

# Eigenstate Correlations, the ETH and Quantum Information Dynamics in Chaotic Many-Body Quantum Systems

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# Outline

- Motivation
- Eigenstate Thermalization Hypothesis
- Generic dynamical features in local systems
- Identification of leading order correlators
- Ansatz for JDF of multiple eigenstates
- Numerical results
- Summary and Outlook

# Motivation

# Thermalization





# Thermalization



# Thermalization



- Memory of initial state gets lost
- This works also in a **thermos bottle!**
- Theoretical problem: **unitary dynamics**

# Eigenstate Thermalization Hypothesis

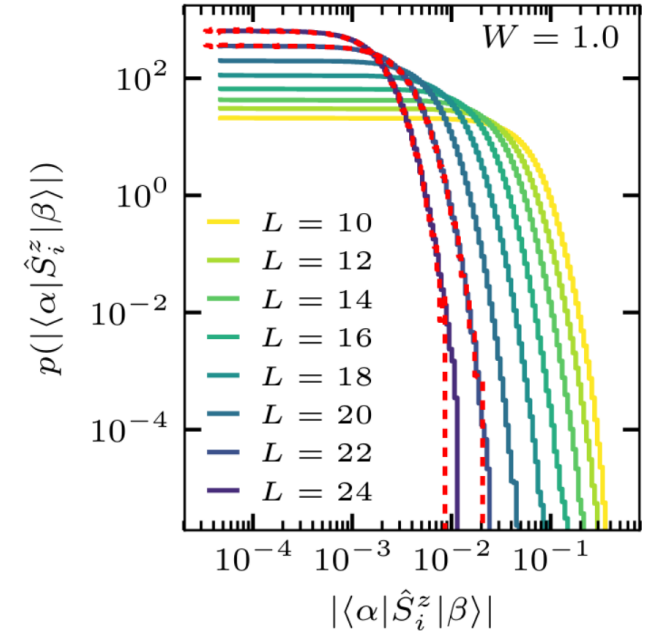
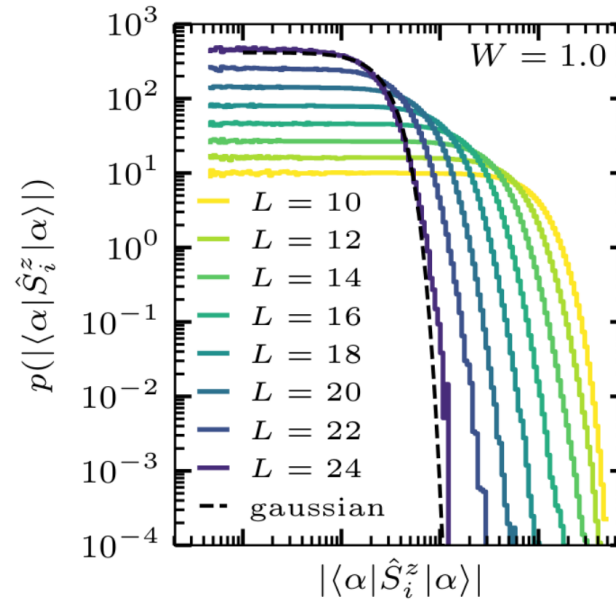
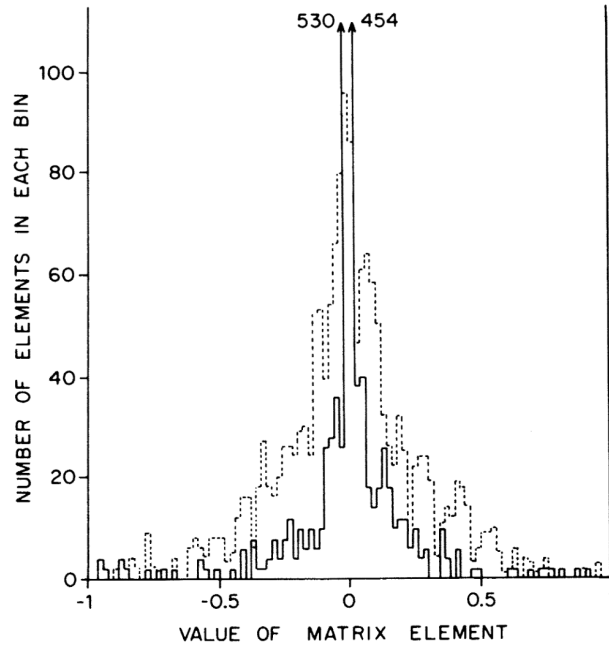
# Eigenstate thermalization hypothesis (ETH)

- Initial states  $|\psi_0\rangle$  generically evolve into states of the Gibbs ensemble.
- Effective Lagrange multipliers ( $\beta, \mu$  etc.) fixed during evolution.
- Eigenstate structure

$$\langle n|A|m\rangle = \delta_{n,m}A(E) + e^{-S(E)/2}f(E, \omega)R_{n,m}$$

- Peres 84, Feingold et al 84, Deutsch 91, Srednicki 94, 98, Rigol et al. 2008
- Reviews:
  - D'Alessio, Kafri, Polkovnikov, Rigol 2016,
  - Borgonovi, Izrailev, Santos, Zelevinsky 2016

