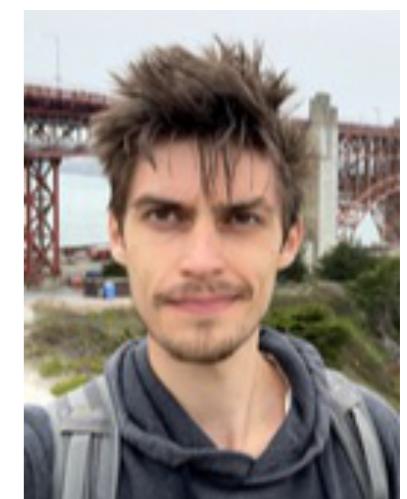
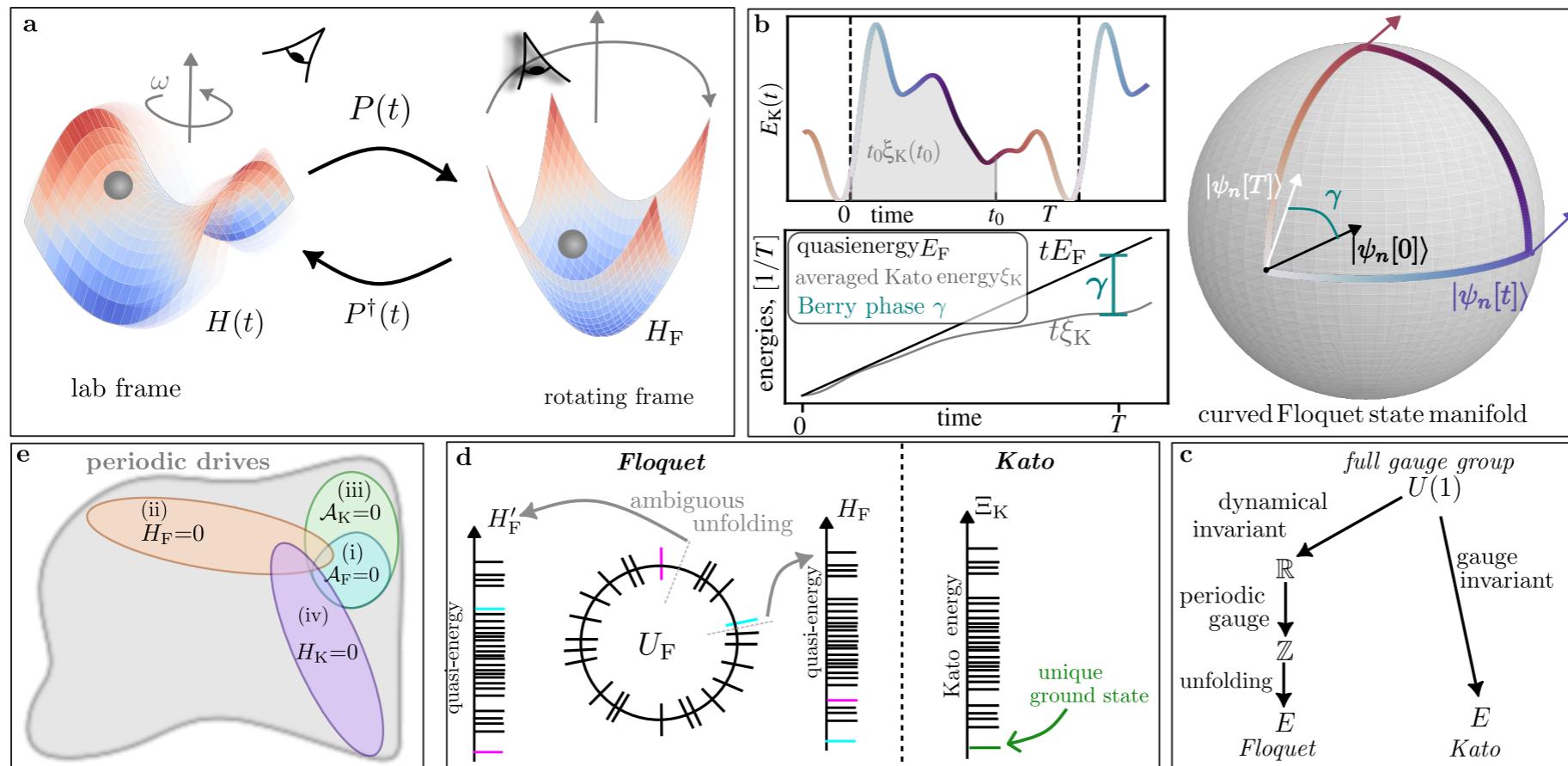


Geometric Floquet Theory

MAX PLANCK INSTITUTE

FOR THE PHYSICS OF COMPLEX SYSTEMS



Paul M Schindler

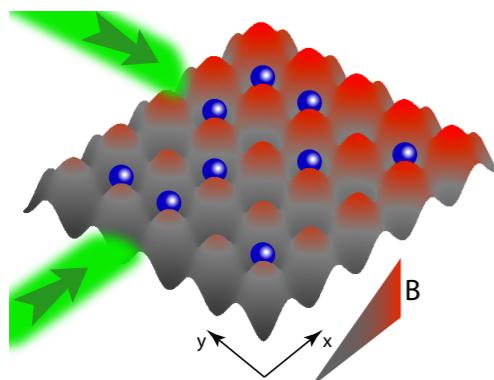


Periodically driven systems

- why care about periodic drives in quantum systems?

quantum simulation

- Floquet engineering
 - artificial gauge fields
 - dynamical localization
 - topological matter
- nonequilibrium ordered states
 - time crystals, etc.

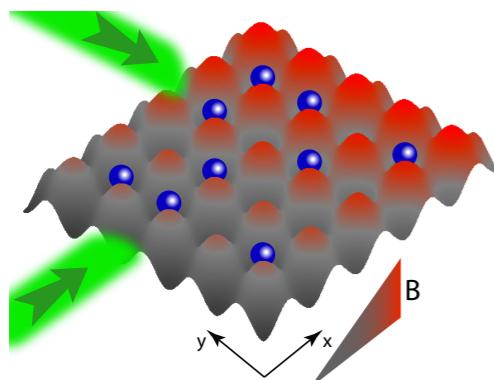


Periodically driven systems

- why care about periodic drives in quantum systems?

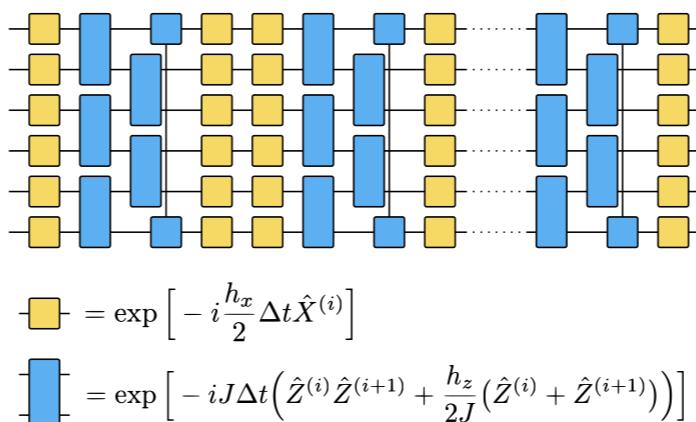
quantum simulation

- Floquet engineering
 - ▶ artificial gauge fields
 - ▶ dynamical localization
 - ▶ topological matter
- nonequilibrium ordered states
 - ▶ time crystals, etc.



quantum computing

- quantum algorithms
 - ▶ Trotterization
- Floquet unitary circuits
- error correction
 - ▶ Floquet codes



$$\text{Yellow box} = \exp \left[-i \frac{\hbar_x}{2} \Delta t \hat{X}^{(i)} \right]$$

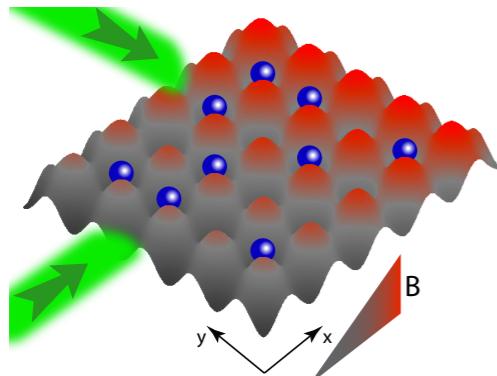
$$\text{Blue box} = \exp \left[-i J \Delta t \left(\hat{Z}^{(i)} \hat{Z}^{(i+1)} + \frac{\hbar_z}{2J} (\hat{Z}^{(i)} + \hat{Z}^{(i+1)}) \right) \right]$$

Periodically driven systems

◦ why care about periodic drives in quantum systems?

quantum simulation

- Floquet engineering
 - ▶ **artificial gauge fields**
 - ▶ dynamical localization
 - ▶ topological matter
- nonequilibrium ordered states
 - ▶ time crystals, etc.



quantum computing

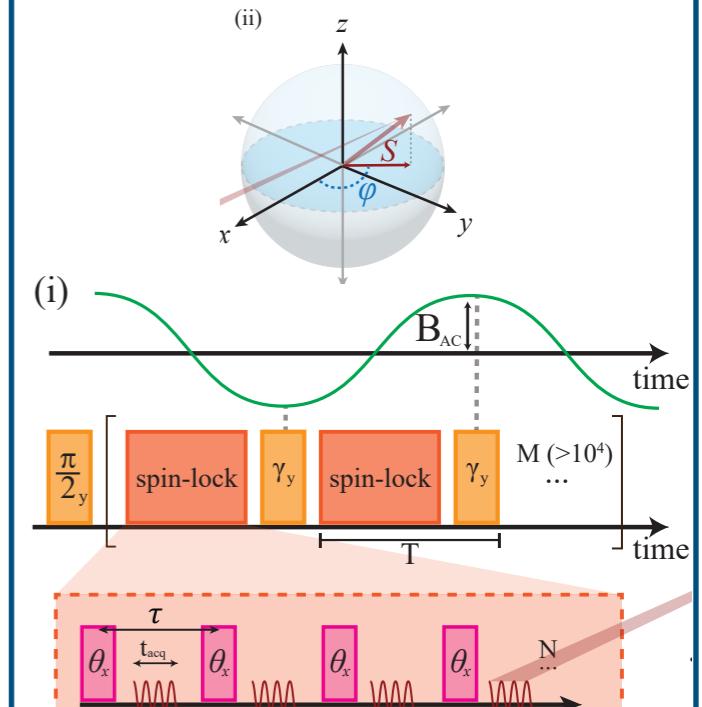
- quantum algorithms
 - ▶ **Trotterization**
- Floquet unitary circuits
- error correction
 - ▶ Floquet codes

A quantum circuit diagram showing a sequence of operations on multiple qubits. The circuit consists of two horizontal layers of boxes. The top layer contains yellow boxes, and the bottom layer contains blue boxes. The circuit is composed of several segments, each starting with a yellow box followed by a blue box. Below the circuit, two mathematical expressions define the operations:

$$\text{Yellow box: } = \exp \left[-i \frac{\hbar_x}{2} \Delta t \hat{X}^{(i)} \right]$$
$$\text{Blue box: } = \exp \left[-i J \Delta t \left(\hat{Z}^{(i)} \hat{Z}^{(i+1)} + \frac{\hbar_z}{2J} (\hat{Z}^{(i)} + \hat{Z}^{(i+1)}) \right) \right]$$

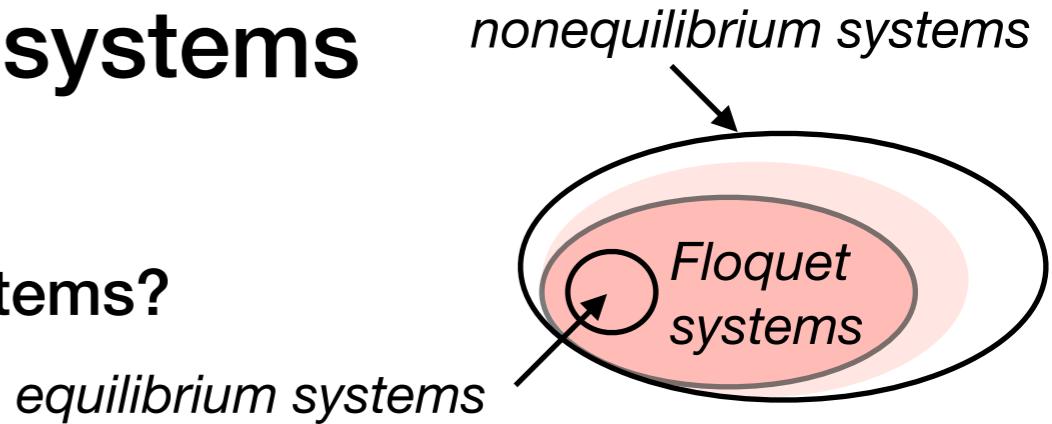
quantum sensing

- ▶ dynamical decoupling
- ▶ Ramsey interferometry



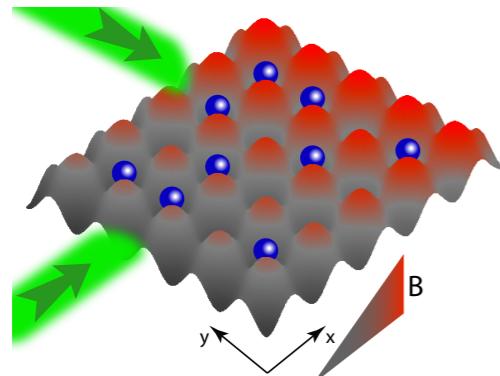
Periodically driven systems

- why care about periodic drives in quantum systems?



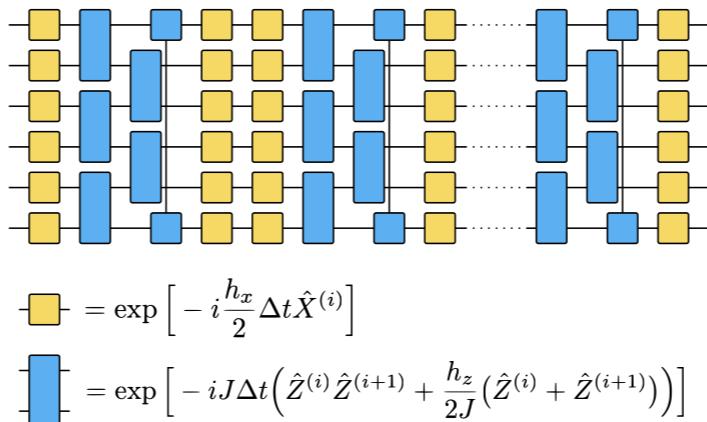
quantum simulation

- Floquet engineering
 - artificial gauge fields
 - dynamical localization
 - topological matter
- nonequilibrium ordered states
 - time crystals, etc.



