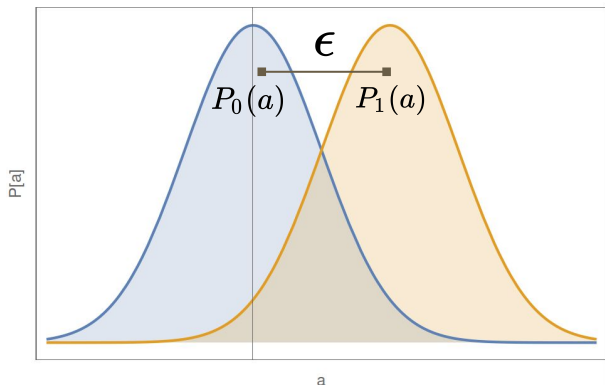
see also: SWP Kim, A Lamacraft (2404.07263)



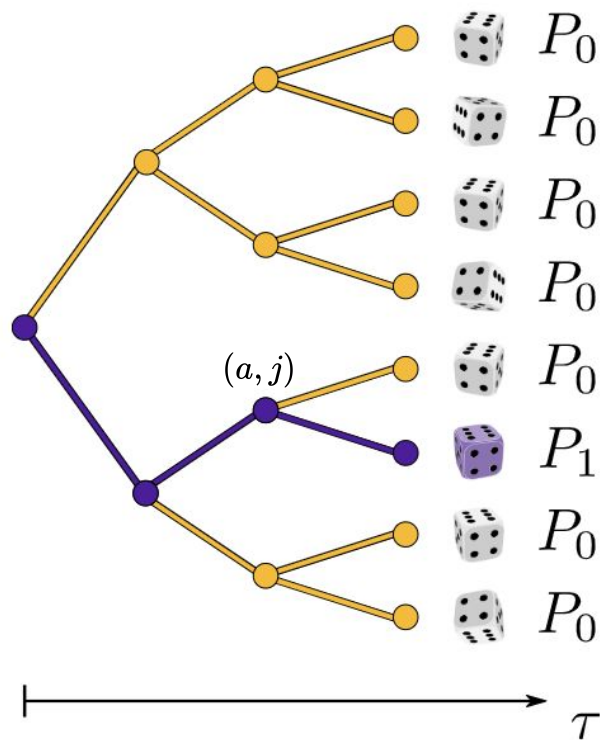
Mitigate uncertainty with measurements

- an observer performs measurements on each state at each time

$$a_j \sim \text{Prob}(a_j | x) = \begin{cases} P_0(a_j) & j \text{ is empty} \\ P_1(a_j) & j \text{ is full} \end{cases}$$



ϵ = signal to noise ratio



Mitigate uncertainty with measurements

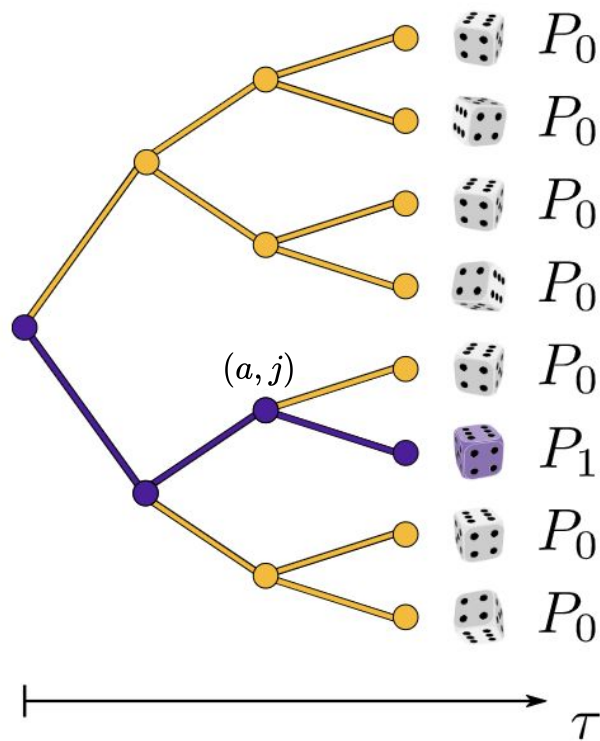
- an observer performs measurements on each state at each time

$$a_j \sim \text{Prob}(a_j | \mathbf{x}) = \begin{cases} P_0(a_j) & j \text{ is empty} \\ P_1(a_j) & j \text{ is full} \end{cases}$$

- use measurements to reconstruct the distribution (Bayes's theorem)

$$P(\mathbf{x} | \mathbf{a}) \propto \frac{\text{Prob}(\mathbf{a} | \mathbf{x})}{\sum_{\mathbf{x}'} \text{Prob}(\mathbf{a} | \mathbf{x}')}$$

$$P(\mathbf{a}) = P(\mathbf{a} | \mathbf{x}^*)$$



Mapping to directed polymer on the Cayley tree

- disorder on each node chosen according

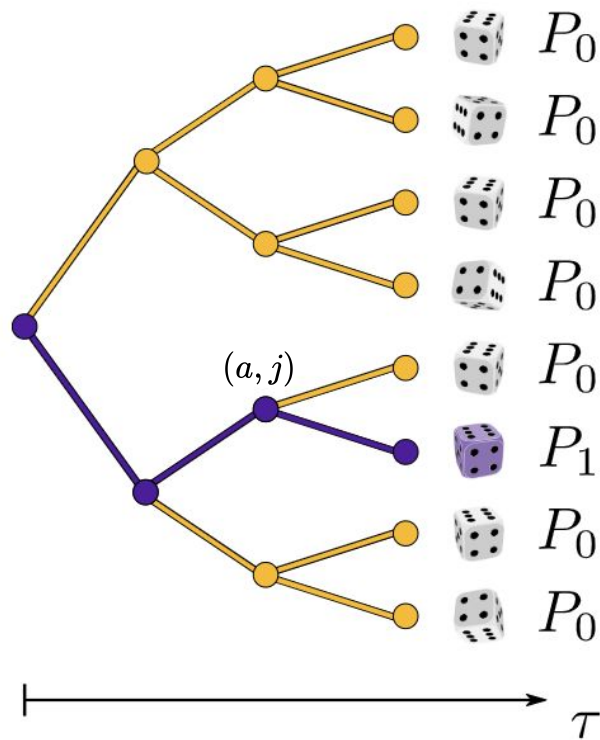
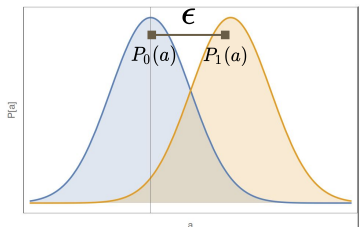
$$a_j \sim P_0(a_j)$$