# Gaussian distributions



$$\dim(\mathcal{H}) = 441.$$

$$\dim(\mathcal{H}) = 2.7 \cdot 10^6.$$

$$h_i \in [-W, W].$$

anharmonic oscillator

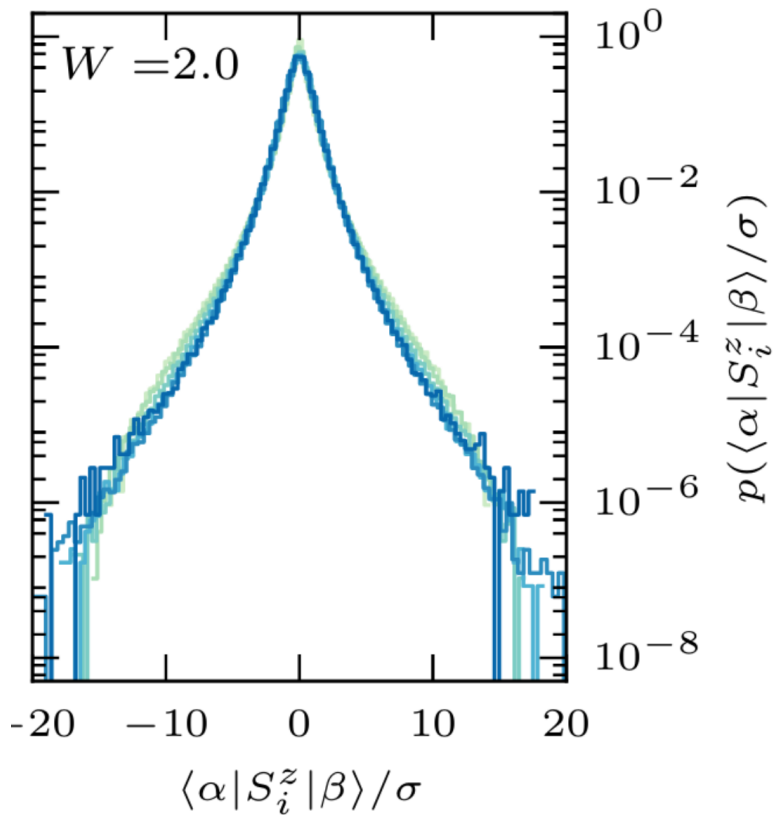
Feingold et al. 1984

$$H = \sum_i \vec{S}_i \cdot \vec{S}_{i+1} + h_i S_i^z$$

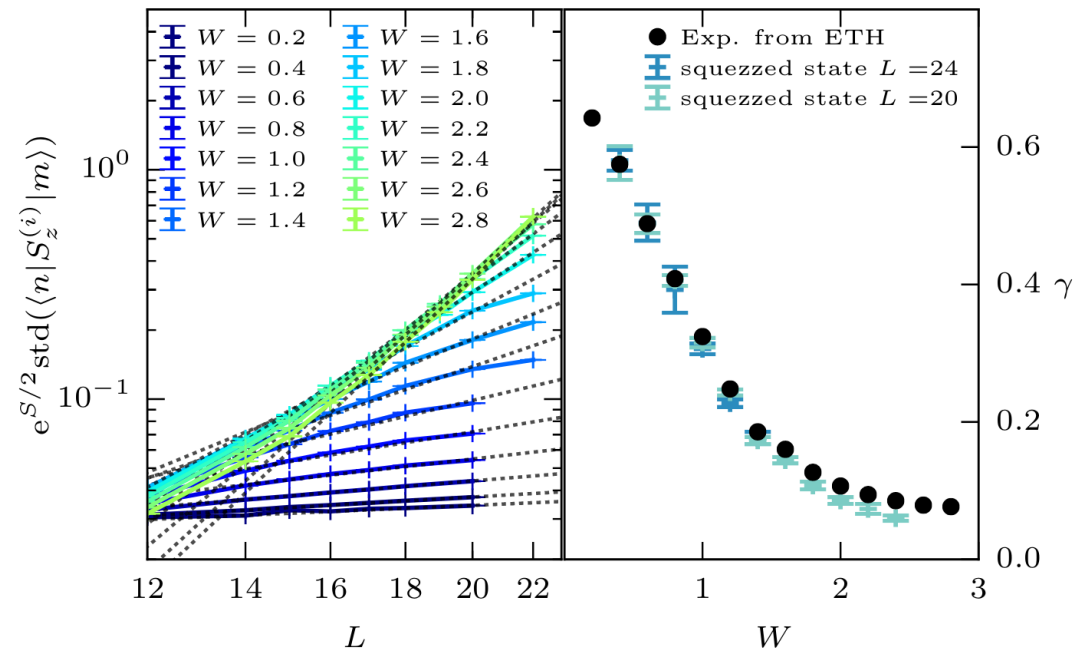
Luitz, Khaymovich, Bar Lev

*SciPost Phys. Core* 2020

# Non-gaussian distributions



- Distributions can be non-gaussian [Luitz PRB 2016](#), [Luitz and Bar Lev PRL 2016](#), [Roy et al PRB 2018](#)
- Scaling of variance encodes decay of **autocorrelation function**



# Matrix element correlations

## Missing element in ETH

- Matrix elements  $\langle a|O|b\rangle$  need to be correlated to explain observed Lyapunov exponents in information scrambling [Foini and Kurchan, PRE 2019](#)
- Spatial structure in OTOC imply structure beyond ETH [Chan, de Luca, Chalker, PRL 2019](#)
- Studied early on [Prosen 1993](#)
- Observation of correlations and relation to transport [Dymarsky PRL 2022, Richter et al. PRE 2020](#)

Full ETH

$$\overline{O_{12}O_{23}\cdots O_{q1}} = e^{-(q-1)S(E^+)F_{E^+}^{(q)}(\omega)}, \quad i \neq j$$

Factorization of average in case of repeated indices [Pappalardi, Foini, Kurchan, PRL 129, 170603 \(2022\)](#)

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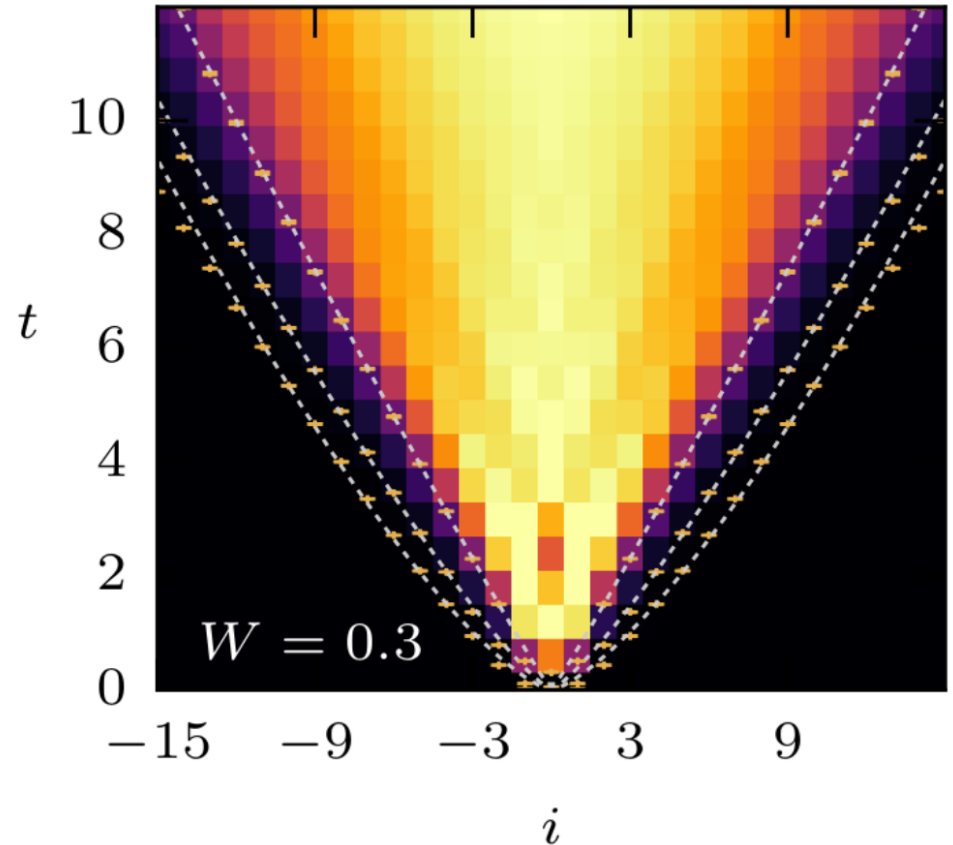
# Generic dynamical features in local systems