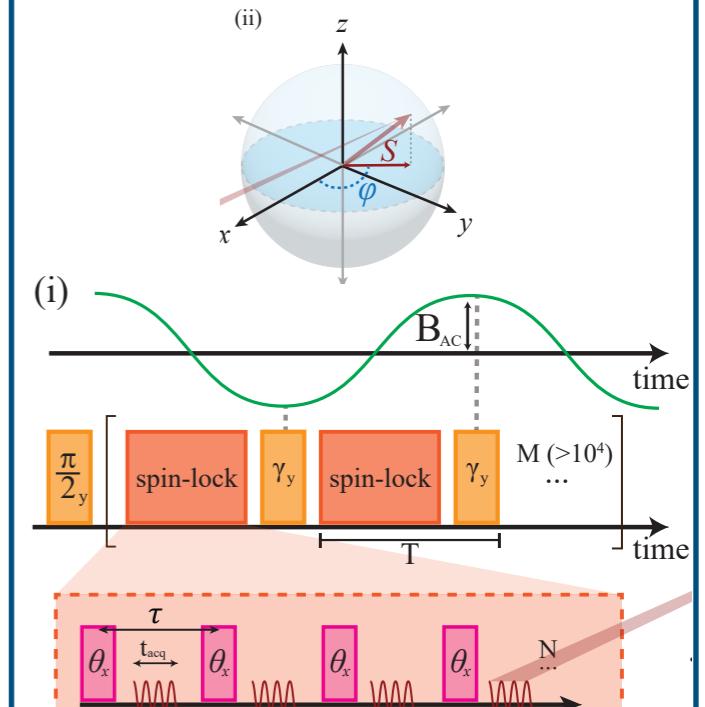
quantum computing

- quantum algorithms
 - Trotterization
- Floquet unitary circuits
- error correction
 - Floquet codes



quantum sensing

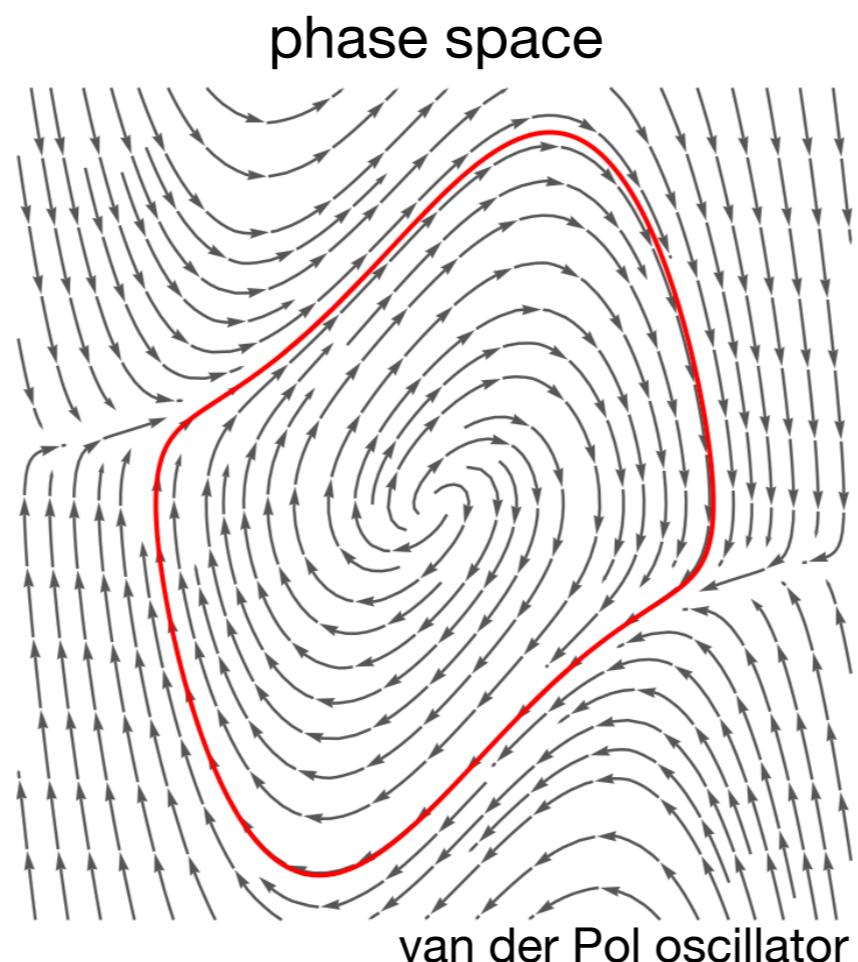
- dynamical decoupling
- Ramsey interferometry



Q: how do we manipulate periodically driven systems?

Floquet theory

- Floquet (1883) $\dot{\psi}(t) = -iH(t)\psi(t)$, linear & $H(t+T) = H(t)$



Floquet theory

- Floquet (1883)

$$\dot{\psi}(t) = -iH(t)\psi(t), \text{ linear \& } H(t+T) = H(t)$$

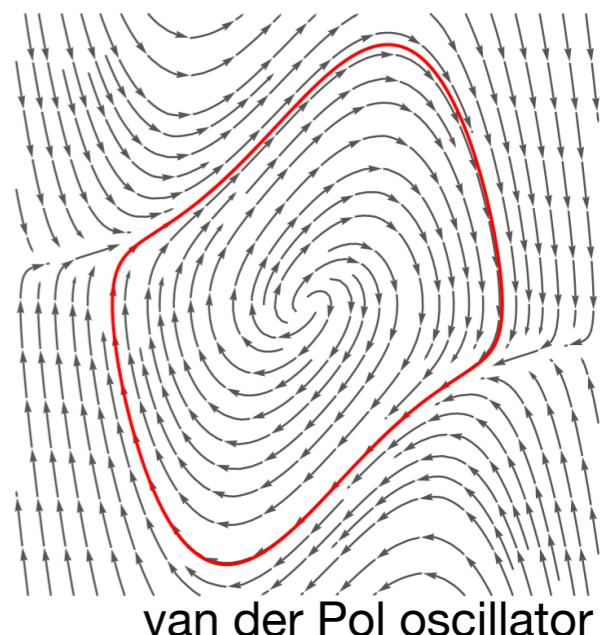
theorem:

$$\psi(t) = P(t) \exp(-itH_F[0]) \psi(0)$$

micromotion

$$P(t) = P(t+T)$$

Floquet Hamiltonian,
time-independent



effective object (!)
does not exist w/o drive

Floquet theory

- Floquet (1883)

$$\dot{\psi}(t) = -iH(t)\psi(t), \text{ linear \& } H(t+T) = H(t)$$

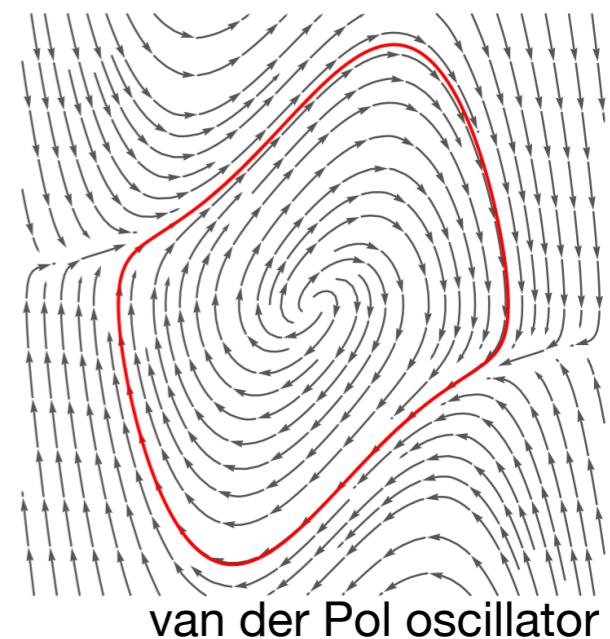
theorem: $\psi(t) = P(t) \exp(-itH_F[0])\psi(0)$

micromotion

$$P(t) = P(t+T)$$

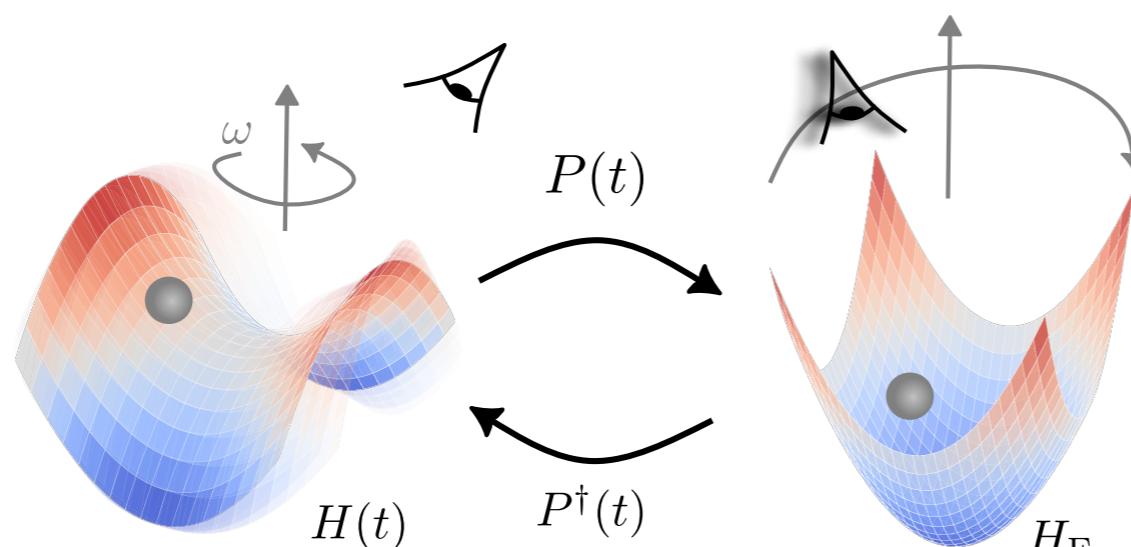
Floquet Hamiltonian,
time-independent

physical meaning: $H_F[0] = P^\dagger(t)H(t)P(t) - P^\dagger(t)i\partial_t P(t)$



effective object (!)
does not exist w/o drive

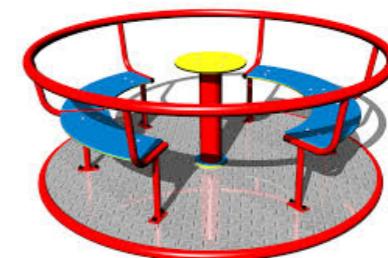
distinct rotating frame



lab frame

rotating frame

Merry-go-round



Floquet theory

- Floquet (1883)

$$\dot{\psi}(t) = -iH(t)\psi(t), \text{ linear \& } H(t+T) = H(t)$$

theorem:

$$\psi(t) = P(t) \exp(-itH_F[0])\psi(0)$$

micromotion
 $P(t) = P(t+T)$

Floquet Hamiltonian,
 time-independent

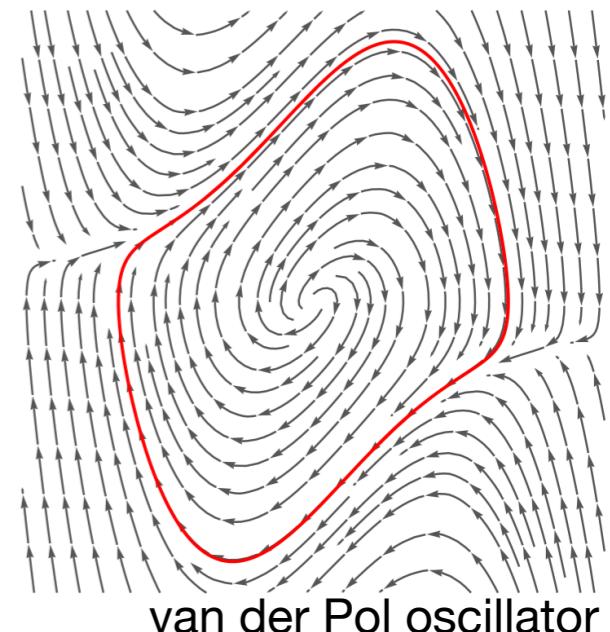
physical meaning: $H_F[0] = P^\dagger(t)H(t)P(t) - P^\dagger(t)i\partial_t P(t)$

- Floquet eigenvalue problem

$$H_F |n_F\rangle = \varepsilon_F^{(n)} |n_F\rangle$$

Floquet states quasi-energy: defined up to $\varepsilon_F \rightarrow \varepsilon_F + m\omega$

issue: quasi-energy not ordered (“no Floquet ground state”)

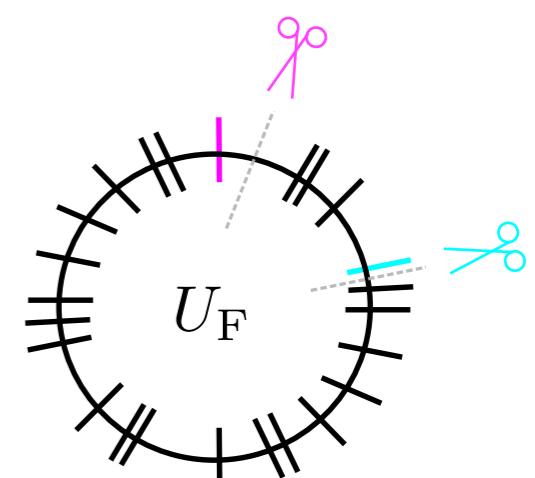


effective object (!)
 does not exist w/o drive

distinct rotating frame



H_F not unique (!)



Floquet theory

- Floquet (1883)

$$\dot{\psi}(t) = -iH(t)\psi(t), \text{ linear \& } H(t+T) = H(t)$$

theorem:

$$\psi(t) = P(t) \exp(-itH_F[0])\psi(0)$$

micromotion

$$P(t) = P(t+T)$$

Floquet Hamiltonian,
time-independent

physical meaning: $H_F[0] = P^\dagger(t)H(t)P(t) - P^\dagger(t)i\partial_t P(t)$

- Floquet eigenvalue problem

$$H_F|n_F\rangle = \varepsilon_F^{(n)}|n_F\rangle$$

Floquet states

quasi-energy: defined up to $\varepsilon_F \rightarrow \varepsilon_F + m\omega$

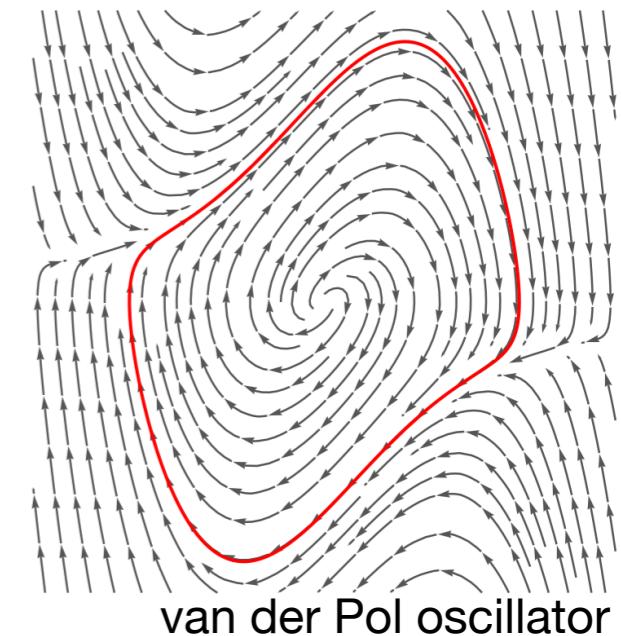
- Floquet gauge

phase of drive: $H(t - t_0)$

$$H_F[t_0] = P(t_0)H_F[0]P^\dagger(t_0)$$

$$|n_F[t_0]\rangle = P(t_0)|n_F[0]\rangle$$

ε_F : independent of t_0

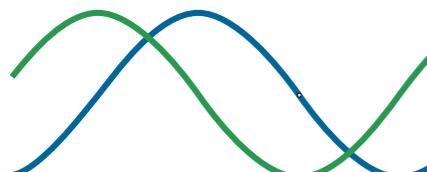


effective object (!)
does not exist w/o drive

distinct rotating frame



H_F not unique (!)



Floquet theory

- Floquet (1883)

$$\dot{\psi}(t) = -iH(t)\psi(t), \text{ linear \& } H(t+T) = H(t)$$

theorem:

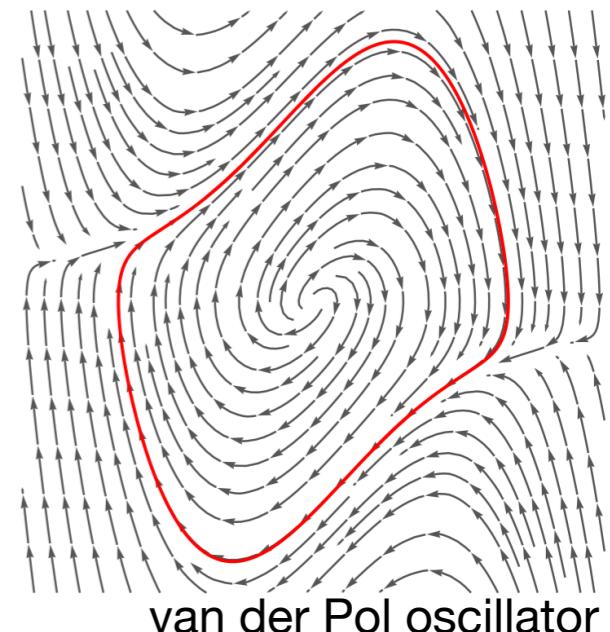
$$\psi(t) = P(t) \exp(-itH_F[0])\psi(0)$$

micromotion

$$P(t) = P(t+T)$$

Floquet Hamiltonian,
time-independent

physical meaning: $H_F[0] = P^\dagger(t)H(t)P(t) - P^\dagger(t)i\partial_t P(t)$



effective object (!)
does not exist w/o drive

distinct rotating frame



H_F not unique (!)