- Boltzmann weight of a given path

$$z_x = \prod_{j \in x} \frac{P_1(a_j)}{P_0(a_j)}$$

$\epsilon \gg 1 \Rightarrow$ strong disorder / low temperature phase

$\epsilon \ll 1 \Rightarrow$ weak disorder / high temperature phase

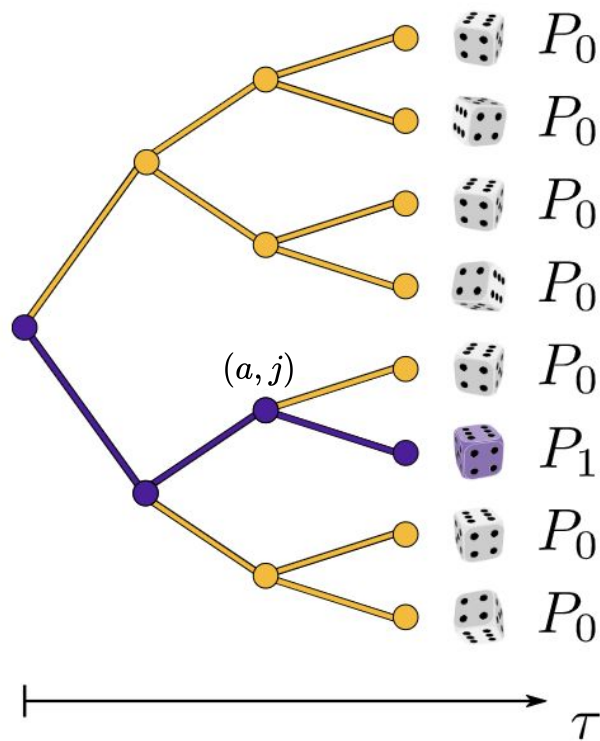
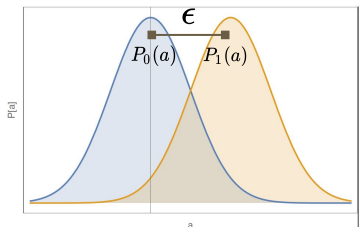


Mapping to directed polymer on the Cayley tree

INFERENCE FROM A TIME SERIES

$\epsilon \gg 1 \Rightarrow$ strong disorder / low temperature phase

$\epsilon \ll 1 \Rightarrow$ weak disorder / high temperature phase



How to estimate whether reconstruction is possible?

$p(x) = \text{inferred probability} = \text{Prob}(x|\mathbf{a})$

$\sum_x p(x) = 1 \Leftarrow \text{normalisation}$

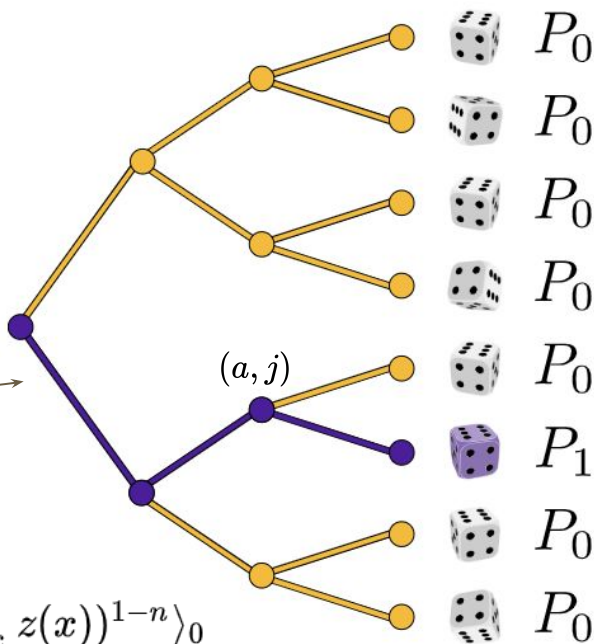
narrow distribution implies measurements are effective

$$\langle F[p(x)] \rangle \equiv \left\langle F \left[\frac{z(x)}{\sum_{x'} z(x')} \right] \left(\sum_{x^*} z(x^*) \right) \right\rangle_0$$

reference trajectory

e.g. participation ratio $\langle \sum_x p(x)^n \rangle = \langle \sum_x z(x)^n (\sum_x z(x))^{1-n} \rangle_0$

unusual replica limit compared to standard disordered systems



Few words about directed polymer on the tree

$$Z := \sum_{x \in \text{path}} Z(x) = \sum_{x \in \text{path}} \prod_{j \in x} \frac{P_1(a_j)}{P_0(a_j)} = \sum_{x \in \text{path}} \prod_{j \in x} B(a_j)$$