# Out of time order correlators (OTOC)

$$C(t) \propto \|[A_0(t), B_i]\|_F^2$$

- Independent of initial states
- Quantify spreading of **operators**
- Encode fundamental speed limits:  
Lieb-Robinson bounds [Lieb and Robinson 1972](#)

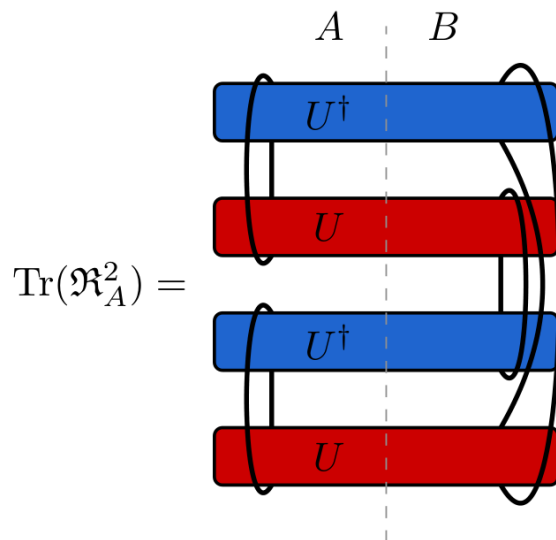


Larkin and Ovchinnikov 1969, Shenker and Stanford 2016, Luitz and Bar Lev 2017

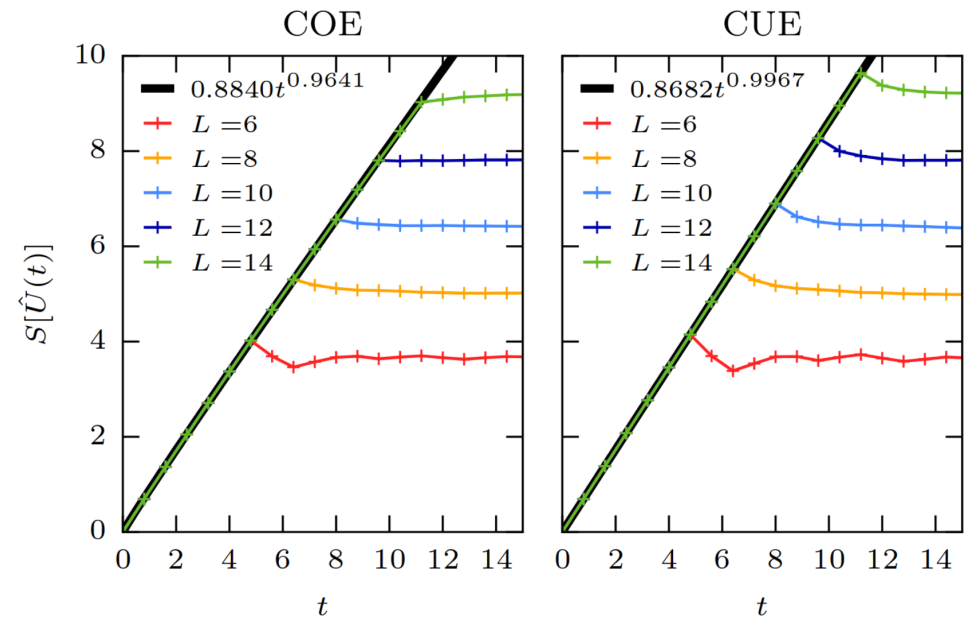
# Operator entanglement entropy

*independent of choice of operators*

- Complexity growth is **property of the time evolution operator  $U(t)$**
- Quantify by operator entanglement entropy Prosen and Pižorn 2007, Zhou and Luitz 2017



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$$\text{opEE}_\alpha(U) = \frac{1}{1-\alpha} \ln[\text{Tr}(\mathfrak{R}_A^\alpha)].$$

# Operator entanglement growth

## *Hamiltonian case*

- Spectrum of time evolution operator  $U(t)$  evolves on unit circle
- Eigenvalues move at **different speeds**

$$e^{-iE_m t}$$

- Eigenvectors  $|m\rangle$  **do not change**

# Operator entanglement growth

## *Floquet case*

- Spectrum of time evolution operator  $U(nT)$  evolves on unit circle
- Eigenvalues  $\omega_m$  evolve as

$$\omega_m^n$$

- Eigenvectors  $|m\rangle$  **do not change**
- Phase relation between eigenvectors gets lost over time

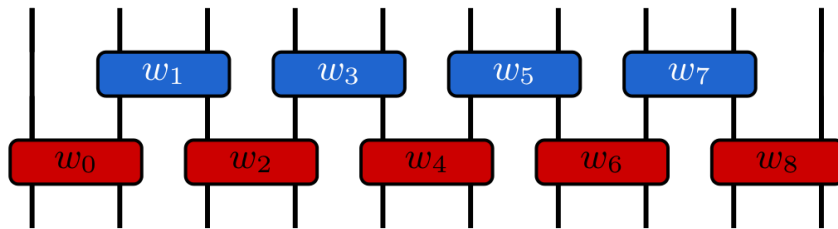
# Identification of leading order correlators

# Models

**Goal:** Identify correlators capturing this universal behavior

- Focus on brickwork **Floquet circuits**

$$W(t) = W^t, \quad t \in \mathbb{Z}$$



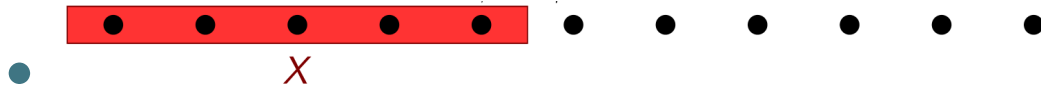
- Spectral decomposition

$$W(t) = \sum_a e^{-i\theta_a t} |a\rangle \langle a|.$$

- Absence of energy structure: simplest model
- **No conserved densities**
- Local Hilbert space  $q$
- Gates  $w_i$  from truncated Haar ensemble
- Contrast with **global Haar unitary**