- Floquet eigenvalue problem

$$H_F|n_F\rangle = \varepsilon_F^{(n)}|n_F\rangle$$

Floquet states

quasi-energy: defined up to $\varepsilon_F \rightarrow \varepsilon_F + m\omega$

- Floquet gauge

$$H_F[t_0] = P(t_0)H_F[0]P^\dagger(t_0)$$

phase of drive: $H(t - t_0)$

$$|n_F[t_0]\rangle = P(t_0)|n_F[0]\rangle$$

ε_F : independent of t_0

$$P(t)|n_F[0]\rangle = |n_F[t]\rangle \neq |n_F(t)\rangle = P(t)e^{-itH_F}|n_F[0]\rangle = e^{-it\varepsilon_F^{(n)}}|n_F[t]\rangle$$

instantaneous ≠ evolved

How do we find H_F ?

- ◎ solve Schrödinger equation

- ▶ exact solutions: limited (circular drives, harmonic oscillators, etc.)
- ▶ in general: compute time-ordered exponentials → special functions

$$U(T,0) = \mathcal{T} \exp \left(-i \int_0^T dt H(t) \right) \xrightarrow{\text{Floquet theorem}} \exp(-iT\hat{H}_F)$$

How do we find H_F ?

- solve Schrödinger equation

- ▶ exact solutions: limited (circular drives, harmonic oscillators, etc.)
- ▶ in general: compute time-ordered exponentials → special functions

- inverse-frequency expansions (Magnus, van Vleck, etc.)

$$U(T,0) = \mathcal{T} \exp \left(-i \int_0^T dt H(t) \right) = \exp(-i T H_F)$$

$$H_F^{(0)} = \frac{1}{T} \int_0^T dt H(t)$$

$$H_F^{(1)} = \frac{1}{2! T i} \int_0^T dt_1 \int_0^{t_1} dt_2 [H(t_1), H(t_2)]$$

- ▶ ansatz: $H_F = \sum_{n=0}^{\infty} H_F^{(n)}$, $H_F^{(n)} \propto \omega^{-n}$

How do we find H_F ?

- solve Schrödinger equation

- ▶ exact solutions: limited (circular drives, harmonic oscillators, etc.)
- ▶ in general: compute time-ordered exponentials → special functions

- inverse-frequency expansions (Magnus, van Vleck, etc.)

$$U(T,0) = \mathcal{T} \exp \left(-i \int_0^T dt H(t) \right) = \exp(-iTH_F)$$

$$H_F^{(0)} = \frac{1}{T} \int_0^T dt H(t)$$

$$H_F^{(1)} = \frac{1}{2!Ti} \int_0^T dt_1 \int_0^{t_1} dt_2 [H(t_1), H(t_2)]$$

- ▶ ansatz: $H_F = \sum_{n=0}^{\infty} H_F^{(n)}$, $H_F^{(n)} \propto \omega^{-n}$

- ▶ limitation: has finite radius of convergence / asymptotic series
- ▶ fails to capture energy absorption (Floquet resonances)

How do we find H_F ?

- solve Schrödinger equation

- ▶ exact solutions: limited (circular drives, harmonic oscillators, etc.)
- ▶ in general: compute time-ordered exponentials → special functions

- inverse-frequency expansions (Magnus, van Vleck, etc.)

$$U(T,0) = \mathcal{T} \exp \left(-i \int_0^T dt H(t) \right) = \exp(-iTH_F)$$

$$H_F^{(0)} = \frac{1}{T} \int_0^T dt H(t)$$

$$H_F^{(1)} = \frac{1}{2!Ti} \int_0^T dt_1 \int_0^{t_1} dt_2 [H(t_1), H(t_2)]$$

- ▶ ansatz: $H_F = \sum_{n=0}^{\infty} H_F^{(n)}$, $H_F^{(n)} \propto \omega^{-n}$

- ▶ limitation: has finite radius of convergence / asymptotic series
- ▶ fails to capture energy absorption (Floquet resonances)

origin: H_F is non-local: $H_F = \sum_n \varepsilon_F^{(n)} |n_F\rangle\langle n_F| \longrightarrow \sum_n \varepsilon_F^{(n)} |n_F\rangle\langle n_F| + \omega |m_F\rangle\langle m_F| = H'_F$

e'state projector

How do we find H_F ?

- solve Schrödinger equation

- ▶ exact solutions: limited (circular drives, harmonic oscillators, etc.)
- ▶ in general: compute time-ordered exponentials → special functions

- inverse-frequency expansions (Magnus, van Vleck, etc.)

$$U(T,0) = \mathcal{T} \exp \left(-i \int_0^T dt H(t) \right) = \exp(-iTH_F)$$

$$H_F^{(0)} = \frac{1}{T} \int_0^T dt H(t)$$

$$H_F^{(1)} = \frac{1}{2!Ti} \int_0^T dt_1 \int_0^{t_1} dt_2 [H(t_1), H(t_2)]$$

- ▶ ansatz: $H_F = \sum_{n=0}^{\infty} H_F^{(n)}$, $H_F^{(n)} \propto \omega^{-n}$

- ▶ limitation: has finite radius of convergence / asymptotic series
- ▶ fails to capture energy absorption (Floquet resonances)

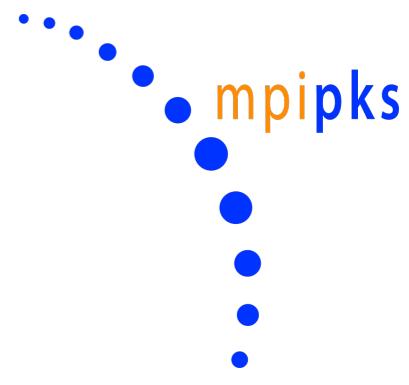
origin: H_F is non-local: $H_F = \sum_n \varepsilon_F^{(n)} |n_F\rangle\langle n_F| \rightarrow \sum_n \varepsilon_F^{(n)} |n_F\rangle\langle n_F| + \omega |m_F\rangle\langle m_F| = H'_F$

e'state projector

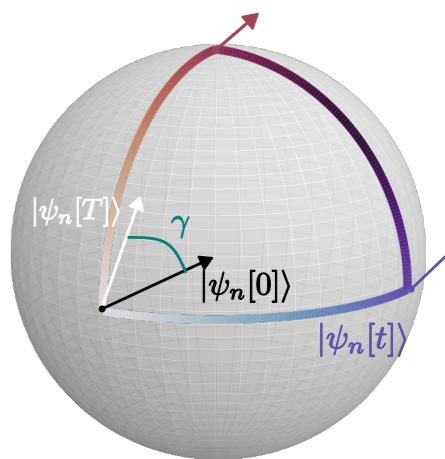
Q: other approaches to describe Floquet systems?



Geometric Floquet theory (take-home messages)

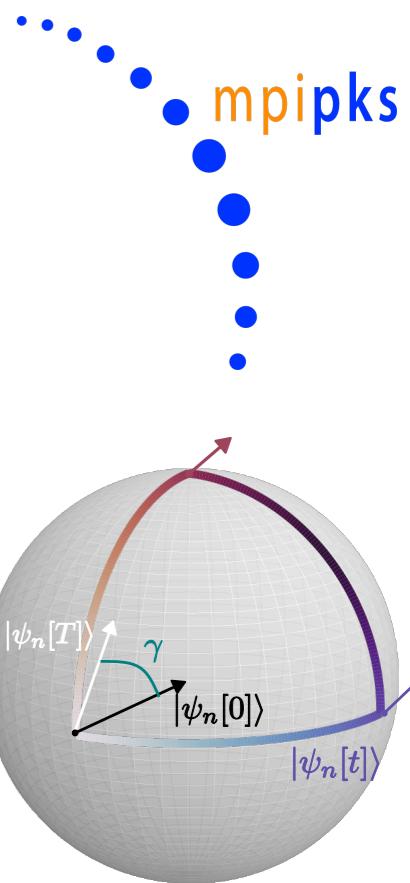


- ❖ Floquet theory follows from the adiabatic theorem
 - ▶ alternative decomposition of dynamics: geometric & dynamical phases





Geometric Floquet theory (take-home messages)

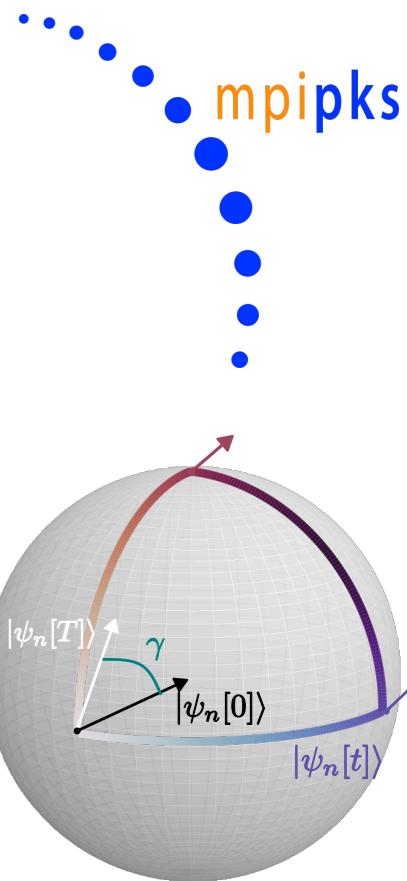


- ❖ Floquet theory follows from the adiabatic theorem
 - ▶ alternative decomposition of dynamics: geometric & dynamical phases

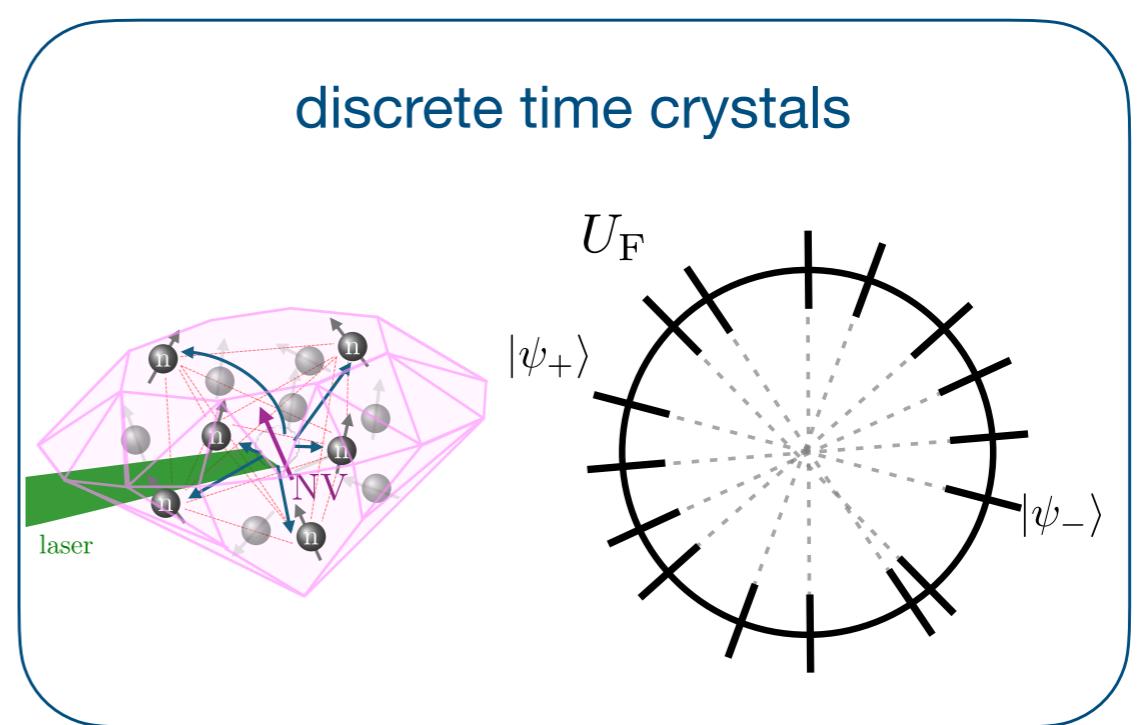
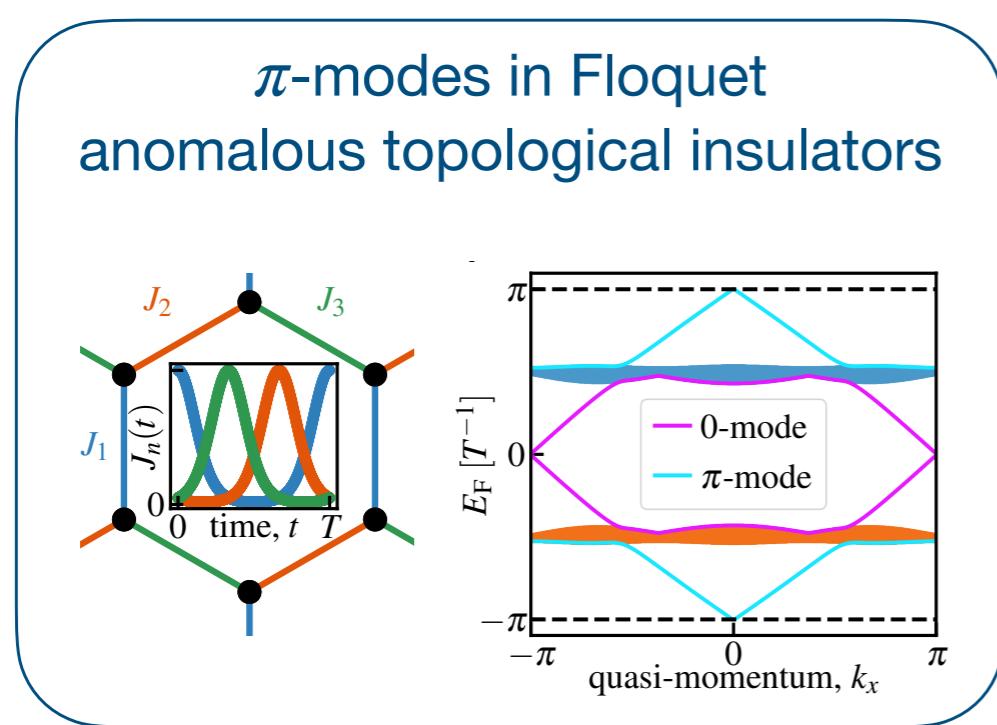
- ❖ dynamical phase defines a unique Floquet ground state
 - ▶ guaranteed by parallel-transport gauge and the adiabatic limit



Geometric Floquet theory (take-home messages)

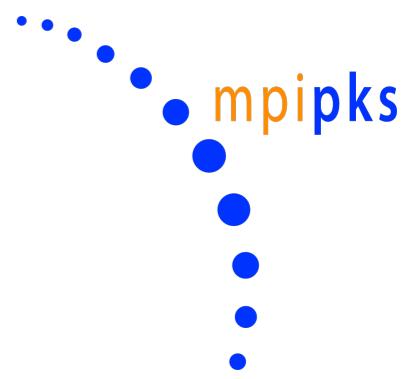


- ❖ Floquet theory follows from the adiabatic theorem
 - ▶ alternative decomposition of dynamics: geometric & dynamical phases
- ❖ dynamical phase defines a unique Floquet ground state
 - ▶ guaranteed by parallel-transport gauge and the adiabatic limit
- ❖ geometric phase captures inherently nonequilibrium phenomena

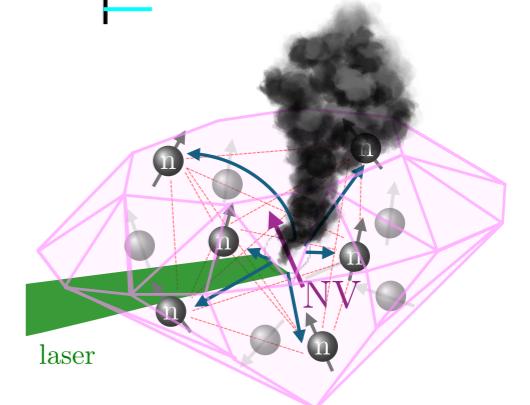
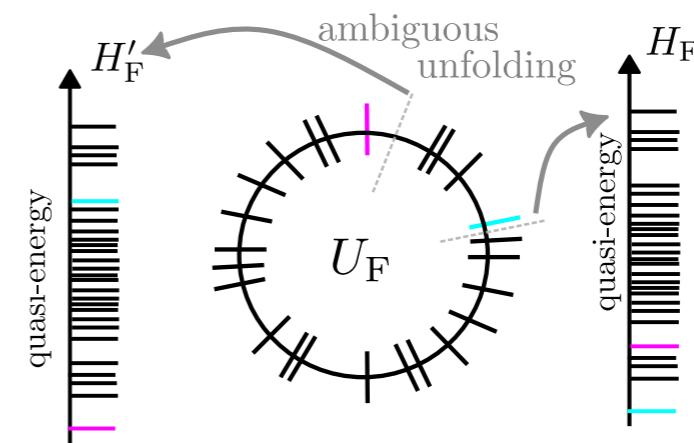
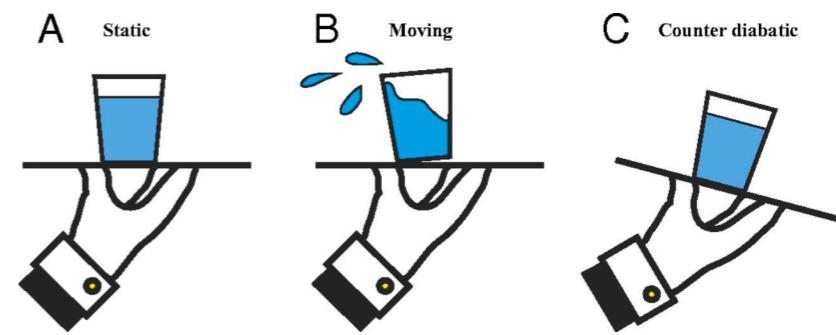