Derrida&Spohn: $G_t(y) = \langle e^{-e^{-y} Z_t} \rangle_0$ + recursive relation

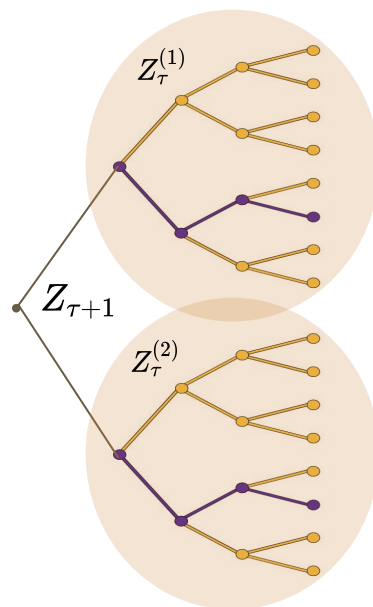
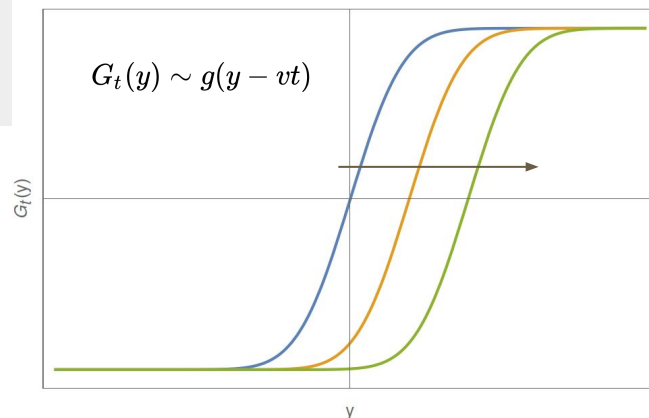
$$G_{\tau+1}(y) = \left\langle G_\tau(y - \ln B(a))^K \right\rangle_0.$$

reaction-diffusion equation morally like KPP

$$\partial_t H = D \partial_x^2 H + \lambda H(1 - H)$$

$$H = 1 - G$$

$$\log Z \sim vt$$



Shannon entropy of the estimated distribution

Shannon entropy: $S[p] = - \int dx p(x, t) \log p(x, t) \quad \Leftarrow \quad F[p] := -p \log p$

$$\langle S[p] \rangle = \int dy e^y (G_t(y) - G_0(y))$$

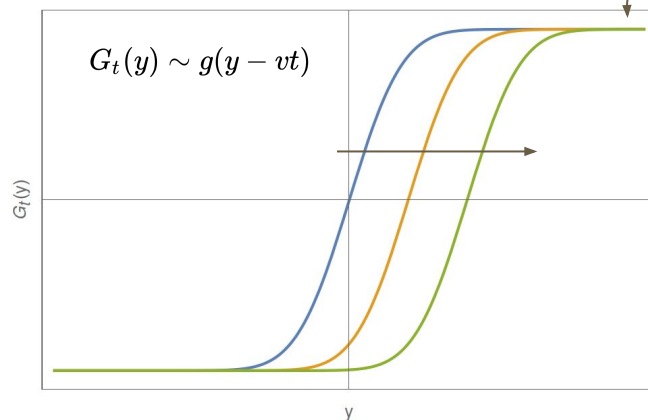
$$G_t(y) \stackrel{y \rightarrow \infty}{\sim} 1 - e^{-y} + O(e^{-2y})$$

Morally " $Z \log Z$ " instead of " $\log Z$ "
behavior of entropy controlled by faster-than-front

Regime of atypical events:

$$u_t(y) = e^y (1 - G_t(y))$$

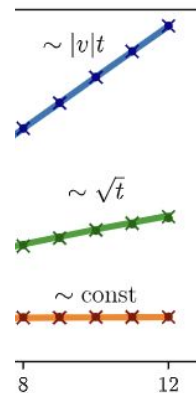
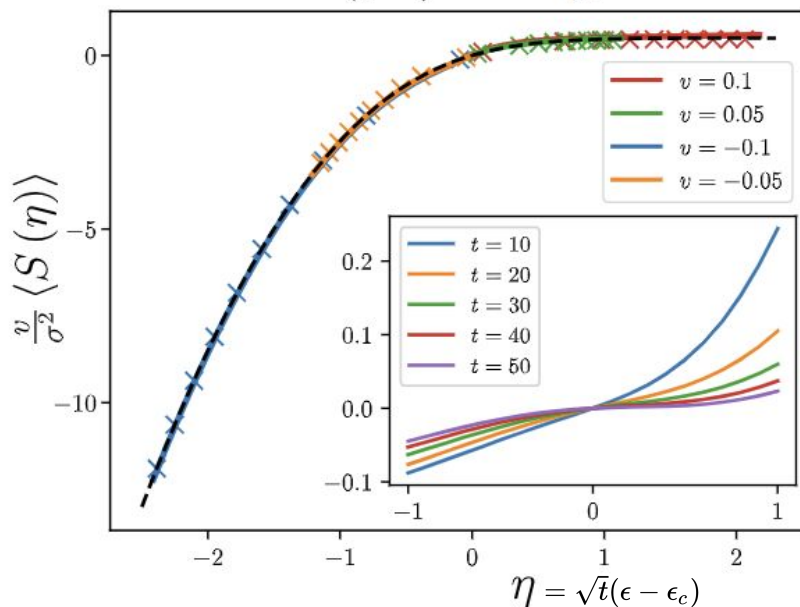
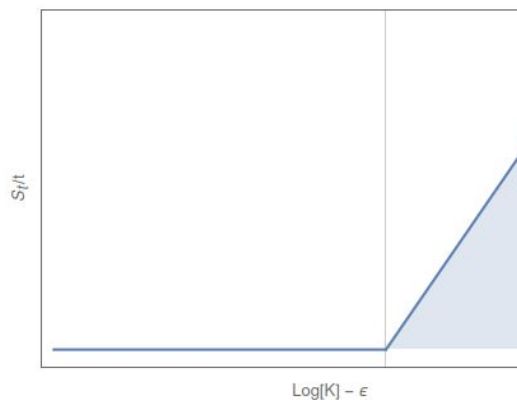
KPP reduces to diffusion in the presence of a hard wall