# Correlators

## Simple autocorrelator

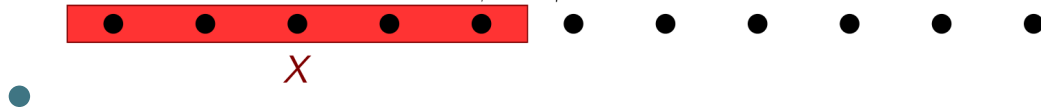


- Introduce operators  $X_\alpha, X_\beta, X_\gamma, \dots$  with **support**  $X, Y, \dots$
- Simplest correlator:

$$q^{-L} \text{Tr}[X_\alpha(t) X_\alpha] = q^{-L} \sum_{ab} |\langle a | X_\alpha | b \rangle|^2 e^{i(\theta_a - \theta_b)t} .$$

# Correlators

## OTOC



$$q^{-L} \text{Tr}[X_\alpha(t) Y_\beta X_\alpha(t) Y_\beta] =$$

$$q^{-L} \sum_{abcd} \langle a | X_\alpha | b \rangle \langle b | Y_\beta | c \rangle \langle c | X_\alpha | d \rangle \langle d | Y_\beta | a \rangle e^{i(\theta_a - \theta_b + \theta_c - \theta_d)t}.$$

Depend on choice of  $X_\alpha, Y_\alpha$ : **average over all  $X_\alpha$  with support on  $X$**



# Generic Correlators

## Systematic construction

### Basic object: Schmidt matrix $C_X(a)$

- matrix version of eigenstate  $|a\rangle$

$$|a\rangle = \sum_{i_X, i_{\bar{X}}} [C_X(a)]_{i_X, i_{\bar{X}}} |i_X\rangle \otimes |i_{\bar{X}}\rangle.$$

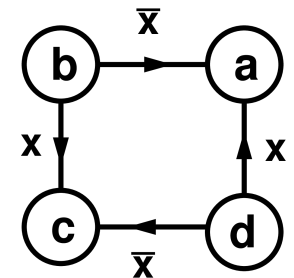
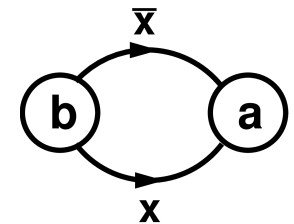
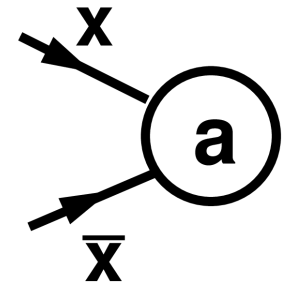
- Lowest order correlator

$$\text{Tr}[C_X(a)C_X^\dagger(b)] = \delta_{ab}$$

- Next order

$$M_X(abcd) = \text{Tr}[C_X(a)C_X^\dagger(b)C_X(c)C_X^\dagger(d)].$$

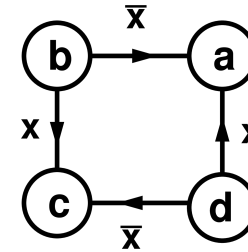
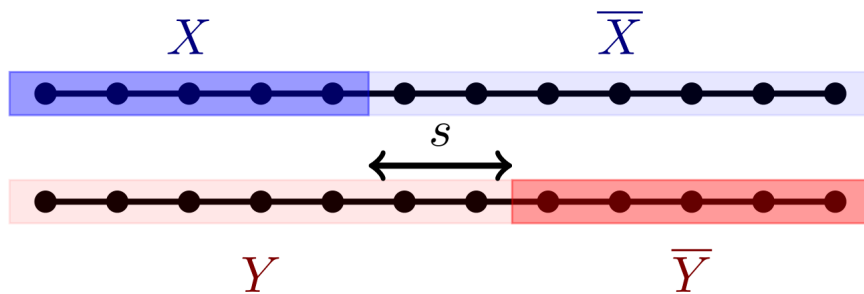
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# Generic Correlators

## Encoding spatial structure

- Use different bipartitions  $X, Y$



- phase invar.  $|a\rangle \rightarrow e^{i\phi}|a\rangle$
- Every  $C_X(a)$  needs  $C_Y(a)^\dagger$ .

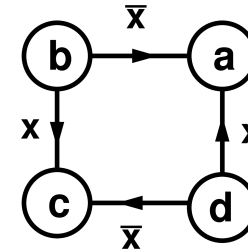
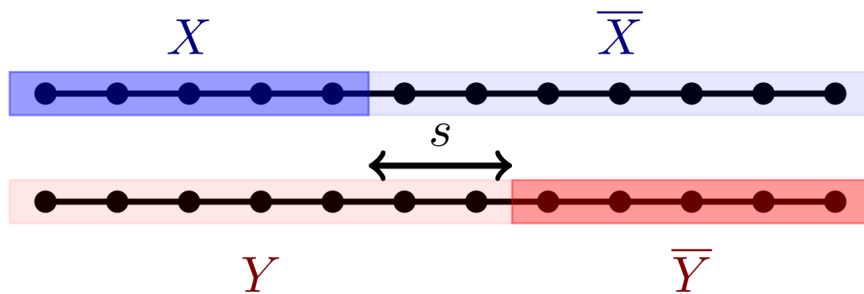
- $M_X(abba)$

$$F_2(X, \theta) = q^{-(L+L(X))} \left[ \sum_{ab} M_X(abba) \delta(\theta - \theta_a + \theta_b) \right]_{av}$$

# Generic Correlators

## Encoding spatial structure

- Use different bipartitions  $X, Y$



- phase invar.  $|a\rangle \rightarrow e^{i\phi}|a\rangle$
- Every  $C_X(a)$  needs  $C_Y(a)^\dagger$ .

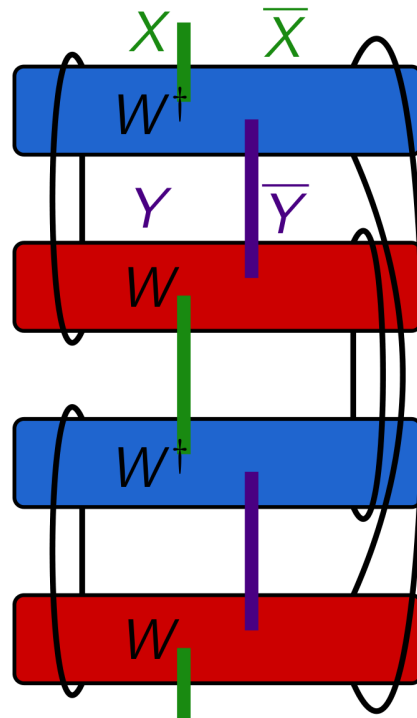
- $M_X(abcd)M_Y^*(abcd)$

$$F_4(X, Y, \theta) = q^{-L(X, Y)} \left[ \sum_{abcd} M_X(abcd) M_Y^*(abcd) \delta(\theta - \theta_a + \theta_b - \theta_c + \theta_d) \right]$$