Outline

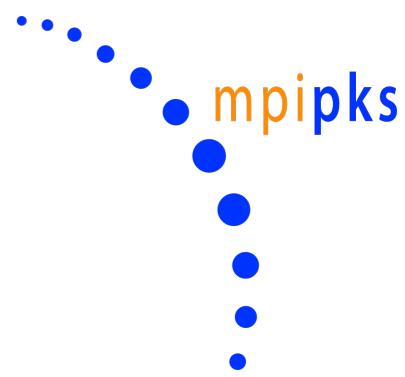


- **Adiabatic evolution**
 - adiabatic gauge potentials
 - counterdiabatic driving
- **Geometric Floquet theory**
 - Floquet theory as a shortcut to adiabaticity
 - quasienergy folding
 - *the Floquet ground state*
- **Applications**
 - heating, discrete time crystals
 - variational principle for Floquet Hamiltonian

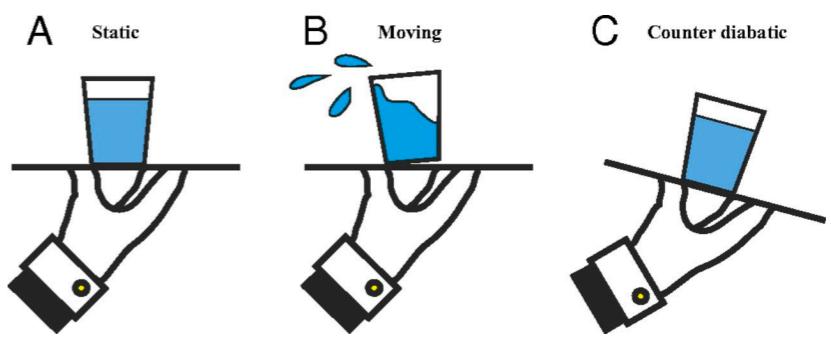




Outline



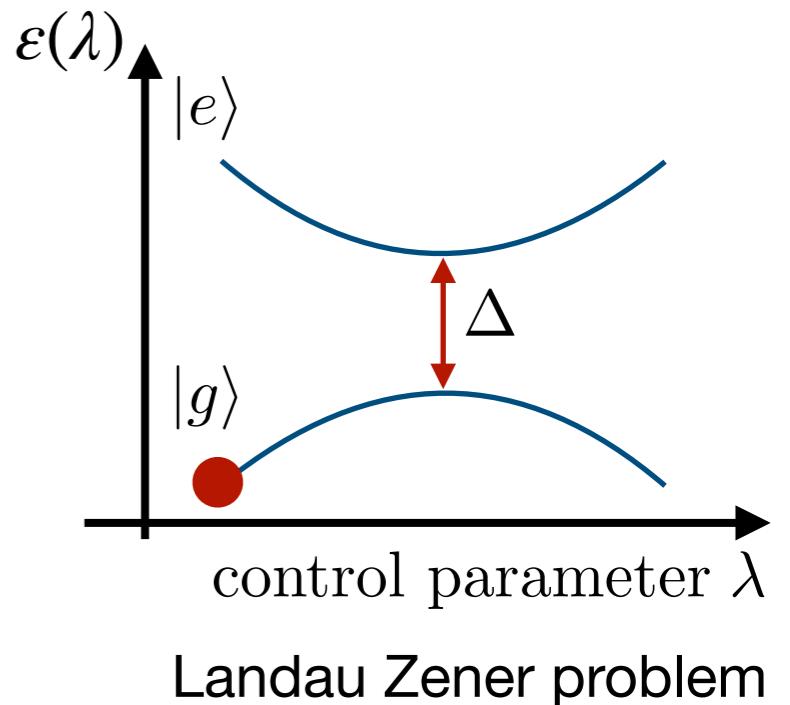
- **Adiabatic evolution**
 - adiabatic gauge potentials
 - counterdiabatic driving



Adiabatic driving

- adiabatic theorem

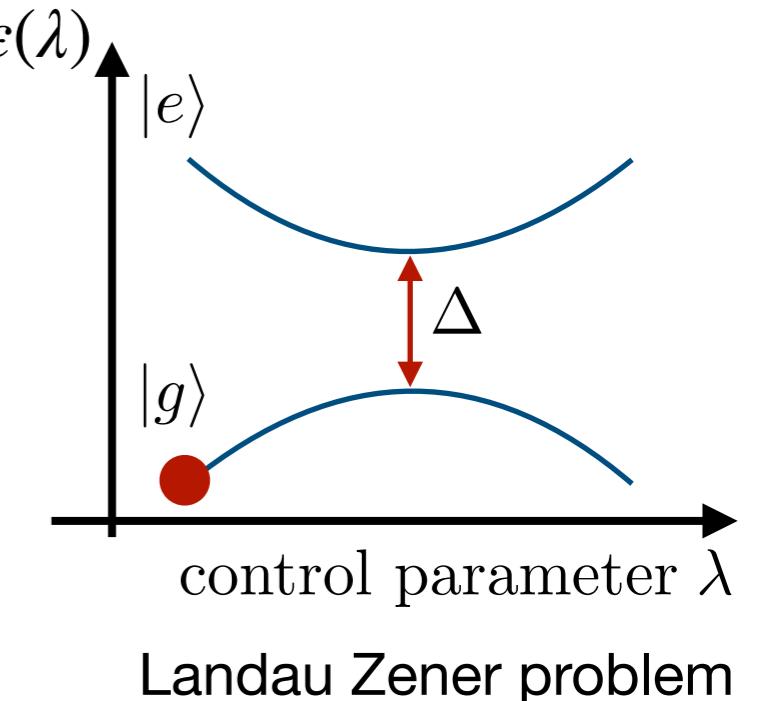
- ▶ gapped e'state $H(\lambda) |n[\lambda]\rangle = \varepsilon(\lambda) |n[\lambda]\rangle$
- ▶ adiabatic limit: $\dot{\lambda} \rightarrow 0, \quad T \rightarrow \infty, \quad \dot{\lambda}T \rightarrow \text{const.}$



Adiabatic driving

- **adiabatic theorem**

- gapped e'state $H(\lambda)|n[\lambda]\rangle = \varepsilon(\lambda)|n[\lambda]\rangle$
- adiabatic limit: $\dot{\lambda} \rightarrow 0, T \rightarrow \infty, \dot{\lambda}T \rightarrow \text{const.}$



$$|n(t)\rangle = \mathcal{T} \exp\left(-i \int_0^t ds H(\lambda(s))\right) |n[0]\rangle \rightarrow e^{-i\phi_n(t)} e^{-i\gamma_n(t)} |n[\lambda(t)]\rangle$$

evolved stateinstantaneous state

‣ **dynamical phase** $\phi_n(t) = \int_0^t ds \varepsilon(\lambda(s))$

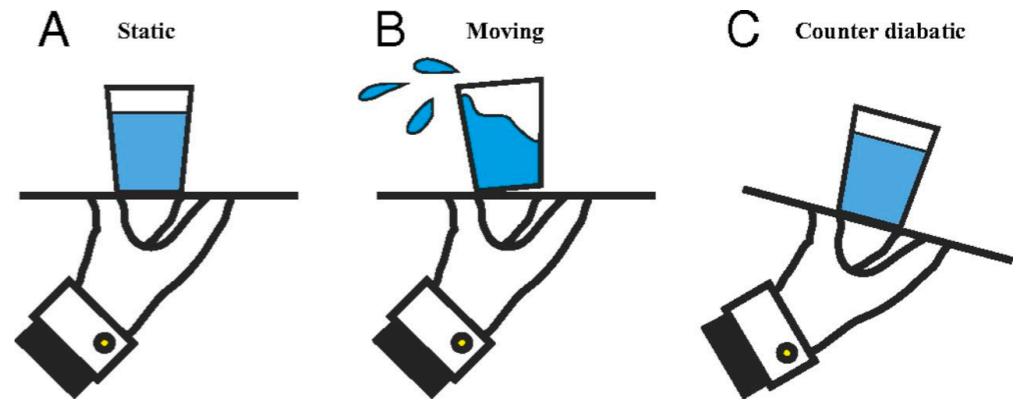
‣ **geometric phase** $\gamma_n(t) = \int_{\lambda(0)}^{\lambda(t)} d\lambda \langle n[\lambda] | i\partial_\lambda | n[\lambda] \rangle$

path-independent

Counterdiabatic driving

- breakdown of adiabatic evolution away from adiabatic limit

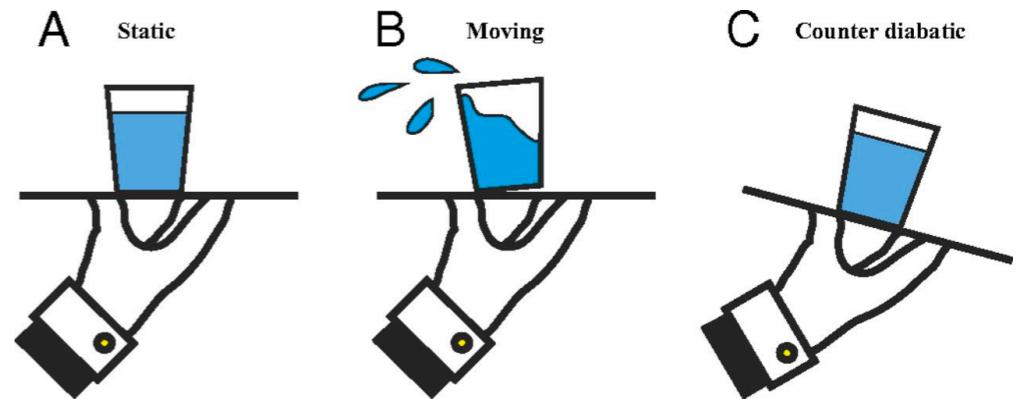
- ▶ get rid of excitations by applying a counter-force
- ▶ shortcut to adiabaticity



Counterdiabatic driving

- breakdown of adiabatic evolution away from adiabatic limit

- ▶ get rid of excitations by applying a counter-force
- ▶ shortcut to adiabaticity

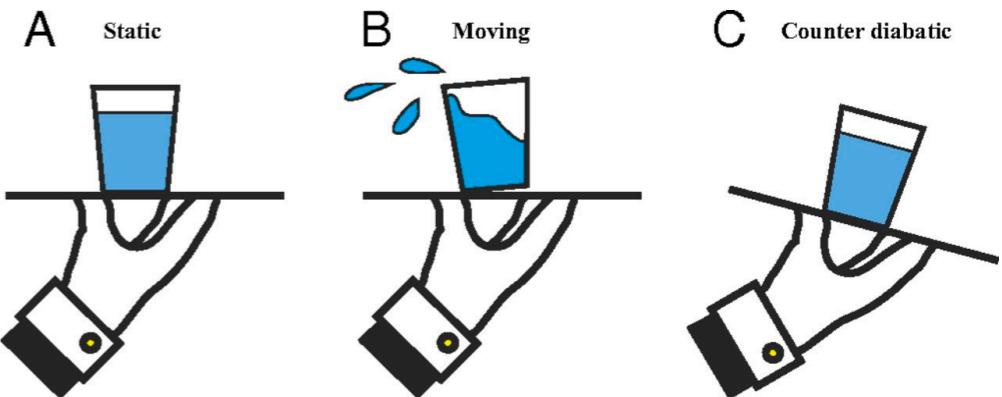


- counterdiabatic (CD) driving $H_{\text{CD}}(\lambda) = H(\lambda) + i\dot{\lambda}\mathcal{A}_\lambda$

Counterdiabatic driving

- breakdown of adiabatic evolution away from adiabatic limit

- get rid of excitations by applying a counter-force
- shortcut to adiabaticity



- counterdiabatic (CD) driving $H_{\text{CD}}(\lambda) = H(\lambda) + i\dot{\mathcal{A}}_\lambda$

- identify cause of excitations

- diagonalizing unitary: $U^\dagger(\lambda)H(\lambda)U(\lambda) = D_\lambda$ diagonal, no excitations

adiabatic gauge potential (AGP)

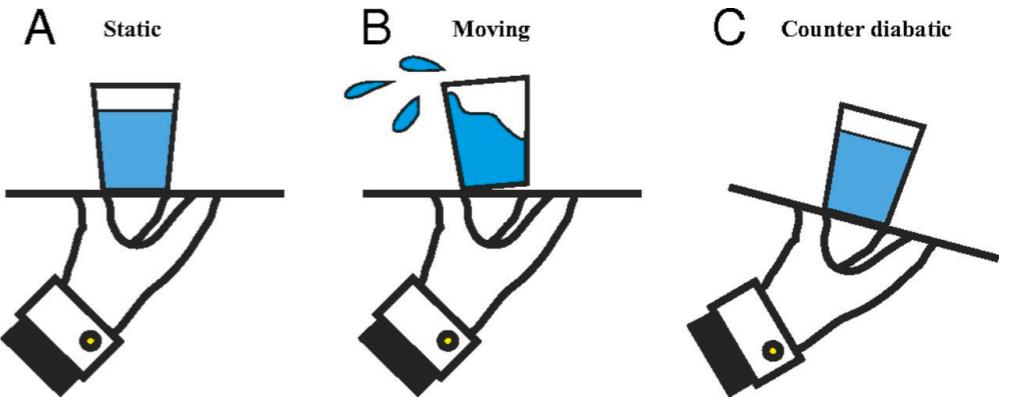
creates all excitations

- co-moving frame Hamiltonian: $H_{\text{co-mov}} = U^\dagger H U - \dot{\lambda} U^\dagger i \partial_\lambda U = D_\lambda - i\tilde{\mathcal{A}}_\lambda$

Counterdiabatic driving

- breakdown of adiabatic evolution away from adiabatic limit

- get rid of excitations by applying a counter-force
- shortcut to adiabaticity



- counterdiabatic (CD) driving $H_{\text{CD}}(\lambda) = H(\lambda) + i\dot{\mathcal{A}}_\lambda$

- identify cause of excitations

- diagonalizing unitary: $U^\dagger(\lambda)H(\lambda)U(\lambda) = D_\lambda$ diagonal, no excitations
- co-moving frame Hamiltonian: $H_{\text{co-mov}} = U^\dagger H U - \dot{\lambda} U^\dagger i \partial_\lambda U = D_\lambda - i\tilde{\mathcal{A}}_\lambda$ adiabatic gauge potential (AGP) creates all excitations

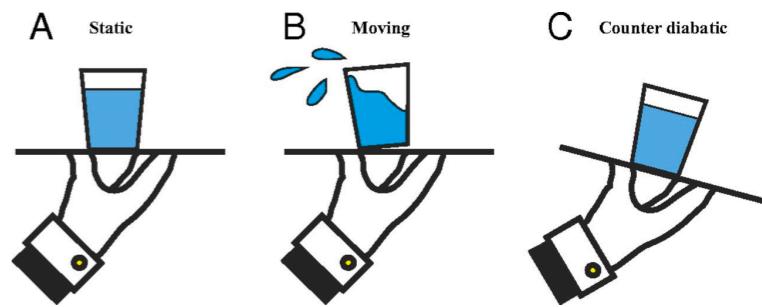
- Berry connection: $A_\lambda^{(n)} = \langle n[\lambda] | \mathcal{A} | n[\lambda] \rangle$

- Berry phase: $\gamma = \oint_C A_\lambda^{(n)} \cdot d\lambda$

- geometric tensor: $g_{\mu\nu}^{(n)} = \langle n[\lambda] | \mathcal{A}_\mu \mathcal{A}_\nu | n[\lambda] \rangle_c$

- Berry curvature: $F_{\mu\nu}^{(n)} = \partial_\mu A_\nu^{(n)} - \partial_\nu A_\mu^{(n)}$

Gauge potential

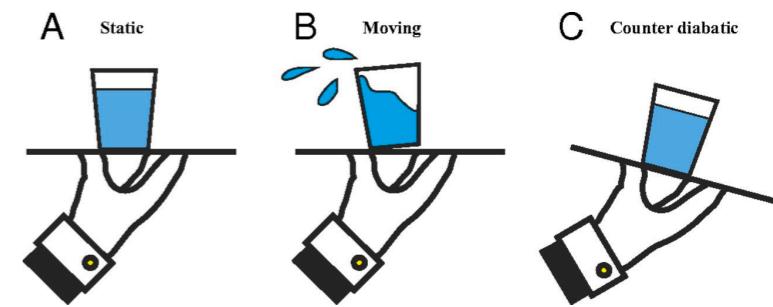


- ◎ AGP not unique: U(1) gauge freedom

Berry connection *not* gauge invariant!