Phase transition in the rate of entropy production

Shannon entropy: $S[p] = - \int dx p(x, t) \log p(x, t) \iff F[p] := -p \log p$

$$S(\eta) = \left(\frac{1}{2} + \eta^2\right) \operatorname{erf} \eta - \eta^2 + \frac{\eta}{\sqrt{\pi}} e^{-\eta^2}.$$

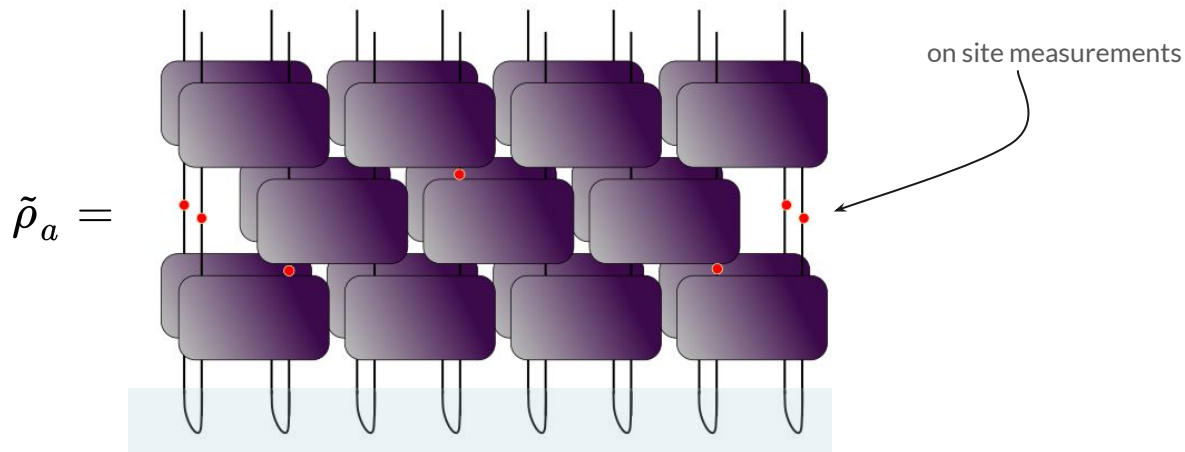


back to quantum

$$\hbar \neq 0$$

FROM BAYES TO BORN'S RULE

$p(x|a) \longrightarrow \rho_a$, density matrix



tunable parameter: probability of measuring each site p

Normalisation + Born's rule: $\rho_a = \frac{\tilde{\rho}_a}{\text{Tr}[\tilde{\rho}_a]}$ with probability $\text{Tr}[\tilde{\rho}_a]$

REPLICA TRICK

Normalisation + Born's rule: $\rho_a = \frac{\tilde{\rho}_a}{\text{Tr}[\tilde{\rho}_a]}$ with probability $\text{Tr}[\tilde{\rho}_a]$

$$\langle F[\rho_{\mathbf{a}}] \rangle_{\text{meas}} = \left\langle F \left[\frac{\tilde{\rho}_{\mathbf{a}}}{\text{Tr}[\tilde{\rho}_{\mathbf{a}}]} \right] \text{Tr}[\tilde{\rho}_{\mathbf{a}}] \right\rangle_{\text{unbiased}}$$

Computation of the purity

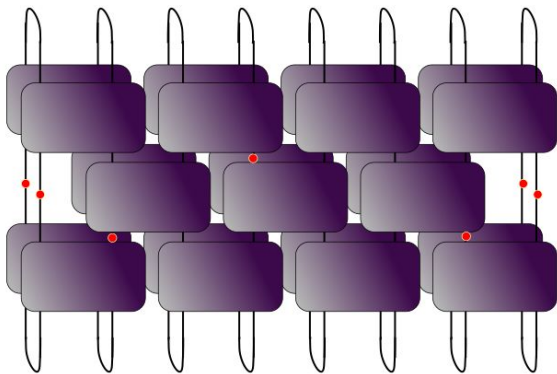
$$\text{e.g. } F[\rho] = \text{Tr}[\rho^2]$$

$$\langle \text{Tr}[\rho_{\mathbf{a}}^2] \rangle_{\text{meas}} = \lim_{N \rightarrow 1} \langle \text{Tr}[\tilde{\rho}_{\mathbf{a}}^2] \text{Tr}[\tilde{\rho}_{\mathbf{a}}]^{N-2} \rangle_{\text{unbiased}}$$

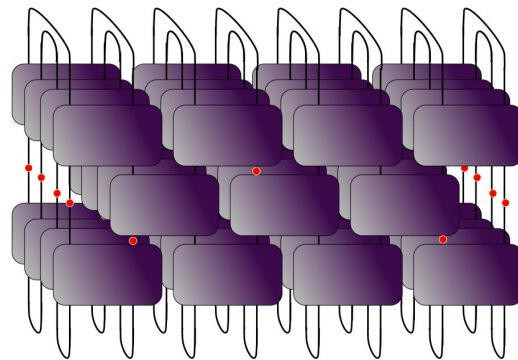
again unusual replica limit

DIAGRAMS AND PERMUTATIONS

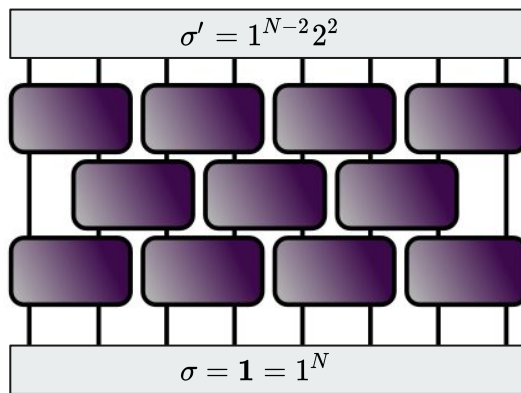
$$\text{Tr}[\tilde{\rho}_a] =$$



$$\text{Tr}[\tilde{\rho}_a^2] =$$



$$\lim_{N \rightarrow 1} \text{Tr}[\tilde{\rho}_a^2] \text{Tr}[\tilde{\rho}_a]^{N-2} =$$