# Relation to opEE

Generalize opEE<sub>2</sub> to **different bipartition** for rows and columns of  $W(t)$

$$\text{Tr}(\Re(X, Y, t)^2) =$$



Directly proportional to Fourier transform

$$f_4(X, Y, t) = \int_{-\pi}^{\pi} dt e^{i\theta t} F_4(X, Y, \theta)$$

Equivalent to OTOC averaged over all operators with support on  $X, Y$

# Ansatz for JDF of multiple eigenstates

# Describing correlations beyond ETH

- $F_2$  contains  $M_X(abba)$ : captures correlations of 2 eigenstates  $|a\rangle, |b\rangle$
- $F_4$  contains  $M_X(abcd)$  and  $M_Y^*(abcd)$ : captures correlations of 4 eigenstates  $|a\rangle, |b\rangle, |c\rangle, |d\rangle$
- **Joint probability density function (JDF)**

$$P_n(a, b, c, \dots, n)$$

# Joint probability distribution

## *Haar case*

- Random unitary without structure:
  - Single eigenvector follows isotropic **Haar distribution**

$$P_1^{(0)}(a)$$

- $n$ -tuples of **orthogonal** eigenvectors:

$$P_n^0(a, b, c, \dots, n)$$

# Ansatz for JDF

## Two eigenvectors

- Locality (and additional structure) **modifies** these JDFs
- Maximum entropy ansatz with **Lagrange multipliers**  $G_n$
- **two eigenvectors**

$$P_2(a_1, a_2) = Z_2^{-1} P_2^{(0)}(a_1, a_2) e^{-S_2(a_1, a_2)}$$

$$S_2(a, b) = \sum_X G_2(X, \theta_a - \theta_b) M_X(abba)$$

- JDF  $P_2$  fixed by **Lagrange multipliers**  $G_2(X, \theta)$



# Ansatz for JDF

## Two eigenvectors

- Locality (and additional structure) **modifies** these JDFs
- Maximum entropy ansatz with **Lagrange multipliers**  $G_n$
- **four eigenvectors**

$$P_4(a_1, a_2, a_3, a_4) = Z_4^{-1} P_4^{(0)}(\{a_i\}) e^{-\sum_{j<k} S_2(a_j, a_k) - S_4(\{a_i\})}$$

$$S_4(\{a_i\}) = \sum_{X,Y} G_4(X, Y, \theta_a - \theta_b + \theta_c - \theta_d) M_X(abcd) M_Y(abcd)^*$$

- JDF  $P_4$  fixed by **Lagrange multipliers**  $G_4(X, Y, \theta)$

# Strategy

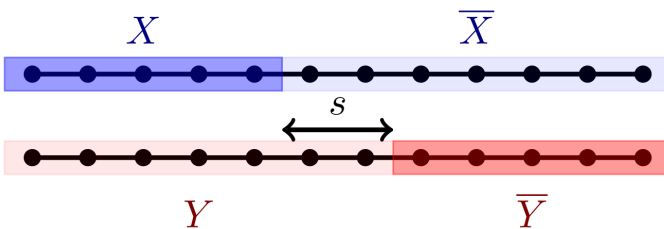
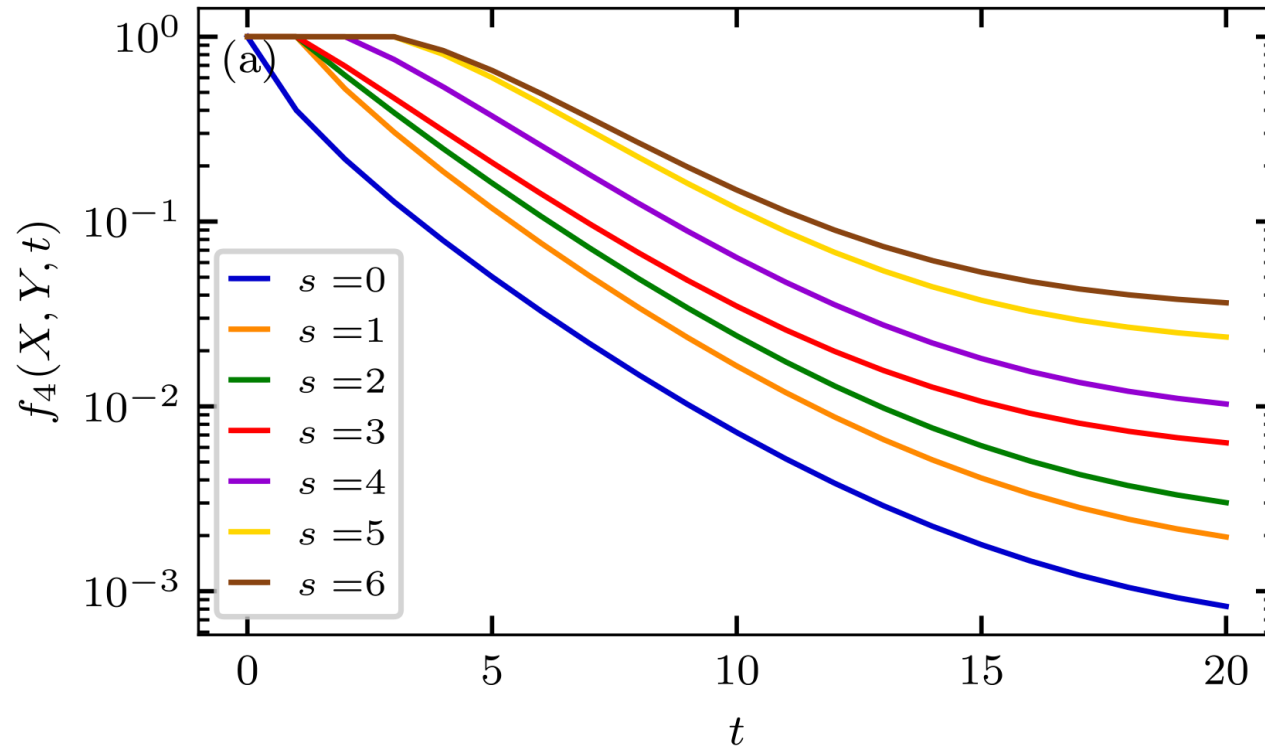
- JDF  $P_2, P_4$  fixed by **Lagrange multipliers**  $G_2(X, \theta)$  and  $G_4(X, Y, \theta)$
- If JDF is known,  $F_4(X, Y, \theta)$  and  $F_2(X, \theta)$  can be calculated in principle