- ▶ re-phase e'state: $|n[\lambda]\rangle \mapsto e^{i\chi_n(\lambda)} |n[\lambda]\rangle$ $\langle n|\mathcal{A}_\lambda|n\rangle \rightarrow \langle n|\mathcal{A}_\lambda|n\rangle - \partial_\lambda \chi_n$
- ▶ CD Hamiltonian not unique: $H_{\text{CD}} \mapsto H'_{\text{CD}} = H + \sum^n \dot{\lambda} \mathcal{A}'_\lambda$

Gauge potential



- AGP not unique: U(1) gauge freedom

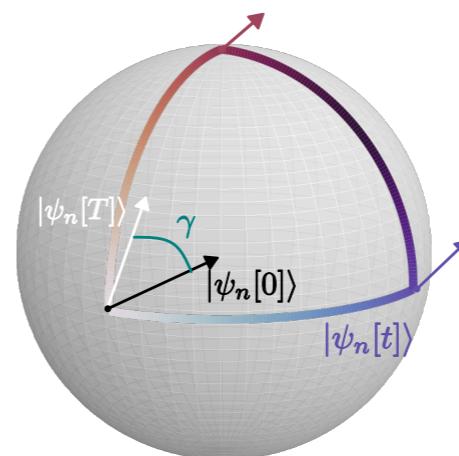
Berry connection *not* gauge invariant!

- re-phase e'state: $|n[\lambda]\rangle \mapsto e^{i\chi_n(\lambda)} |n[\lambda]\rangle$ $\langle n | \mathcal{A}_\lambda | n \rangle \rightarrow \langle n | \mathcal{A}_\lambda | n \rangle - \partial_\lambda \chi_n$

$$\mathcal{A}_\lambda \mapsto \mathcal{A}'_\lambda = \mathcal{A}_\lambda - \sum_n \partial_\lambda \chi_n(\lambda) |n[\lambda]\rangle \langle n[\lambda]|$$

- CD Hamiltonian not unique: $H_{\text{CD}} \mapsto H'_{\text{CD}} = H + \dot{\lambda} \mathcal{A}'_\lambda$

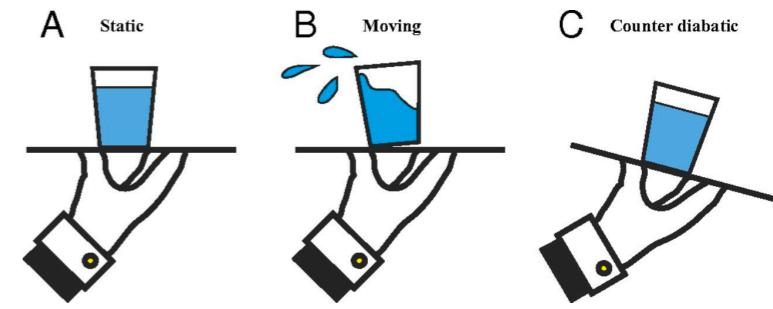
- Kato potential: *parallel-transport gauge* $\mathcal{A}_K = \mathcal{A}_\lambda - \sum_n \langle n | \mathcal{A}_\lambda | n \rangle |n\rangle \langle n|$



$$\mathcal{A}_\lambda \leftrightarrow i\partial_\lambda \quad \text{derivative}$$

$$\mathcal{A}_K \leftrightarrow iD_\lambda \quad \text{covariant derivative}$$

Gauge potential



- AGP not unique: U(1) gauge freedom

Berry connection *not* gauge invariant!

- re-phase e'state: $|n[\lambda]\rangle \mapsto e^{i\chi_n(\lambda)} |n[\lambda]\rangle$ $\langle n|\mathcal{A}_\lambda|n\rangle \rightarrow \langle n|\mathcal{A}_\lambda|n\rangle - \partial_\lambda \chi_n$

$$\mathcal{A}_\lambda \mapsto \mathcal{A}'_\lambda = \mathcal{A}_\lambda - \sum_n \partial_\lambda \chi_n(\lambda) |n[\lambda]\rangle \langle n[\lambda]|$$

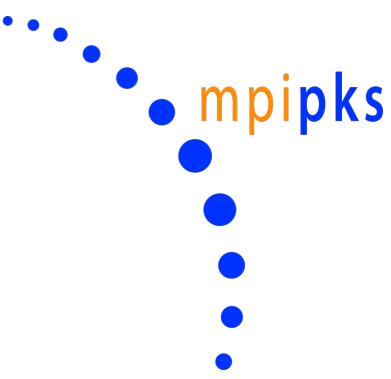
- CD Hamiltonian not unique: $H_{\text{CD}} \mapsto H'_{\text{CD}} = H + \dot{\lambda} \mathcal{A}'_\lambda$

- Kato potential: *parallel-transport gauge* $\mathcal{A}_K = \mathcal{A}_\lambda - \sum_n \langle n|\mathcal{A}_\lambda|n\rangle |n\rangle \langle n|$

- unique:** CD driving reproduces adiabatic phases

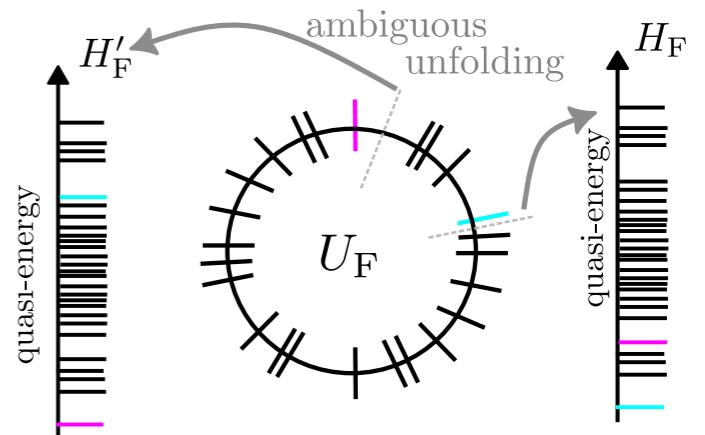
$$|n(t)\rangle = \mathcal{T} \exp \left(-i \int_0^t ds \, \textcolor{red}{H(\lambda(s))} \right) |n(0)\rangle \xrightarrow{\substack{\text{adiabatic limit} \\ \text{evolved state} \approx e^{i \text{phase}} \text{ instantaneous state}}} e^{i\phi_n(t)} e^{i\gamma_n(t)} |n[\lambda(t)]\rangle$$

$$|n(t)\rangle = \mathcal{T} \exp \left(-i \int_0^t ds \, \overbrace{H(\lambda(s)) + \dot{\lambda} \mathcal{A}_K(\lambda(s))}^{=H_{\text{CD}}} \right) |n(0)\rangle \xrightarrow{\text{CD driving}} e^{i\phi_n(t)} e^{i\gamma_n(t)} |n[\lambda(t)]\rangle$$



Outline

- **Geometric Floquet theory**
 - Floquet theory as a shortcut to adiabaticity
 - quasienergy folding
 - *the Floquet ground state*
- **Applications**
 - heating, discrete time crystals
 - variational principle for Floquet Hamiltonian

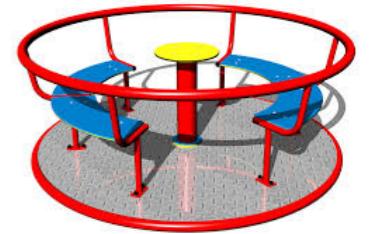


Floquet theory as a shortcut to adiabaticity

- Floquet's theorem:

$$H_F[0] = P^\dagger(t)H(t)P(t) - P^\dagger(t)i\partial_t P(t)$$

$$H_F[t] = H(t) - i\partial_t P(t)P^\dagger(t)$$

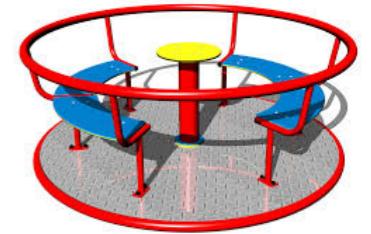


Floquet theory as a shortcut to adiabaticity

- Floquet's theorem:

$$H_F[0] = P^\dagger(t)H(t)P(t) - P^\dagger(t)i\partial_t P(t)$$

$$H_F[t] = H(t) - i\partial_t P(t)P^\dagger(t)$$



$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

$H(t)$ is the CD Hamiltonian for $H_F[t]$

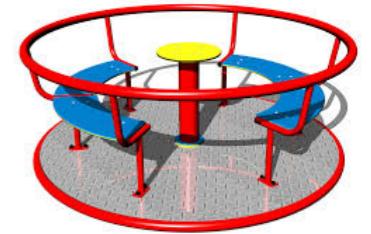
relation between CD driving
and Floquet physics

Floquet theory as a shortcut to adiabaticity

- **Floquet's theorem:**

$$H_F[0] = P^\dagger(t)H(t)P(t) - P^\dagger(t)i\partial_t P(t)$$

$$H_F[t] = H(t) - i\partial_t P(t)P^\dagger(t)$$



$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

$H(t)$ is the CD Hamiltonian for $H_F[t]$

relation between CD driving
and Floquet physics

- check: $|n_F(t)\rangle = \mathcal{T}e^{-i\int_0^t ds H(s)} |n_F(0)\rangle = P(t)e^{-itH_F} |n_F(0)\rangle = e^{-it\varepsilon_F^{(n)}} P(t) |n_F[0]\rangle = e^{-it\varepsilon_F^{(n)}} |n_F[t]\rangle$
evolved state = $e^{i \text{phase}}$ instantaneous state

Floquet theory as a shortcut to adiabaticity

- **Floquet's theorem:**

$$H_F[0] = P^\dagger(t)H(t)P(t) - P^\dagger(t)i\partial_t P(t)$$

$$H_F[t] = H(t) - i\partial_t P(t)P^\dagger(t)$$



$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

$H(t)$ is the CD Hamiltonian for $H_F[t]$

relation between CD driving
and Floquet physics

- ▶ check: $|n_F(t)\rangle = \mathcal{T}e^{-i\int_0^t ds H(s)} |n_F(0)\rangle = P(t)e^{-itH_F} |n_F(0)\rangle = e^{-it\varepsilon_F^{(n)}} P(t) |n_F[0]\rangle = e^{-it\varepsilon_F^{(n)}} |n_F[t]\rangle$
evolved state = $e^{i\text{phase}}$ instantaneous state

- given drive $H(t)$, finding AGP $\mathcal{A}_F(t)$ determines Floquet Hamiltonian $H_F[t]$
 - ▶ variational principle for $\mathcal{A}_F(t)$ gives nonperturbative approximation to $H_F[t]$

❖ Floquet's theorem: special case of the Adiabatic theorem

- ◎ adiabatic theorem (in counterdiabatic form) for $\lambda \doteq t$:
 - ▶ $H_{\text{CD}} = H(t) = H_F[t] + \mathcal{A}_F(t)$ generates adiabatic evolution w.r.t. the states of $H_F[t]$

❖ Floquet's theorem: special case of the Adiabatic theorem

- ◎ adiabatic theorem (in counterdiabatic form) for $\lambda \hat{=} t$:
 - ▶ $H_{CD} = H(t) = H_F[t] + \mathcal{A}_F(t)$ generates adiabatic evolution w.r.t. the states of $H_F[t]$
 - ▶ co-moving frame: $\tilde{H}(t) = \tilde{H}_F[t]$, i.e., no excitations: $\tilde{U}(t,0) = \exp(-it\tilde{H}_F[0])$

Floquet rotating frame is the co-moving frame for H_F w.r.t. time



❖ Floquet's theorem: special case of the Adiabatic theorem

- ◎ adiabatic theorem (in counterdiabatic form) for $\lambda \hat{=} t$:

- ▶ $H_{CD} = H(t) = H_F[t] + \mathcal{A}_F(t)$ generates adiabatic evolution w.r.t. the states of $H_F[t]$
- ▶ co-moving frame: $\tilde{H}(t) = \tilde{H}_F[t]$, i.e., no excitations: $\tilde{U}(t,0) = \exp(-it\tilde{H}_F[0])$

Floquet rotating frame is the co-moving frame for H_F w.r.t. time

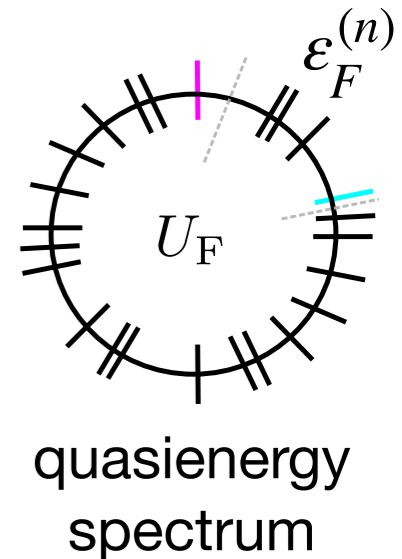


- ▶ evolution in lab frame: $U(t,0) = \mathcal{T} \exp \left(-i \int_0^t \mathcal{A}_F(s) ds \right) \exp(-itH_F[0])$
 $= P(t) \exp(-itH_F[0])$

recover Floquet's theorem

for general proof: **PM Schindler and MB, arXiv: 2410.07029**

Quasienergy folding: a new perspective

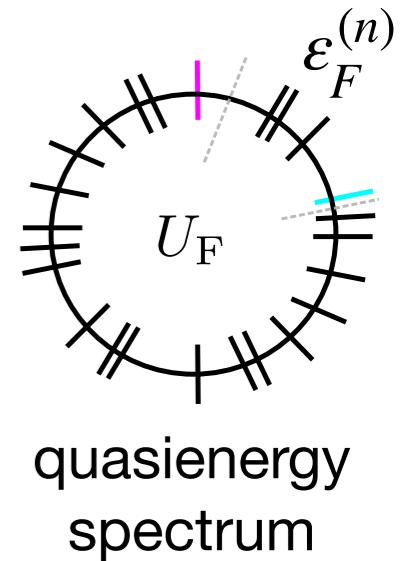


$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

$$U_F = \exp(-iTH_F)$$

- recall: quasienergies defined up to integer multiple of drive frequency: $\varepsilon_F^{(n)} + m\omega$, $m \in \mathbb{Z}$

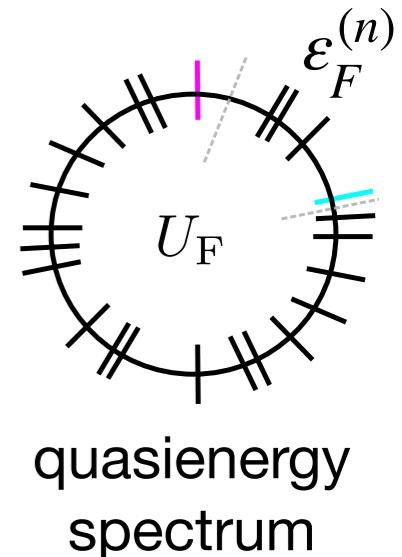
Quasienergy folding: a new perspective



$$H(t) = H_F[t] + \mathcal{A}_F(t) \mapsto H_F[t] - \sum_n \partial_t \chi_n(t) |n_F[t]\rangle\langle n_F[t]| + \mathcal{A}_F(t)$$