COMPETITION BETWEEN ORDER / DISORDER

p_c

UNITARY DYNAMICS DOMINATES

$$\begin{aligned} & \text{Tr}[\rho^{a_1}] \text{Tr}[\rho^{a_2}] \text{Tr}[\rho^{a_3}] \dots \\ & = \text{Tr}[\Omega \rho^{\otimes n}] \end{aligned}$$

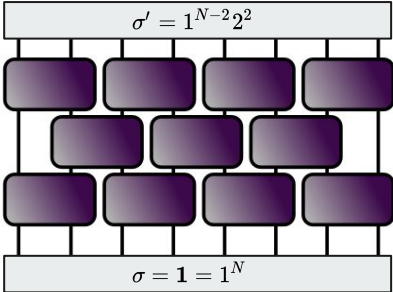
EXACTLY PRESERVED IN TIME
(TENDENCY TO ORDER)

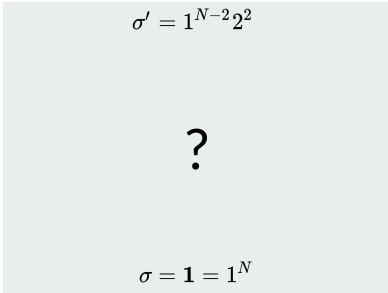
MEASUREMENTS DOMINATE

$$\text{Tr}[\rho^a] \rightarrow 1$$

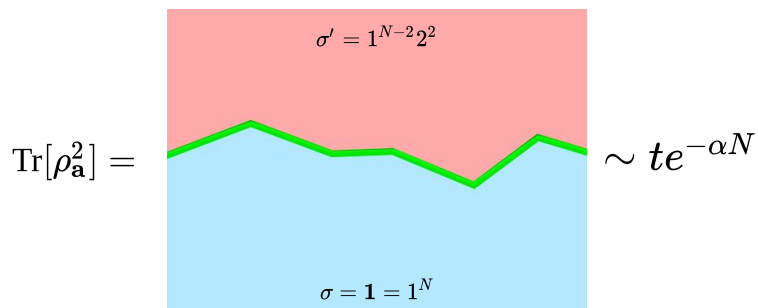
DYNAMICS PURIFIES EVERY
STATE
(TENDENCY TO DISORDER)

PURIFICATION TIME AND SYMMETRY BREAKING

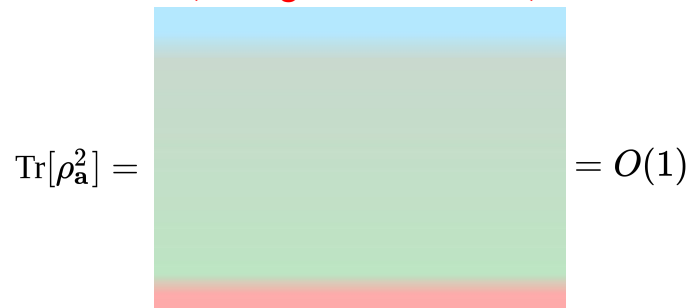
$$\text{Tr}[\rho_{\mathbf{a}}^2] \equiv \lim_{N \rightarrow 1} \text{Tr}[\tilde{\rho}_{\mathbf{a}}^2] \text{Tr}[\tilde{\rho}_{\mathbf{a}}]^{N-2} =$$


$$=$$


SYMMETRY BROKEN PHASE
(weak measurements)



UNBROKEN PHASE
(strong measurements)



PURIFICATION TIME

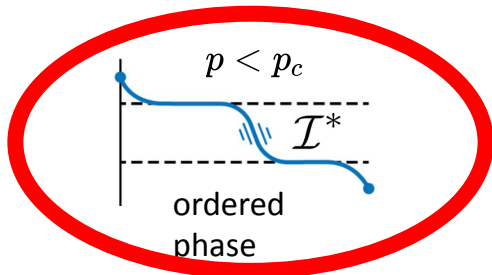
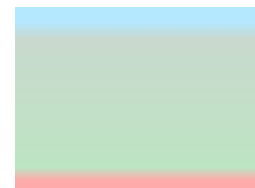
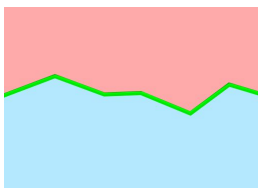
volume law
entangled phase

p_c

area law
disentangled phase

Prepare the system in the fully mixed state

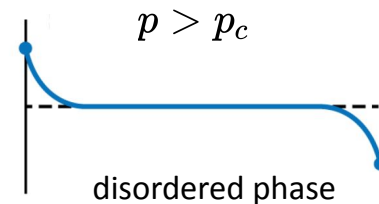
$$\rho(t=0) = \mathbf{I}/2^N$$



State eventually becomes pure

$$S[\rho] \stackrel{t \rightarrow 0}{\sim} N \log 2, \quad S[\rho] \stackrel{t \rightarrow \infty}{\rightarrow} 0$$