## How?

- Random sampling of orthogonal  $(a, b, c, d)$  from  $P_4$
- Calculate  $F_2, F_4$  from **exact diagonalization**
- Determine Lagrange multipliers from **comparison**

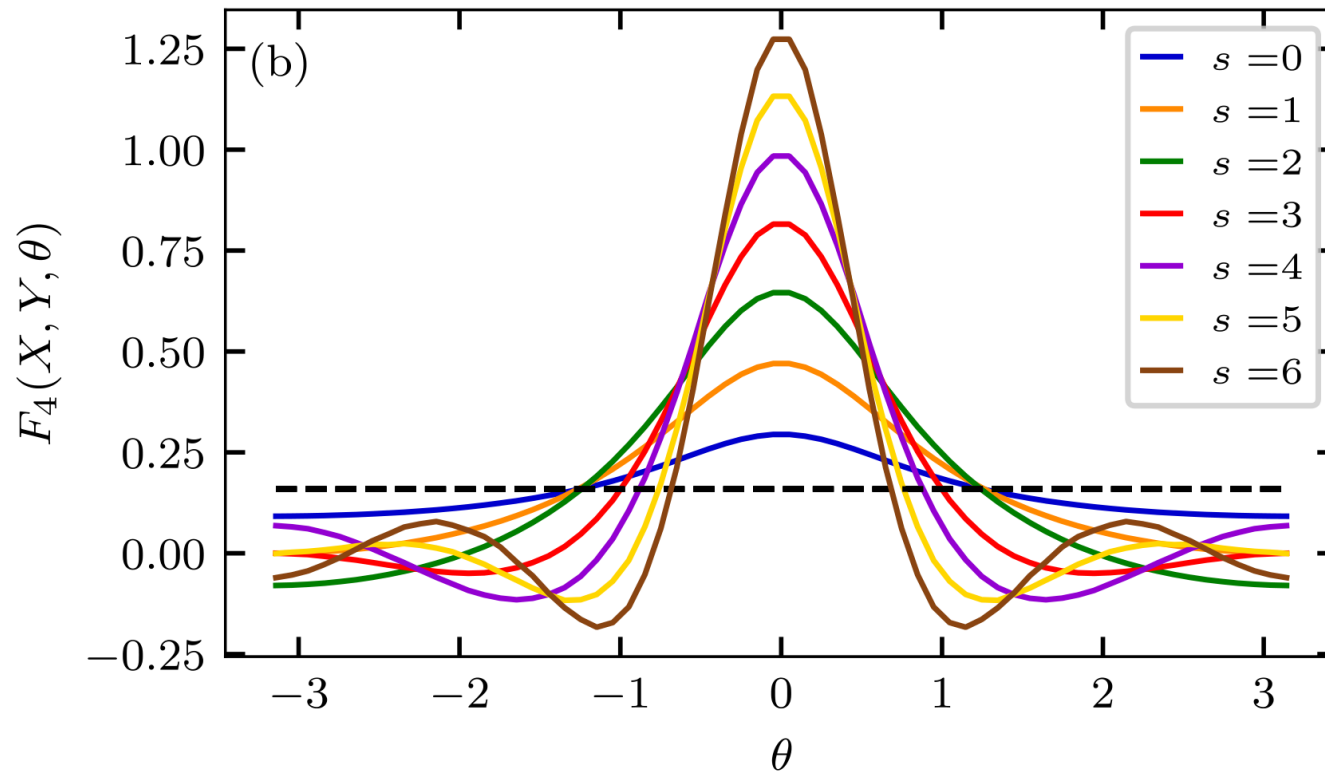
# Numerical results

# $f_4(X, Y, t)$ from exact diagonalization

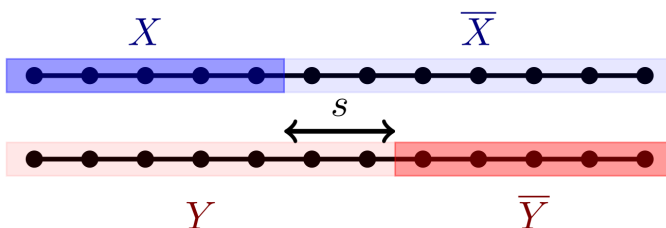


- operator purity decay for  $L = 12$ ,  $q = 2$  from ED
- decay timescales depend on subsystem separation  $s$
- encodes spatial structure
- for Haar unitary:  $\delta(t)$ , indep of  $s$

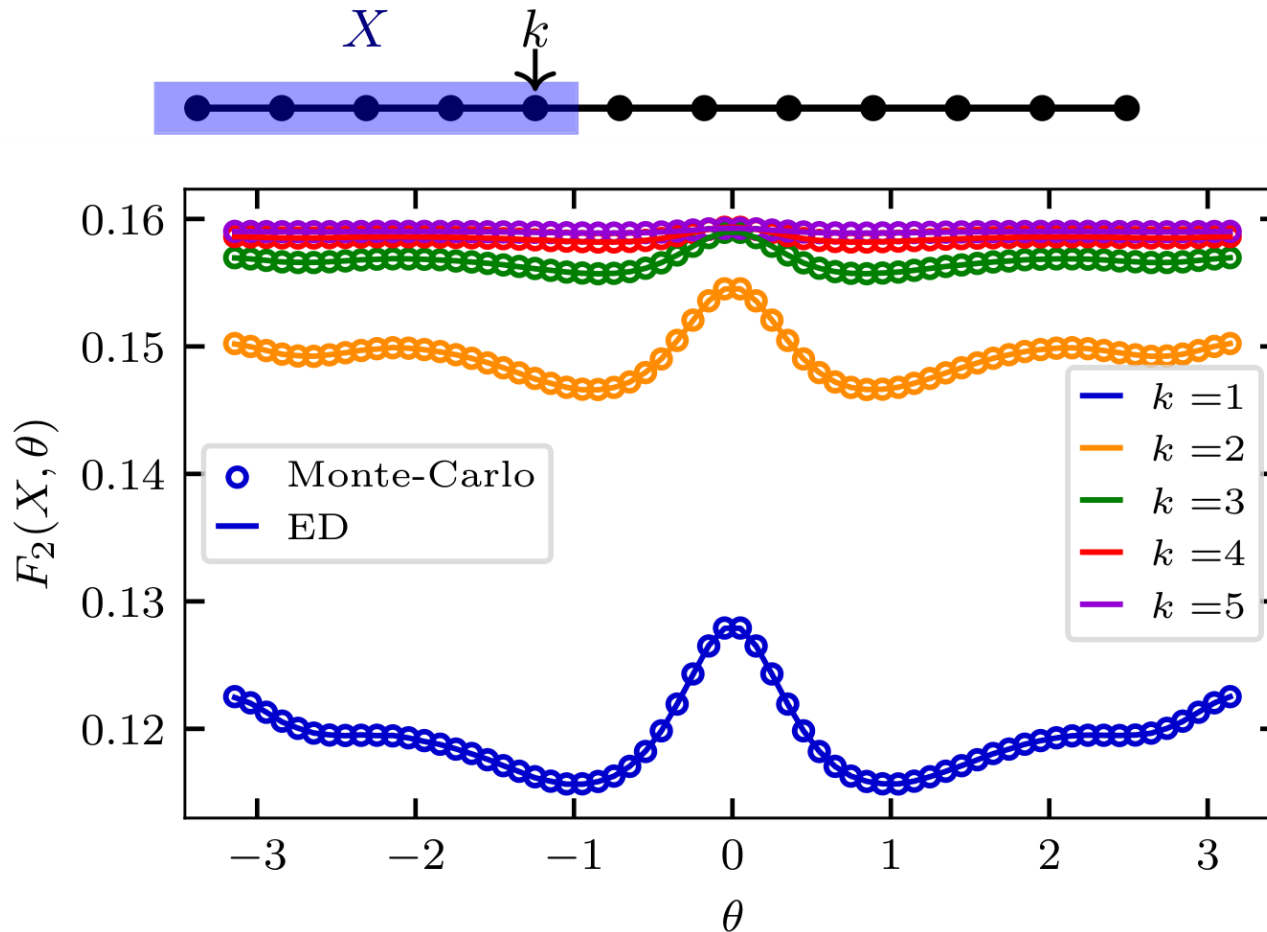
# Fourier transform $F_4(X, Y, \theta)$ from ED