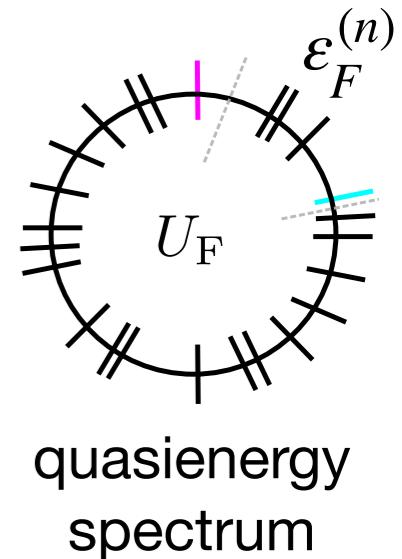
- recall: quasienergies defined up to integer multiple of drive frequency: $\varepsilon_F^{(n)} + m\omega$, $m \in \mathbb{Z}$
- $U(1)$ gauge: $|n_F[t]\rangle \mapsto e^{i\chi(t)}|n_F[t]\rangle$; $\langle n_F|\mathcal{A}_F|n_F\rangle \mapsto \langle n_F|\mathcal{A}_F|n_F\rangle - \partial_t \chi$

Quasienergy folding: a new perspective



$$H(t) = H_F[t] + \mathcal{A}_F(t) \mapsto H_F[t] - \sum_n \partial_t \chi_n(t) |n_F[t]\rangle\langle n_F[t]| + \mathcal{A}_F(t)$$

- recall: quasienergies defined up to integer multiple of drive frequency: $\varepsilon_F^{(n)} + m\omega$, $m \in \mathbb{Z}$
- $U(1)$ gauge: $|n_F[t]\rangle \mapsto e^{i\chi(t)}|n_F[t]\rangle$; $\langle n_F|\mathcal{A}_F|n_F\rangle \mapsto \langle n_F|\mathcal{A}_F|n_F\rangle - \partial_t \chi$
- impose periodicity $|n_F[t+T]\rangle = |n_F[t]\rangle$: $\chi(t) = m\omega t + \sum_\ell a_\ell \sin(\ell\omega t)$
 $\partial_t \chi = m\omega + \sum_\ell \ell\omega a_\ell \cos(\ell\omega t)$



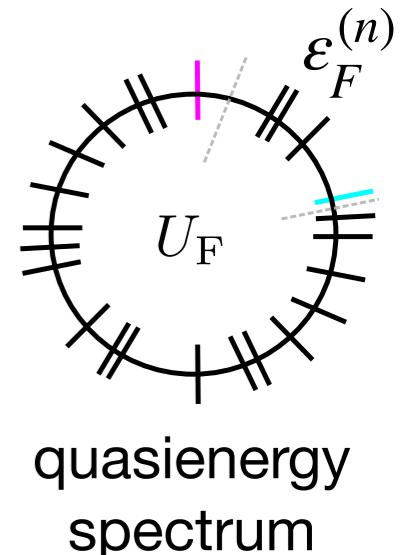
Quasienergy folding: a new perspective

$$H(t) = H_F[t] + \mathcal{A}_F(t) \mapsto H_F[t] - \sum_n \partial_t \chi_n(t) |n_F[t]\rangle\langle n_F[t]| + \mathcal{A}_F(t)$$

$$\varepsilon_F^{(n)} \mapsto \varepsilon_F^{(n)} - \partial_t \chi_n(t)$$

- recall: quasienergies defined up to integer multiple of drive frequency: $\varepsilon_F^{(n)} + m\omega$, $m \in \mathbb{Z}$
- $U(1)$ gauge: $|n_F[t]\rangle \mapsto e^{i\chi(t)}|n_F[t]\rangle$; $\langle n_F|\mathcal{A}_F|n_F\rangle \mapsto \langle n_F|\mathcal{A}_F|n_F\rangle - \partial_t \chi$
- impose periodicity $|n_F[t+T]\rangle = |n_F[t]\rangle$: $\chi(t) = m\omega t + \sum_\ell a_\ell \sin(\ell\omega t)$
 $\partial_t \chi = m\omega + \sum_\ell \ell\omega a_\ell \cos(\ell\omega t)$
- quasienergies are time-independent: $a_\ell = 0$

Quasienergy folding: a new perspective

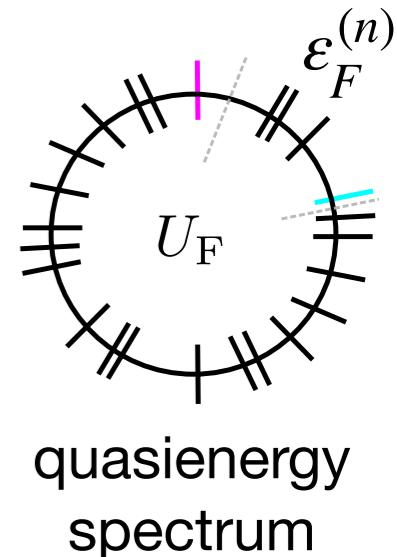


$$H(t) = H_F[t] + \mathcal{A}_F(t) \mapsto H_F[t] - \sum \partial_t \chi_n(t) |n_F[t]\rangle\langle n_F[t]| + \mathcal{A}_F(t)$$

$$\varepsilon_F^{(n)} \mapsto \varepsilon_F^{(n)} - \partial_t \chi_n(t)$$

- recall: quasienergies defined up to integer multiple of drive frequency: $\varepsilon_F^{(n)} + m\omega$, $m \in \mathbb{Z}$
- $U(1)$ gauge: $|n_F[t]\rangle \mapsto e^{i\chi(t)}|n_F[t]\rangle$; $\langle n_F|\mathcal{A}_F|n_F\rangle \mapsto \langle n_F|\mathcal{A}_F|n_F\rangle - \partial_t \chi$
- impose periodicity $|n_F[t+T]\rangle = |n_F[t]\rangle$: $\chi(t) = m\omega t + \sum_{\ell} a_{\ell} \sin(\ell\omega t)$
 $\partial_t \chi = m\omega + \sum_{\ell} \ell\omega a_{\ell} \cos(\ell\omega t)$
- quasienergies are time-independent: $a_{\ell} = 0$
- leftover gauge freedom: $\partial_t \chi = m\omega \Rightarrow$ folding

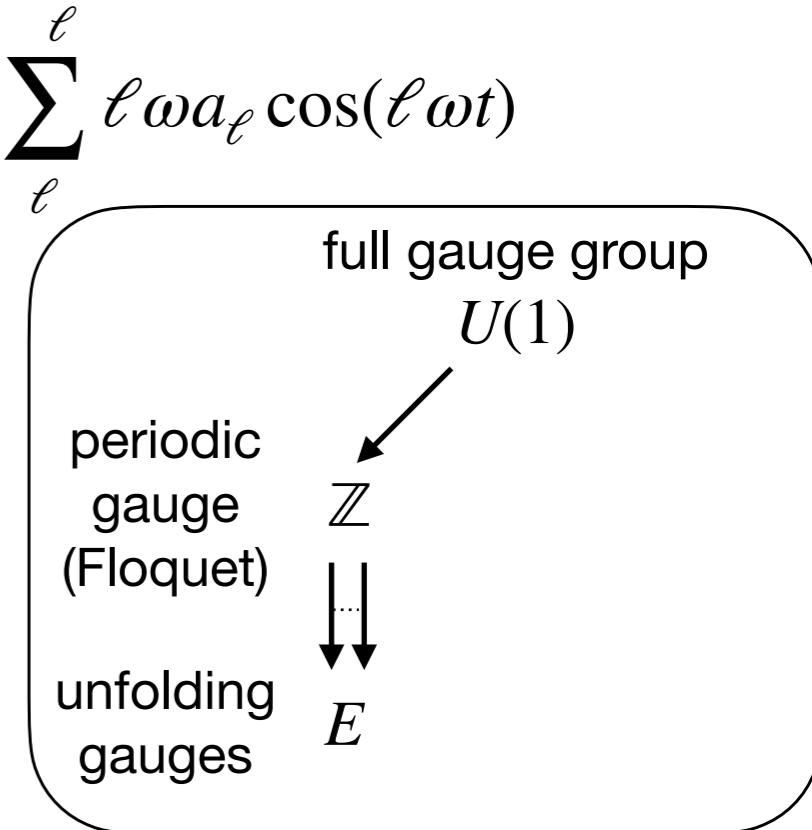
Quasienergy folding: a new perspective



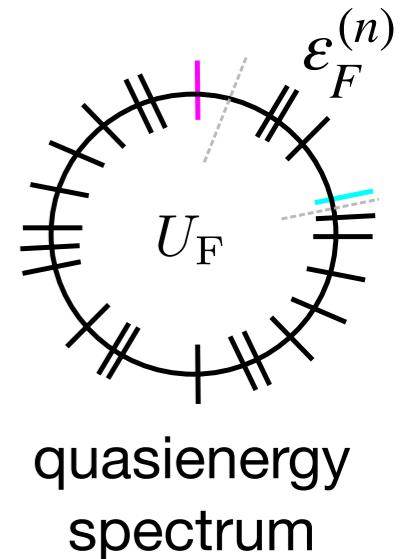
$$H(t) = H_F[t] + \mathcal{A}_F(t) \mapsto H_F[t] - \sum \partial_t \chi_n(t) |n_F[t]\rangle\langle n_F[t]| + \mathcal{A}_F(t)$$

$$\epsilon_F^{(n)} \mapsto \epsilon_F^{(n)} - \partial_t \chi_n(t)$$

- recall: quasienergies defined up to integer multiple of drive frequency: $\epsilon_F^{(n)} + m\omega$, $m \in \mathbb{Z}$
- $U(1)$ gauge: $|n_F[t]\rangle \mapsto e^{i\chi(t)}|n_F[t]\rangle$; $\langle n_F|\mathcal{A}_F|n_F\rangle \mapsto \langle n_F|\mathcal{A}_F|n_F\rangle - \partial_t \chi$
- impose periodicity $|n_F[t+T]\rangle = |n_F[t]\rangle$: $\chi(t) = m\omega t + \sum_{\ell} a_{\ell} \sin(\ell\omega t)$
 $\partial_t \chi = m\omega + \sum_{\ell} \ell\omega a_{\ell} \cos(\ell\omega t)$
- quasienergies are time-independent: $a_{\ell} = 0$
- leftover gauge freedom: $\partial_t \chi = m\omega \Rightarrow$ folding
- periodicity breaks gauge group: $U(1) \rightarrow \mathbb{Z}$



Quasienergy folding: a new perspective

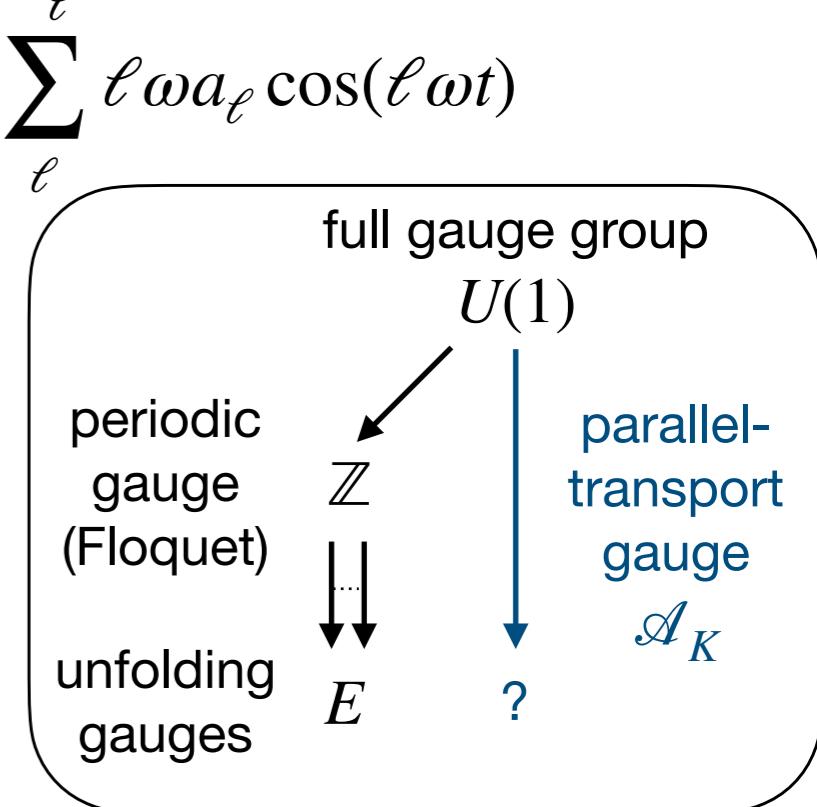


$$H(t) = H_F[t] + \mathcal{A}_F(t) \mapsto H_F[t] - \sum \partial_t \chi_n(t) |n_F[t]\rangle\langle n_F[t]| + \mathcal{A}_F(t)$$

$$\varepsilon_F^{(n)} \mapsto \varepsilon_F^{(n)} - \partial_t \chi_n(t)$$

- recall: quasienergies defined up to integer multiple of drive frequency: $\varepsilon_F^{(n)} + m\omega$, $m \in \mathbb{Z}$
- $U(1)$ gauge: $|n_F[t]\rangle \mapsto e^{i\chi(t)}|n_F[t]\rangle$; $\langle n_F|\mathcal{A}_F|n_F\rangle \mapsto \langle n_F|\mathcal{A}_F|n_F\rangle - \partial_t \chi$
- impose periodicity $|n_F[t+T]\rangle = |n_F[t]\rangle$: $\chi(t) = m\omega t + \sum_{\ell} a_{\ell} \sin(\ell\omega t)$
 $\partial_t \chi = m\omega + \sum_{\ell} \ell\omega a_{\ell} \cos(\ell\omega t)$
- quasienergies are time-independent: $a_{\ell} = 0$
- leftover gauge freedom: $\partial_t \chi = m\omega \Rightarrow$ folding
- periodicity breaks gauge group: $U(1) \rightarrow \mathbb{Z}$

quasienergy folding is a consequence of partial gauge fixing



❖ The Floquet ground state

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

micromotion

quasienergy

| | |
|--|-----------------------------|
| ◉ evolution operator $U(t,0) = \mathcal{T} \exp \left(-i \int_0^t \mathcal{A}_F(s) ds \right) \exp(-itH_F[0])$ | periodic gauge |
| ▶ use Kato potential \mathcal{A}_K $= \mathcal{T} \exp \left(-i \int_0^t \mathcal{A}_K(s) ds \right) \exp(-itE(t,0))$ | parallel-transport gauge |
| | |

❖ The Floquet ground state

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

micromotion

quasienergy

- ◎ evolution operator $U(t,0) = \mathcal{T} \exp \left(-i \int_0^t \mathcal{A}_F(s) ds \right) \exp(-itH_F[0])$ periodic gauge
- use Kato potential $\mathcal{A}_K = \mathcal{T} \exp \left(-i \int_0^t \mathcal{A}_K(s) ds \right) \exp(-it\bar{E}(t,0))$ parallel-transport gauge
 - geometric phase
 - dynamical phase
- Average Energy operator \bar{E} and H_F share same e'states (Floquet states)

$$\bar{E}(t,0) = \sum_n \alpha_n(t,0) |n_F[0]\rangle \langle n_F[0]|$$

$$\alpha_n(t,0) = \frac{1}{t} \int_0^t ds \langle n_F[s] | H(s) | n_F[s] \rangle$$

unfolded since $H(t)$ is extensive

★ order Floquet states

❖ The Floquet ground state

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

micromotion

quasienergy

- ◎ evolution operator $U(t,0) = \mathcal{T} \exp \left(-i \int_0^t \mathcal{A}_F(s) ds \right) \exp(-itH_F[0])$ periodic gauge
- use Kato potential $\mathcal{A}_K = \mathcal{T} \exp \left(-i \int_0^t \mathcal{A}_K(s) ds \right) \exp(-it\bar{E}(t,0))$ parallel-transport gauge
 - geometric phase
 - dynamical phase