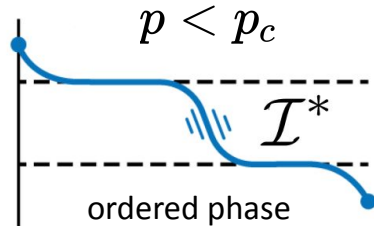
$$\tau_P(p) = ?$$



$$\tau_p(p < p_c) \propto e^{NI}$$

$$\tau_p(p > p_c) = O(1)$$

SLOW PURIFICATION → RMT

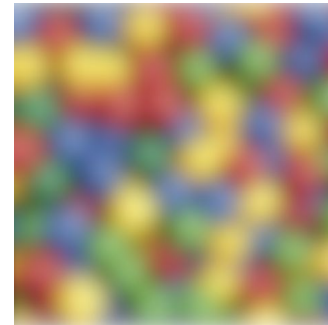
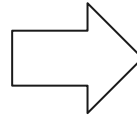


$$\Pi \sim te^{-LI^*} = t/\tau_P$$

Purification is so slow that we can treat the system as a single dot



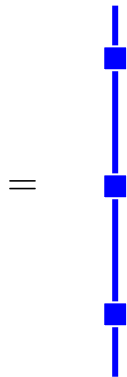
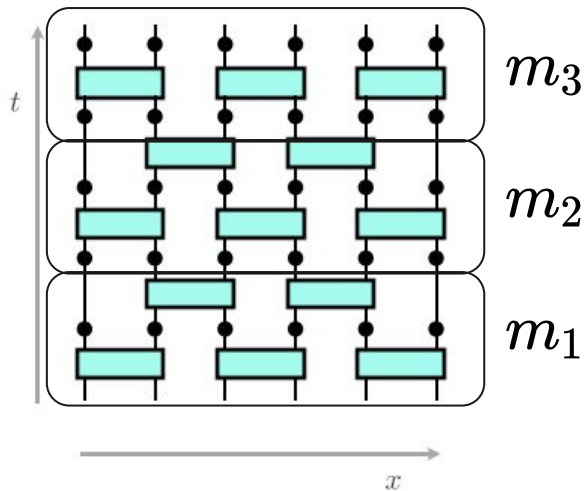
$$t/\tau_P = x$$



remove spatial structure:
effective model in
0+1 dimension

[...] there must come a point where such analyses of individuals level cannot usefully go [...] F. Dyson

SLOW PURIFICATION \rightarrow RMT



$$\tilde{\rho}_{\mathbf{a}} = m_T \dots m_2 m_1 m_1^\dagger m_2^\dagger \dots m_T^\dagger$$

$$m_i = \begin{pmatrix} x_{11} & x_{12} & \dots \\ \vdots & \ddots & \end{pmatrix} \sim 2^L \times 2^L$$

Gaussian matrices for simplicity – **Ginibre ensemble**
(Not too important as long as rotational invariant)

Continuous time version also possible (see F Gerbino, P Le Doussal, G Giachetti, ADL - Quantum Reports, 2024)

REPHRASING OF THE PROBLEM WITHIN RMT

$$\tilde{\rho}_{\mathbf{a}} = m_T \dots m_2 m_1 m_1^\dagger m_2^\dagger \dots m_T^\dagger = MM^\dagger, \quad M = m_T \dots m_2 m_1$$


- distribution of singular values of a product of many random matrices
- scaling limit where: matrices are large and many matrices are multiplied

$$\mathcal{N} \rightarrow \infty, T \rightarrow \infty, \quad T/\mathcal{N} = T/\tau_P = x$$

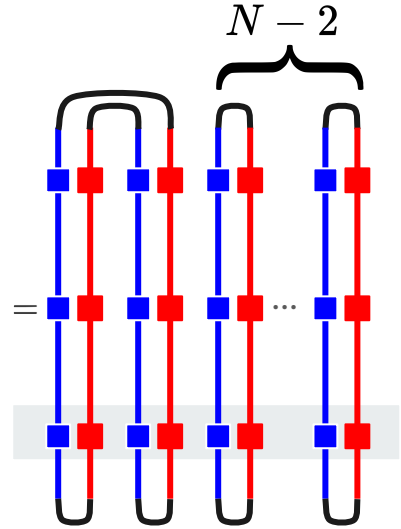
scaling variable

see also D.-Z. Liu, D. Wang, and Y. Wang, arxiv:1810.00433
G. Akemann, Z. Burda, and M. Kieburg, PRE 102, 052134 (2020).

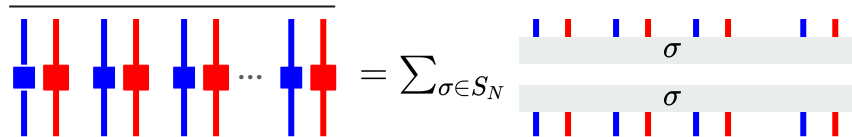
APPROACH VIA REPLICAS

$$\tilde{\rho}_{\mathbf{a}} = m_T \dots m_2 m_1 m_1^\dagger m_2^\dagger \dots m_T^\dagger =$$


$$\text{Tr}[\rho_{\mathbf{a}}^2] \equiv \lim_{N \rightarrow 1} \text{Tr}[\tilde{\rho}_{\mathbf{a}}^2] \text{Tr}[\tilde{\rho}_{\mathbf{a}}]^{N-2} =$$



Wick's theorem:



$$= \sum_{\sigma \in \mathcal{S}_N}$$

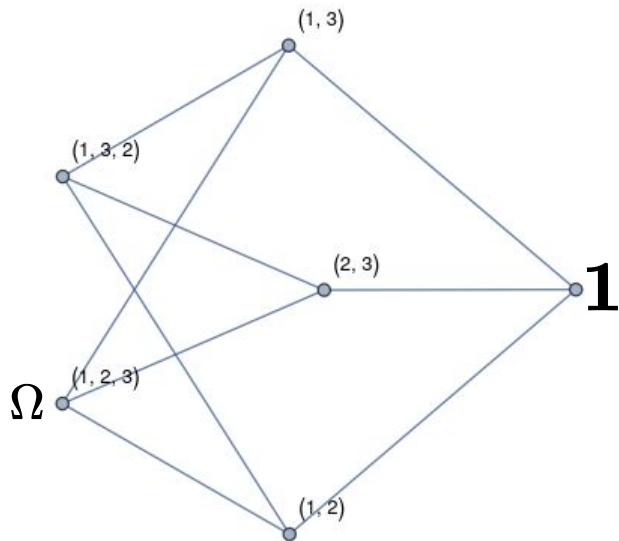
COUNTING PATHS IN THE PERMUTATION GROUP

$$\text{Tr}[\rho_{\mathbf{a}}^2] \equiv \lim_{N \rightarrow 1} \text{Tr}[\tilde{\rho}_{\mathbf{a}}^2] \text{Tr}[\tilde{\rho}_{\mathbf{a}}]^{N-2} = \sum_{\sigma_1, \dots, \sigma_T \in S_N} \text{Diagram} = [T]_{\sigma_0, \sigma_T}^t$$