- $L = 12, q = 2$  from ED
- $F_4(X, Y, \theta)$ , **Fourier transform** of  $f_4(X, Y, t)$
- for Haar unitary: constant (dashed)



# $F_2(X, \theta)$ from exact diagonalization



- $L = 12, q = 2$  from ED
- $F_2(X, \theta)$ , has **weak structure** in this system

# Determining Lagrange Multipliers

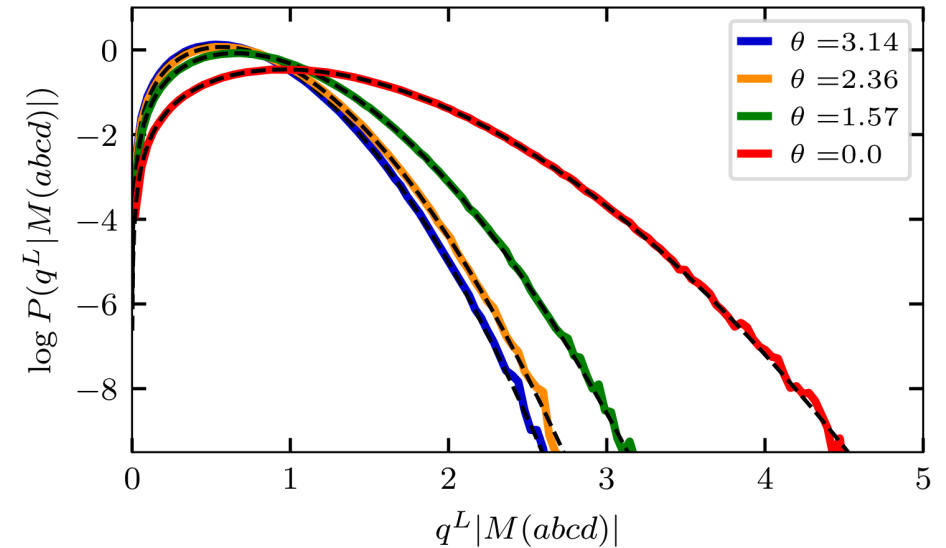
- Neglect weak  $\theta$  dependence in  $F_2$ :

$$G_2(X, \theta) = 0.$$

- Observe:  $M_X(abcd)$  **gaussian**
- Organize  $M_X(abcd)$  for contiguous, single-cut subsystems in a vector

$$S_4 = M^T G_4 M^*$$

- Relate to covariance of gaussian



Haar:

$$(\mathbf{G}_4^{(0)})^{-1}]_{X,Y} = [[\mathbf{M}]_X [\mathbf{M}^*]_Y]_0 = q^{L(X,Y)}$$

# Determining Lagrange Multipliers

For gaussian  $M$ , we can show:

$$[[\mathbf{M}]_X [\mathbf{M}^*]_Y]_{\text{av}} = [(\mathbf{G}_4 + \mathbf{G}_4^0)^{-1}]_{X,Y}$$

$$[\mathbf{F}_4]_{X,Y} = (2\pi)^{-1} q^{4L-L(X,Y)} [[\mathbf{M}]_X [\mathbf{M}^*]_Y]_{\text{av}}$$

Allows calculation of  $G_4$  from **exact diagonalization result** for  $F_4$ .



# Checking if it works

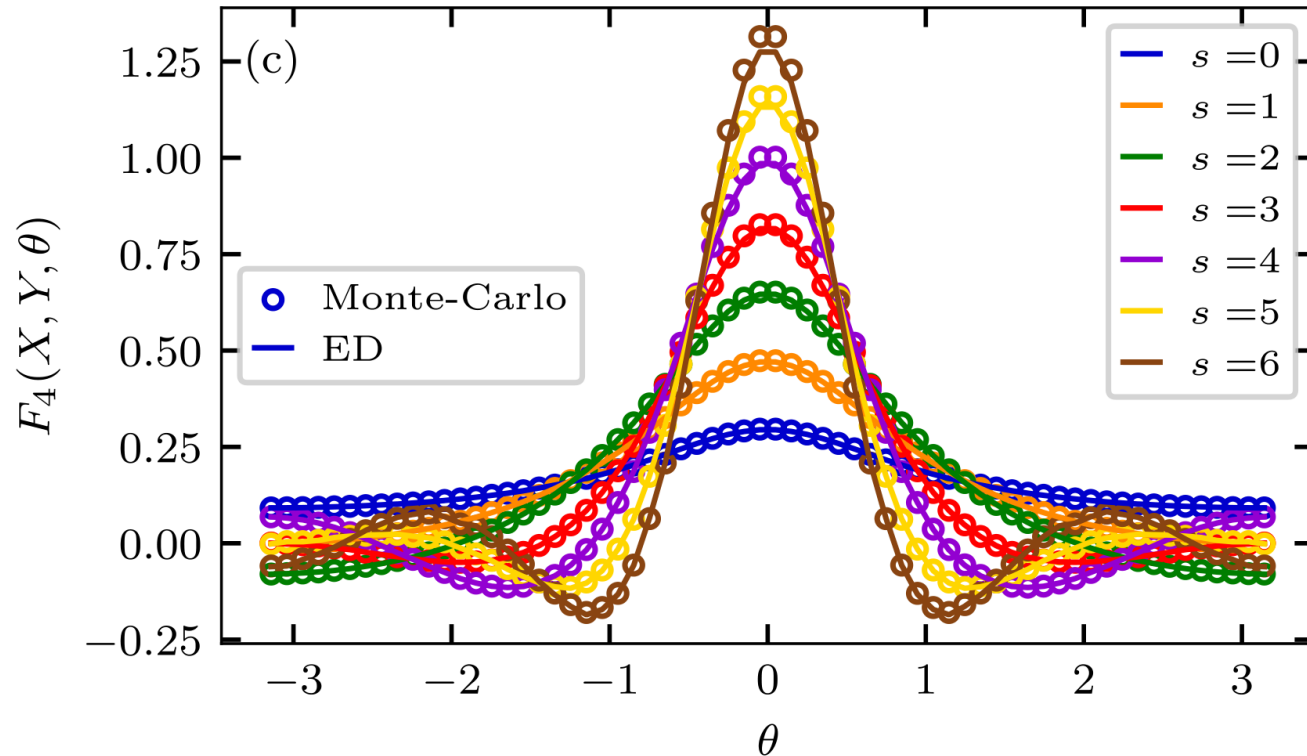
We now have  $P_4(a, b, c, d)$ , how do we check it?