- Average Energy operator \bar{E} and H_F share same e'states (Floquet states)

$$\bar{E}(t,0) = \sum_n \alpha_n(t,0) |n_F[0]\rangle \langle n_F[0]|$$

$$\alpha_n(t,0) = \frac{1}{t} \int_0^t ds \langle n_F[s] | H(s) | n_F[s] \rangle$$

unfolded since $H(t)$ is extensive

★ order Floquet states

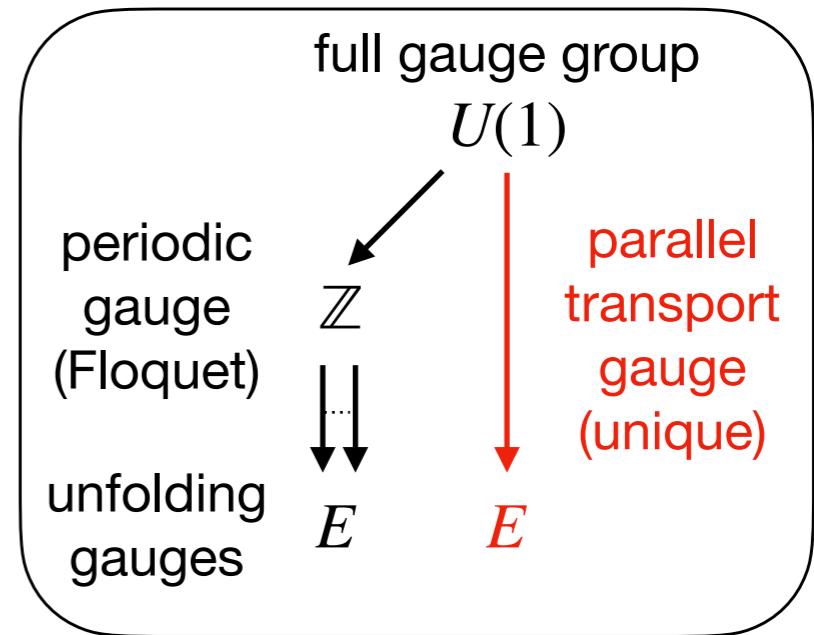
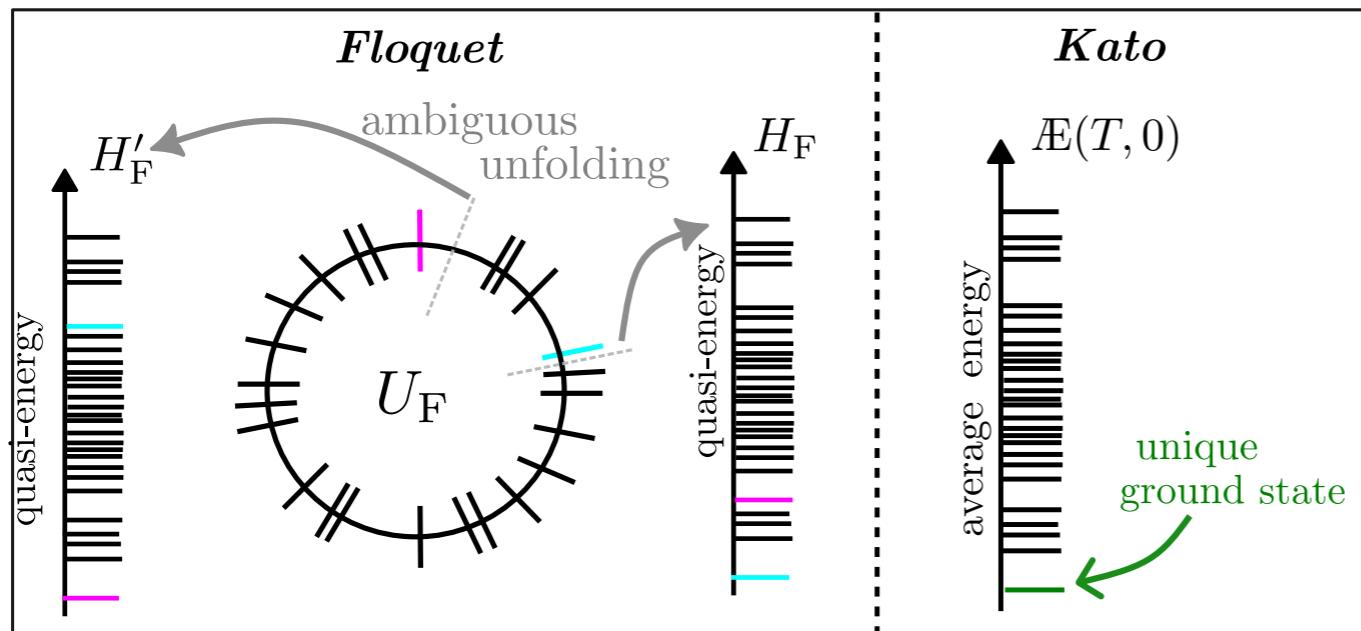
- Floquet unitary: $U(T,0) = \mathcal{T} \exp \left(-i \int_0^T \mathcal{A}_K(s) ds \right) \exp(-iT\bar{E}(T,0))$

Wilson loop, Berry phases

$$\epsilon_F^{(n)} = T^{-1} \gamma_n(T) + \alpha_n(T)$$

period-averaged energy
indep. of phase of the drive

❖ The Floquet ground state



- Average Energy operator \bar{E} and H_F share same e'states (Floquet states)

$$\bar{E}(t,0) = \sum_n \alpha_n(t,0) |n_F[0]\rangle\langle n_F[0]|$$

$$\alpha_n(t,0) = \frac{1}{t} \int_0^t ds \langle n_F[s] | H(s) | n_F[s] \rangle$$

unfolded since $H(t)$ is extensive

★ order Floquet states

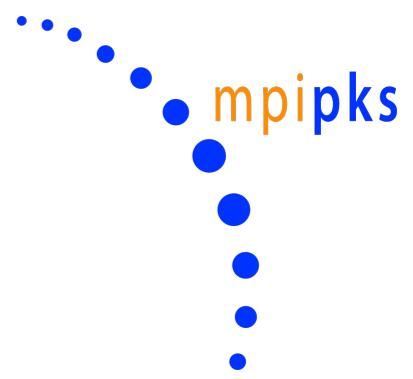
$$\text{Floquet unitary: } U(T,0) = \mathcal{T} \exp \left(-i \int_0^T \mathcal{A}_K(s) ds \right)$$

Wilson loop, Berry phases

$$\exp(-iT\bar{E}(T,0))$$

period-averaged energy
indep. of phase of the drive

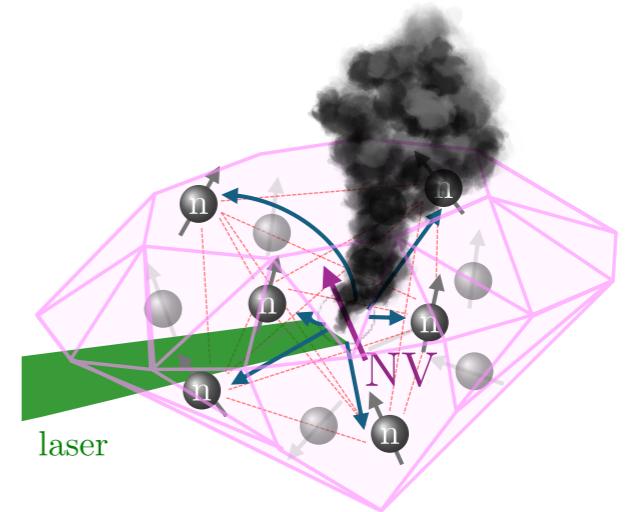
$$\varepsilon_F^{(n)} = T^{-1} \gamma_n(T) + \alpha_n(T)$$



Outline

- **Applications**

- heating, discrete time crystals
- variational principle for Floquet Hamiltonian



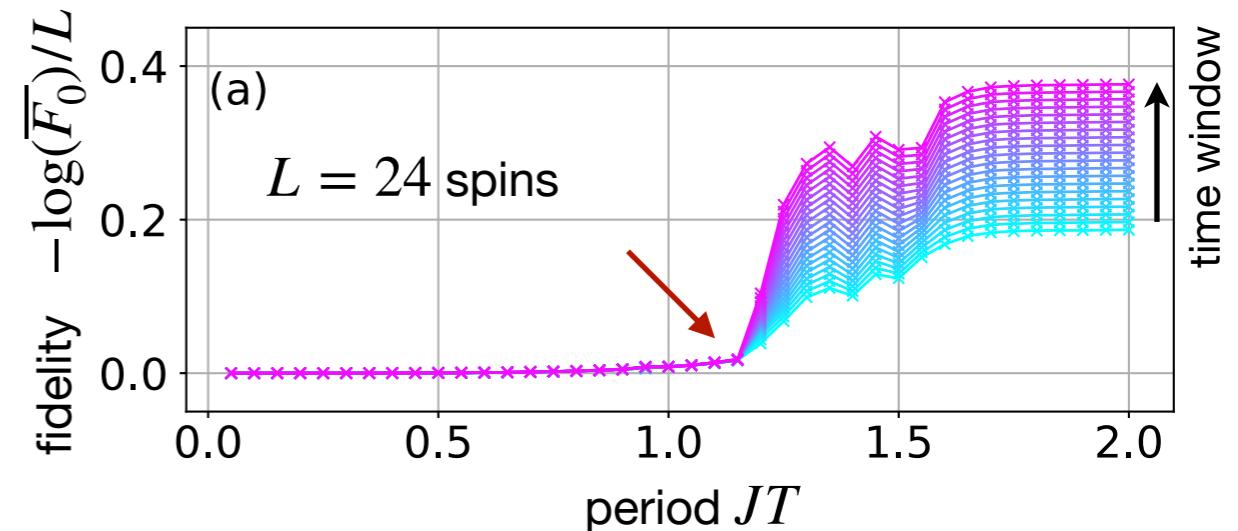


Heating in kicked Ising chain

◎ evolution operator $U_F = e^{-i\frac{T}{4}H^z}e^{-i\frac{T}{2}H^x}e^{-i\frac{T}{4}H^z}$

- ▶ evolve GS $|\text{GS}(t)\rangle$ of $H_F^{(0)} = H^x + H^z$
- ▶ measure long-time fidelity with exact $|n_F\rangle$

$$F_0 = |\langle \text{GS}(t) | n_F \rangle|^2$$



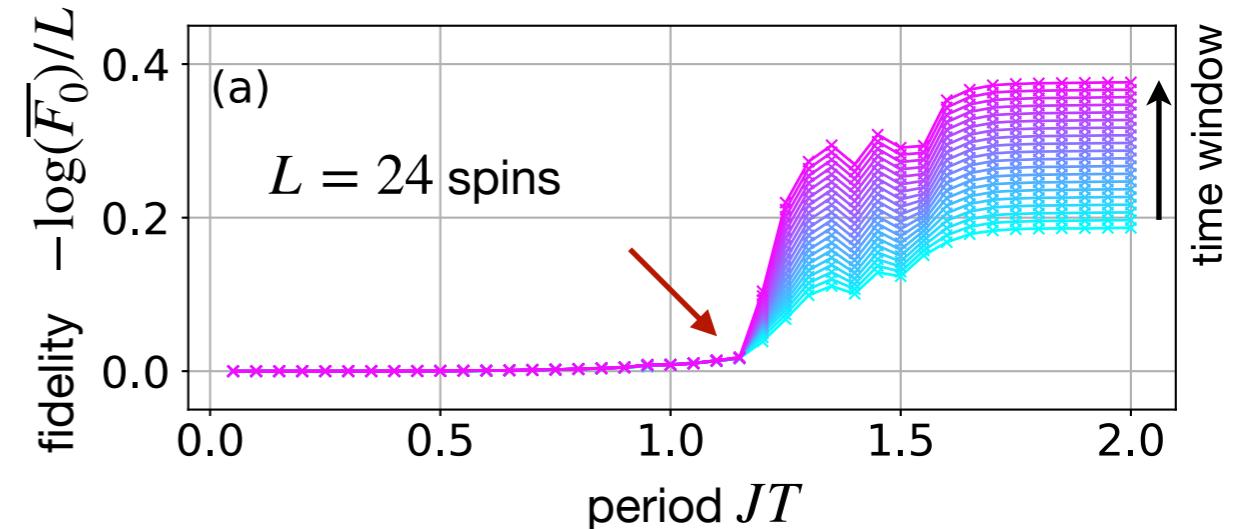


Heating in kicked Ising chain

- evolution operator $U_F = e^{-i\frac{T}{4}H^z}e^{-i\frac{T}{2}H^x}e^{-i\frac{T}{4}H^z}$

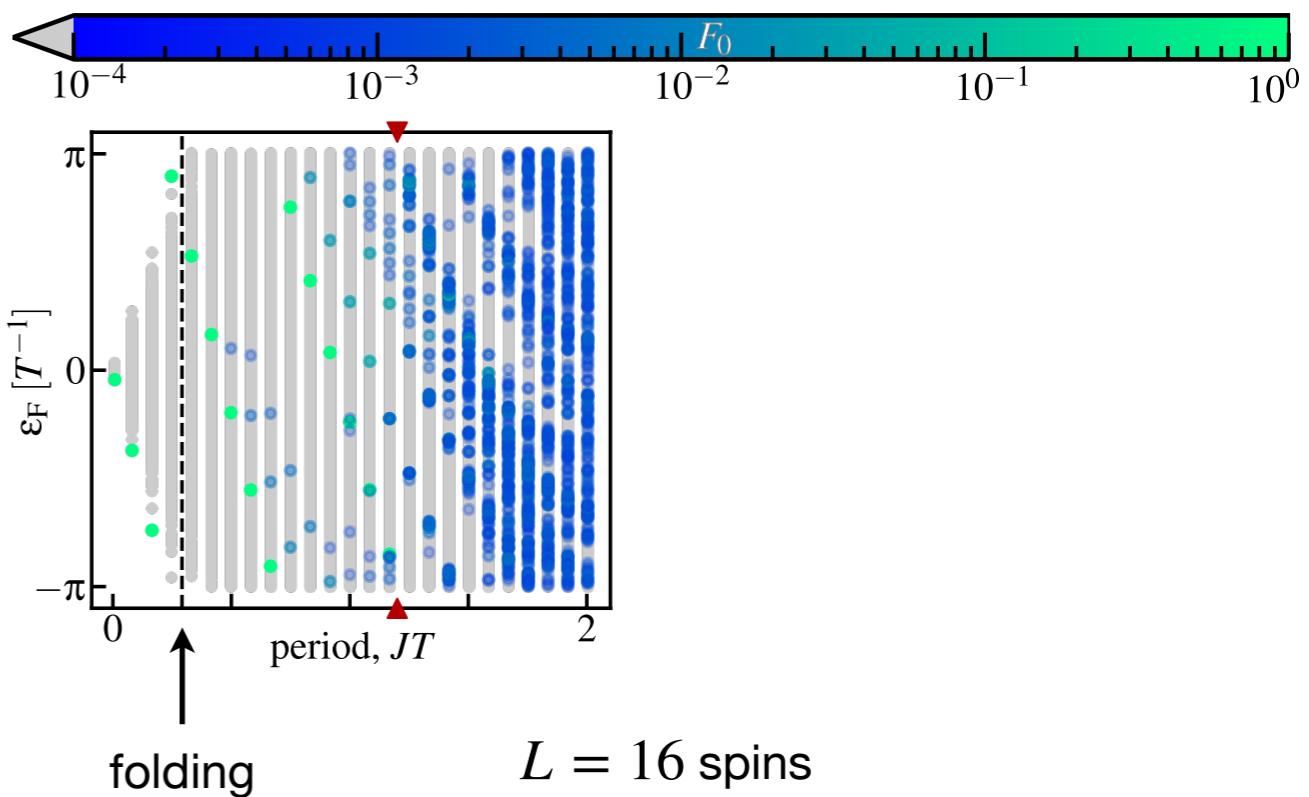
- ▶ evolve GS $|\text{GS}(t)\rangle$ of $H_F^{(0)} = H^x + H^z$
- ▶ measure long-time fidelity with exact $|n_F\rangle$

$$F_0 = |\langle \text{GS}(t) | n_F \rangle|^2$$



- distribution over q'energy spectrum

- ▶ occupation gradually delocalizes



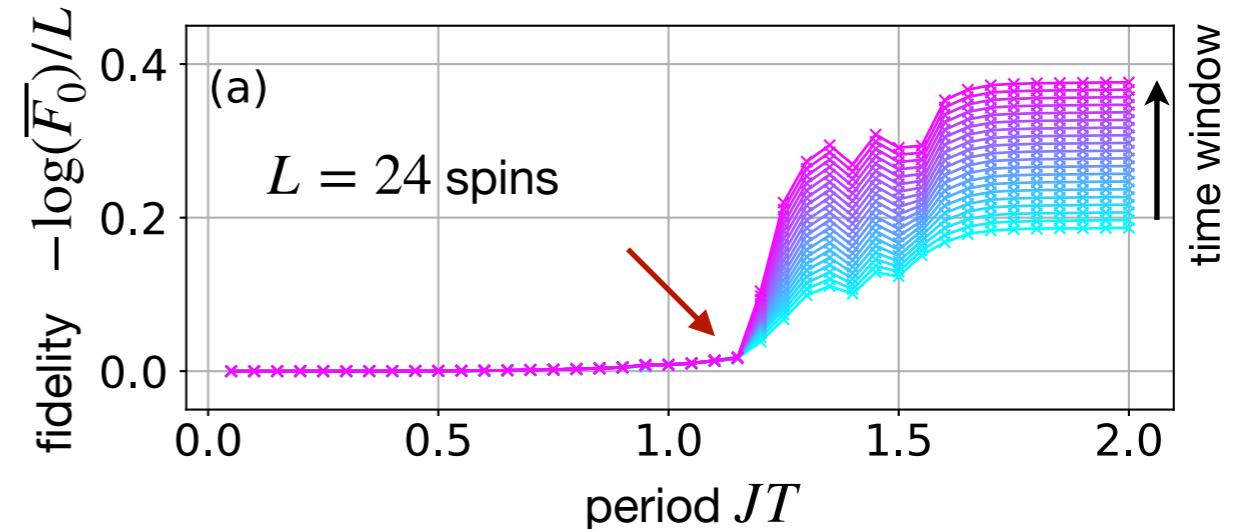


Heating in kicked Ising chain

• evolution operator $U_F = e^{-i\frac{T}{4}H^z}e^{-i\frac{T}{2}H^x}e^{-i\frac{T}{4}H^z}$