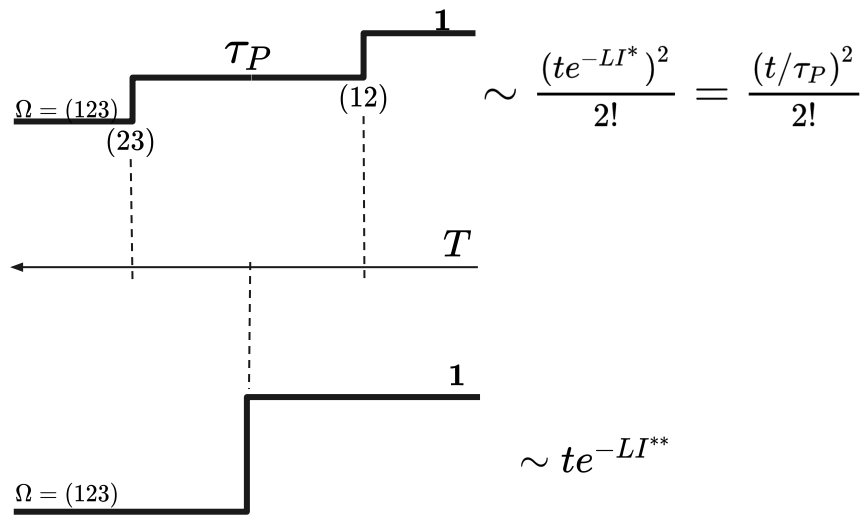
$$T_{\sigma, \sigma'} = \text{Diagram} = \text{transfer matrix} \propto 2^{-Ld(\sigma, \sigma')} = \tau_P^{-d(\sigma, \sigma')}$$

ORIGIN OF UNIVERSALITY

E.g. $N = 3$



transpositions = elementary instantons



$$(T^t) = (1 + A/\tau_P)^t \sim e^{xA},$$

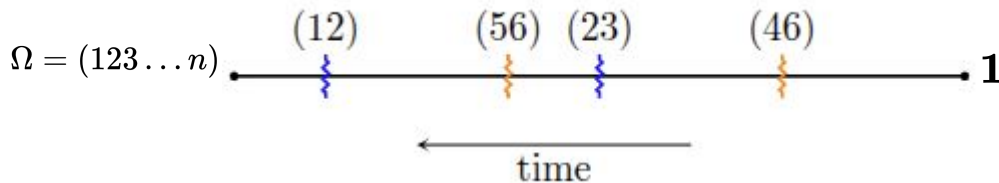
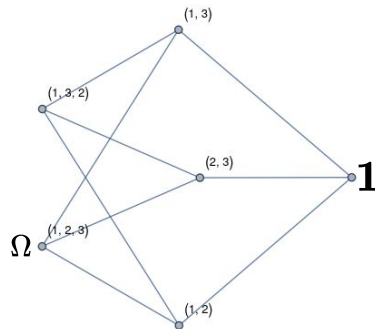
$$A_{\sigma, \sigma'} = \begin{cases} 1 & d(\sigma, \sigma') = 1 \\ 0 & \text{otherwise} \end{cases}$$

$$x = t/\tau_P$$

EXAMPLE: Renyi's entropies

$$S_n(t) = \frac{1}{1-n} \overline{\log \text{Tr}[\rho^n(t)]}$$

$$\Omega = (123 \dots n)$$



number of decomposition of a cycle as product of transpositions: n^{n-2}

$$S_n(t) = -\ln \frac{t}{\tau_P} - \frac{1}{1-n} \log \left[\frac{n^{n-2}}{(n-1)!} \right] + \Delta_n(t)$$

$$S_1(t) := -\text{Tr}[\rho(t) \ln \rho(t)] = -\ln \frac{t}{\tau_P} + 1 - \gamma + \Delta_1(t)$$

EXAMPLE: Order by order replica limit

$$\tilde{\rho} = M^\dagger M, \quad M = m_1 m_2 \dots m_T$$

$$\text{IRM: } \overline{S}_2 = -\ln x + \frac{4}{3}x^2 - \frac{637}{90}x^4 + O(x^6), \quad N \rightarrow 0$$

$$\text{BR: } \overline{S}_2 = -\ln x + x^2 - \frac{949}{180}x^4 + O(x^6), \quad N \rightarrow 1$$