- Need to calculate  $F_4(X, Y, \theta)$  from orthogonal  $(a, b, c, d)$  **sampled** according to  $P_4$ .

## Importance sampling of $P_4$

- Start with orthonormal, random  $(a, b, c, d)$
- Create new sample by rotation  $V = e^{i\epsilon A}$ :  $a' = Va \dots$
- Accept with  $p = \min(1, e^{-S_4(abcd) + S_4(a'b'c'd')})$  (Metropolis-Rosenbluth)
- $\epsilon = 0.1 \dots 0.8, A \in \text{GUE}$
- $\approx 10^9$  samples
- Preserves orthogonality of quadruple  $(a, b, c, d)$

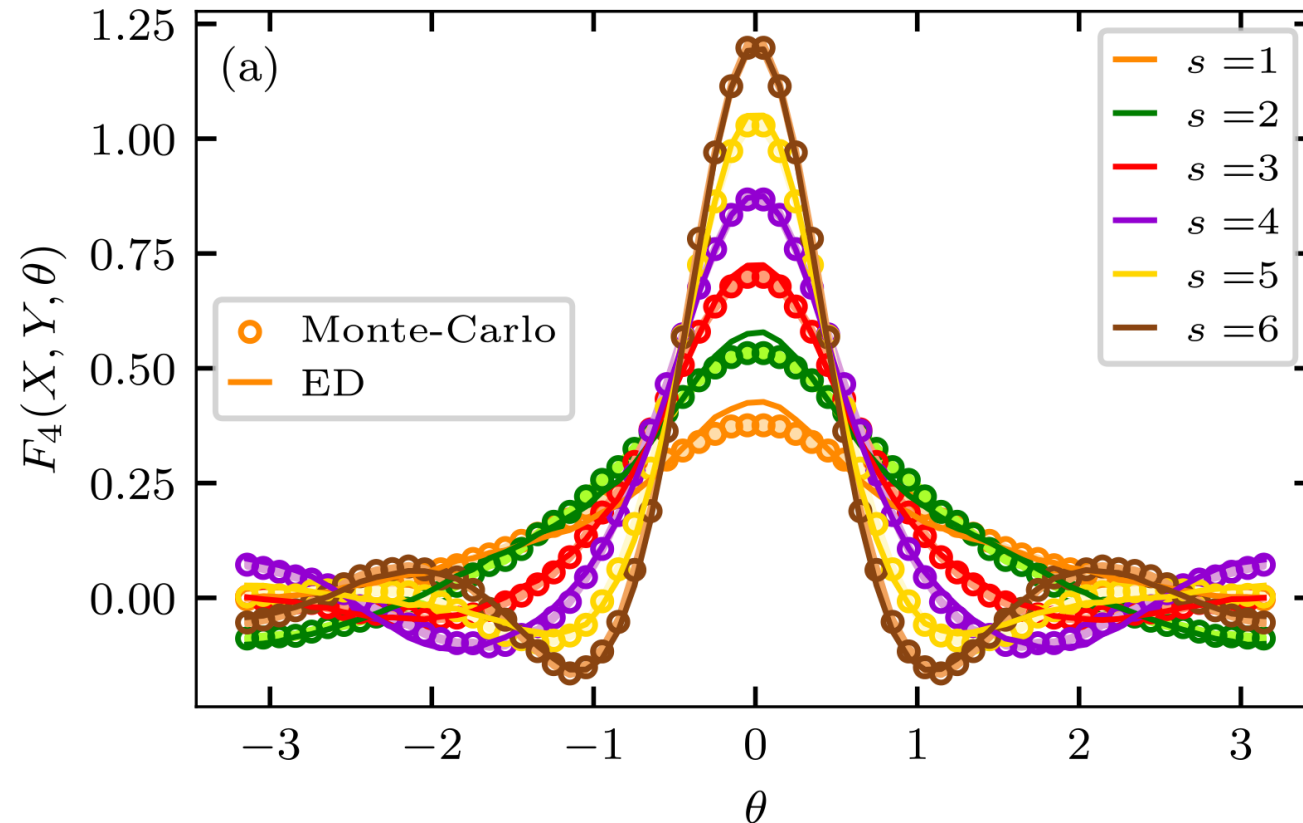
# Comparing ED and MC



- $L = 12, q = 2$  ED vs MC
- Very good agreement!
- Larger  $q$  generally works better
- Larger separation: boundary effects

# Comparing ED and MC

*different geometry*



- $L = 12, q = 2$  ED vs MC
- **different geometry** not contained in  $G_4$

# Summary and Outlook

- Operator spreading in **correlations of 4 eigenpairs**
- Unique correlator encoding spatial structure  $[M_X(abcd)M_Y^*(abcd)]_{av}$
- Extend ETH to include this structure  $P_4(abcd) \propto P_4^{(0)} e^{-M^T G_4 M^*}$
- Numerical check using importance sampling
- Next: Build in conservation laws (add Lagrange multiplier)
- Relation to FETH?

Publication: D. Hahn, D. J. Luitz, and J. T. Chalker *Phys. Rev. X* **14**, 031029 (2024)