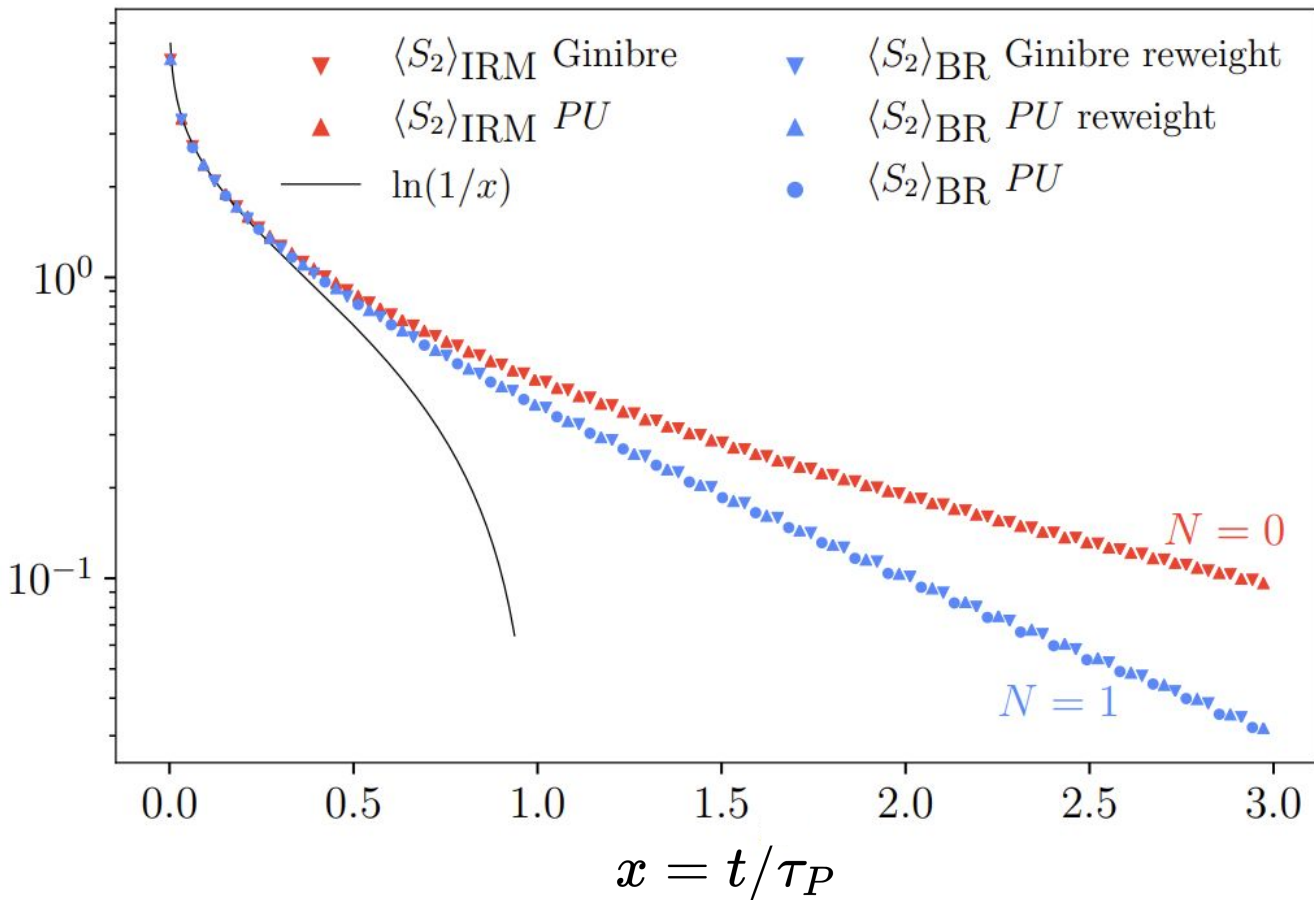
$$\text{IRM: } \overline{S}_1 = -\ln x + 1 - \gamma + \frac{11}{24}x^2 - \frac{1739}{2880}x^4 + O(x^6), \quad N \rightarrow 0$$

$$\text{BR: } \overline{S}_1 = -\ln x + 1 - \gamma + \frac{5}{24}x^2 - \frac{239}{2880}x^4 + O(x^6), \quad N \rightarrow 1$$

UNIVERSAL FUNCTIONS DESCRIBING PURIFICATION

$$\mathcal{N} \rightarrow \infty, T \rightarrow \infty, \quad T/\mathcal{N} = T/\tau_P = x$$

NUMERICAL COLLAPSE – S_2



VON NEUMANN ENTROPY

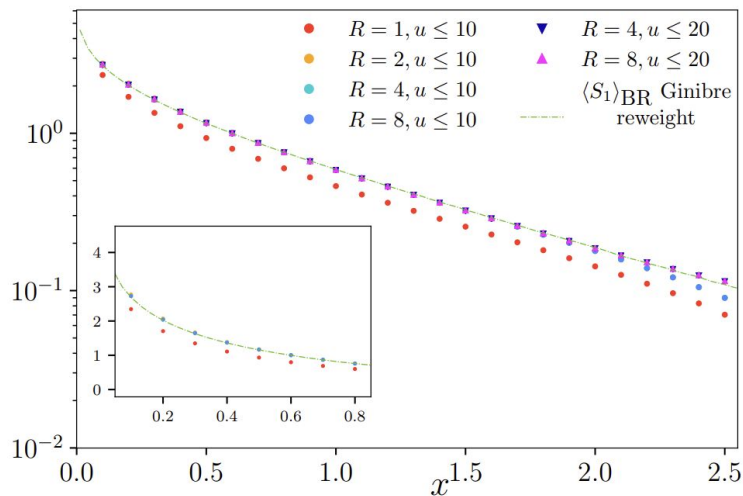
(RESUMMATION IN TERMS OF PLANCHEREL MEASURE)

$$\overline{S_1} = -\overline{\text{tr}[\tilde{\rho} \log \tilde{\rho}] \text{tr}[\tilde{\rho}]}$$

$$\lim_{R \rightarrow \infty} G_R(u) = G(u)$$

$$G_R(u) = \det(I_{j,k})_{j,k=0}^{R-1},$$

$$\overline{S_1}_{\text{BR}} = -\ln \left[2 \sinh \frac{x}{2} \right] + 1 - \gamma + \int_0^\infty du \frac{G(u) - e^{-u}}{u^2},$$



CONCLUSIONS

- ❑ MIPT can be seen as **inference for time series – mapping to directed polymer**
- ❑ Volume law phase of MIPTs can be studied using RMT
- ❑ The long-time dynamics shows universal behavior
- ❑ Scaling functions can be computed counting paths in the permutation group
- ❑ Universality is relevant in any context involving multiplication of RMs