- ▶ evolve GS $|\text{GS}(t)\rangle$ of $H_F^{(0)} = H^x + H^z$
- ▶ measure long-time fidelity with exact $|n_F\rangle$

$$F_0 = |\langle \text{GS}(t) | n_F \rangle|^2$$



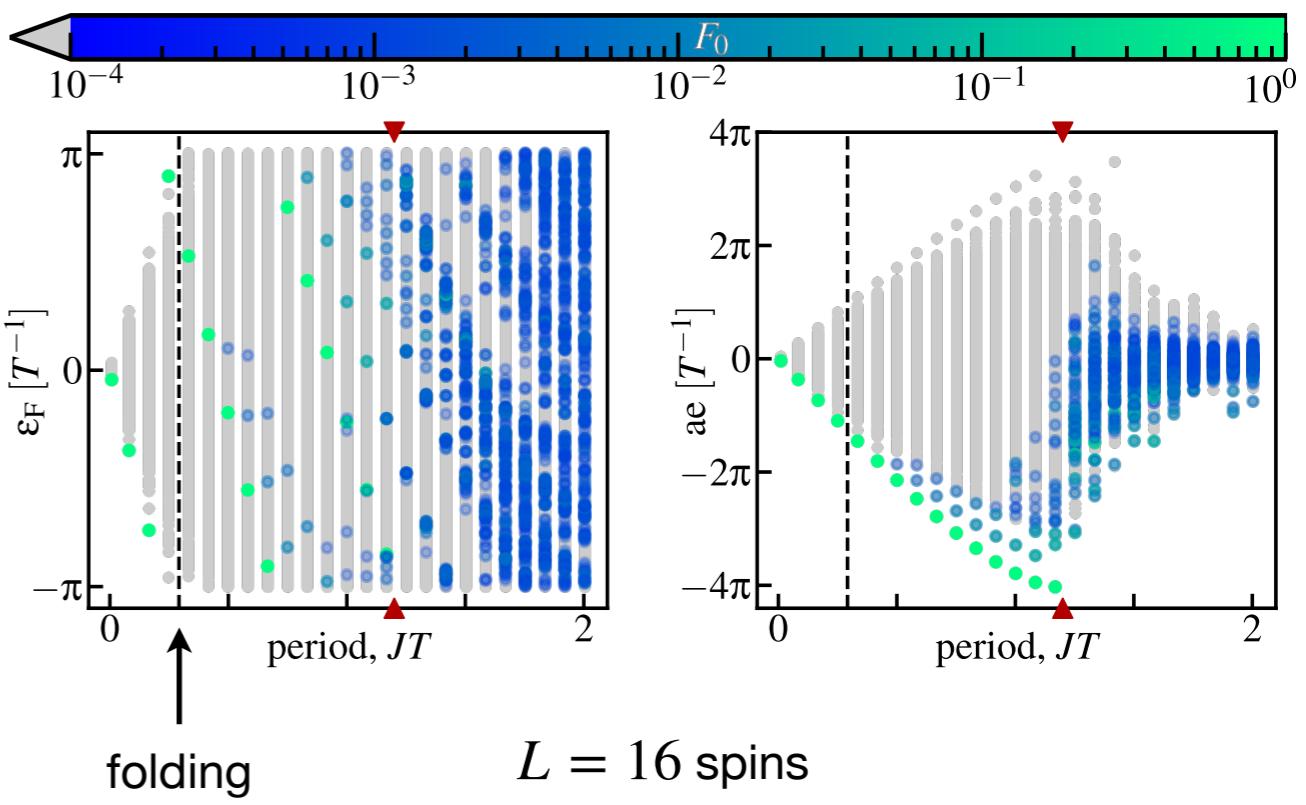
• distribution over q'energy spectrum

- ▶ occupation gradually delocalizes

• distribution over average energy

- ▶ occupation remains in Floquet GS
- ▶ $\langle e \rangle$ spectrum extensive up to T_*
- ▶ $\langle e \rangle$ spectrum implodes for $T > T_*$

Q: are certain Floquet states special?





Heating in kicked Ising chain

$$U_F = e^{-i\frac{T}{4}H^z}e^{-i\frac{T}{2}H^x}e^{-i\frac{T}{4}H^z}$$

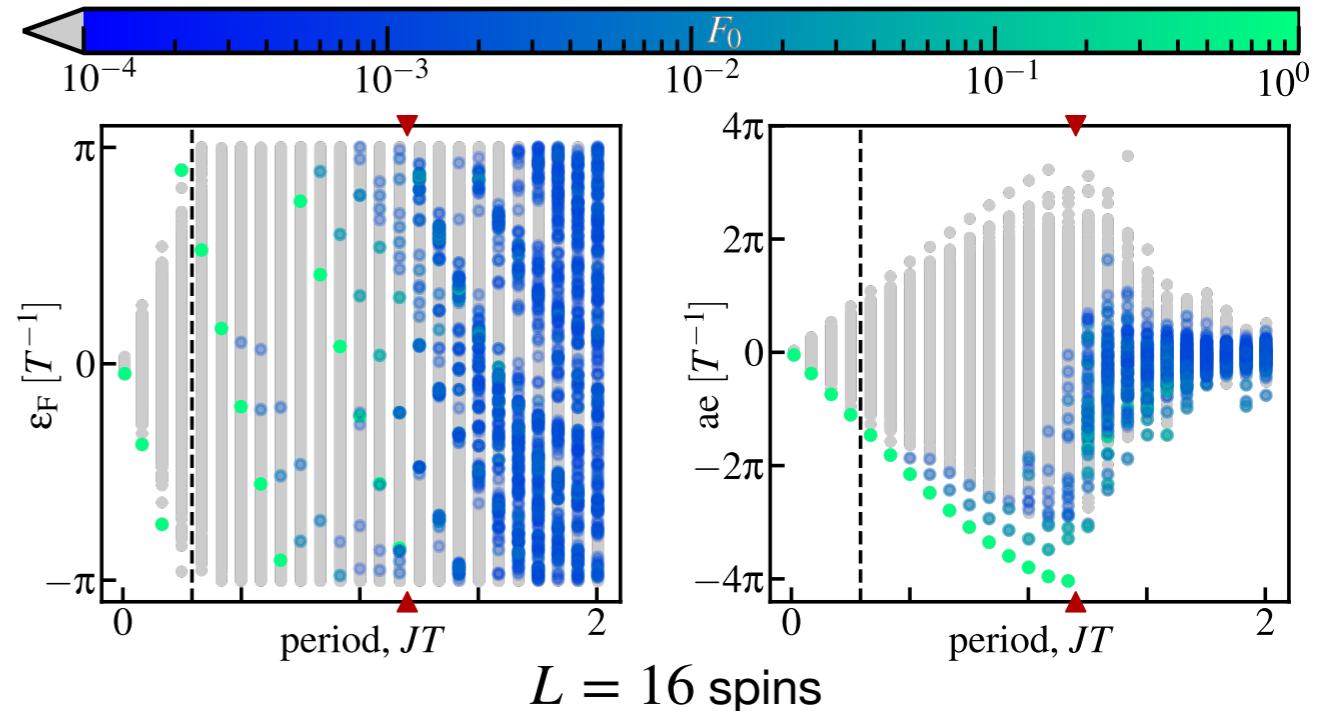
- distribution over average energy

- ▶ \propto spectrum extensive up to T_*
- ▶ \propto spectrum implodes for $T > T_*$

Q: are certain Floquet states special?

- locality of average energy operator

recall: H_F is non-local: $H_F = \sum_n \varepsilon_F^{(n)} |n_F\rangle\langle n_F| \rightarrow \sum_n \varepsilon_F^{(n)} |n_F\rangle\langle n_F| + \omega |m_F\rangle\langle m_F| = H'_F$



e'state projector



Heating in kicked Ising chain

$$U_F = e^{-i\frac{T}{4}H^z}e^{-i\frac{T}{2}H^x}e^{-i\frac{T}{4}H^z}$$

- distribution over average energy

- ▶ \propto spectrum extensive up to T_*
- ▶ \propto spectrum implodes for $T > T_*$

Q: are certain Floquet states special?

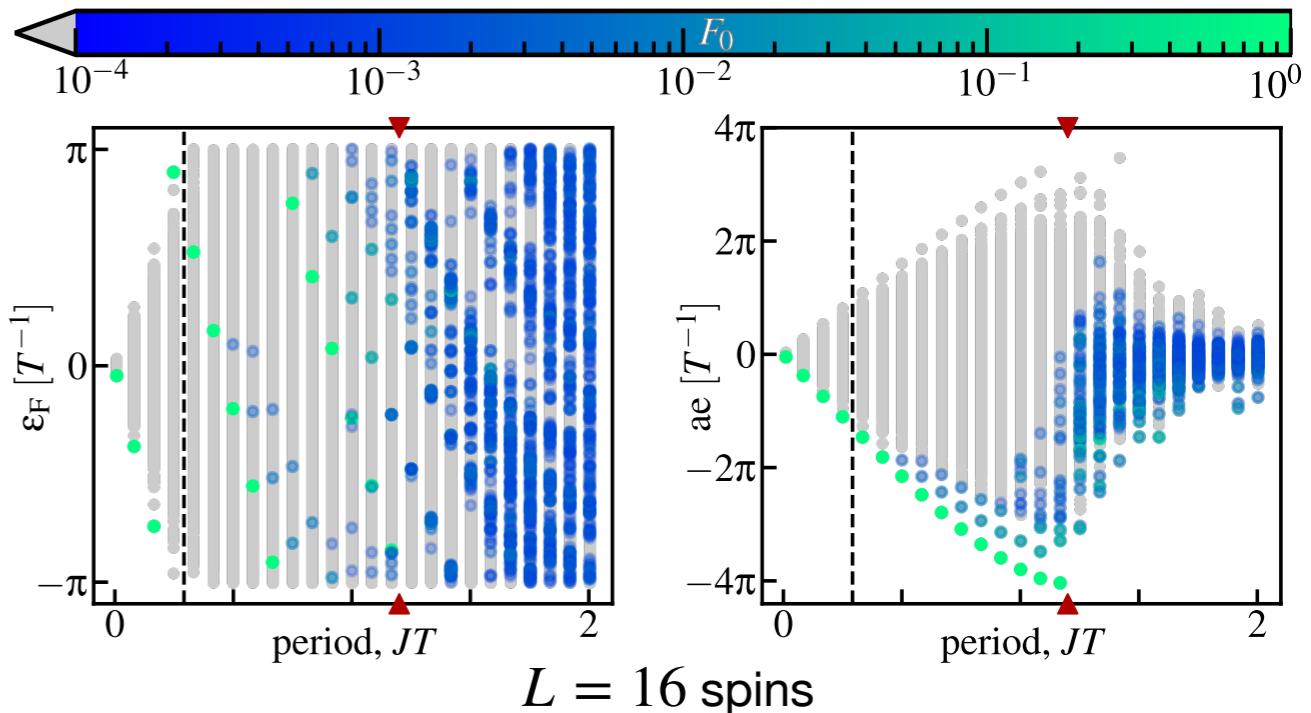
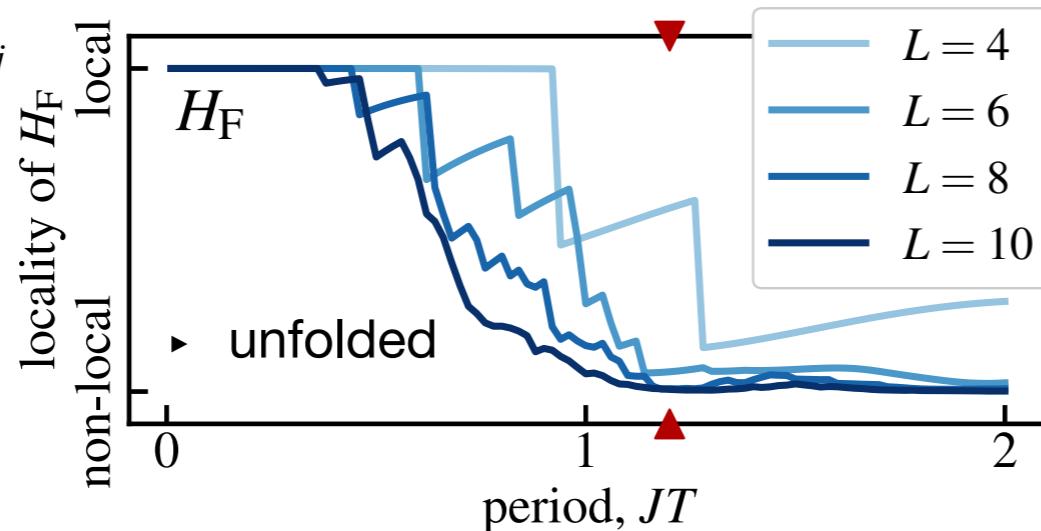
- locality of average energy operator

recall: H_F is non-local: $H_F = \sum_n \varepsilon_F^{(n)} |n_F\rangle\langle n_F| \rightarrow \sum_n \varepsilon_F^{(n)} |n_F\rangle\langle n_F| + \omega |m_F\rangle\langle m_F| = H'_F$

e'state projector

$$\mathcal{O}_{\text{approx}} = \sum_{i,j} o_i \sigma^i + o_{ij} \sigma^i \sigma^j$$

$$\frac{\|\mathcal{O}_{\text{approx}}\|}{\|\mathcal{O}_{\text{exact}}\|}$$



$L = 16$ spins



Heating in kicked Ising chain

$$U_F = e^{-i\frac{T}{4}H^z}e^{-i\frac{T}{2}H^x}e^{-i\frac{T}{4}H^z}$$

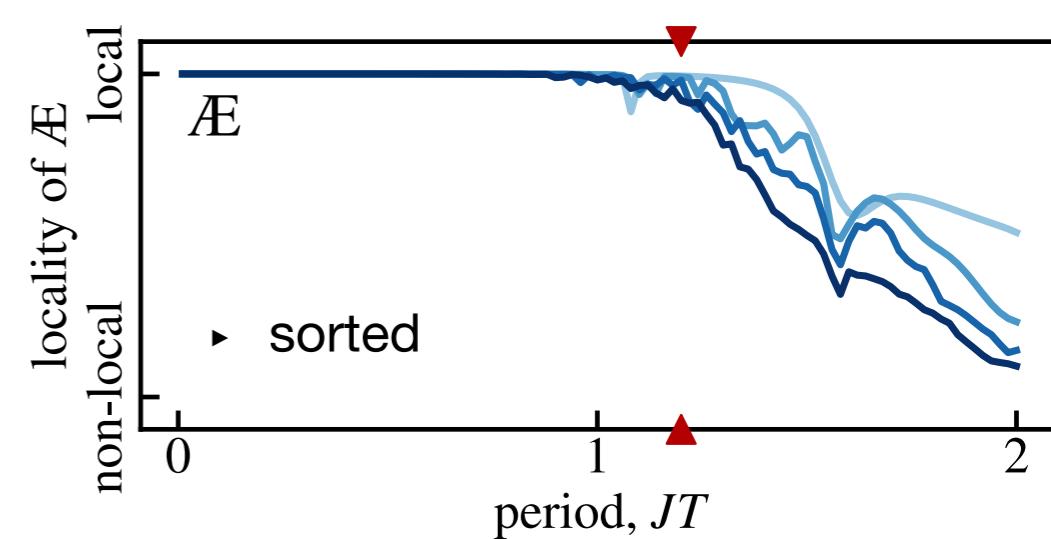
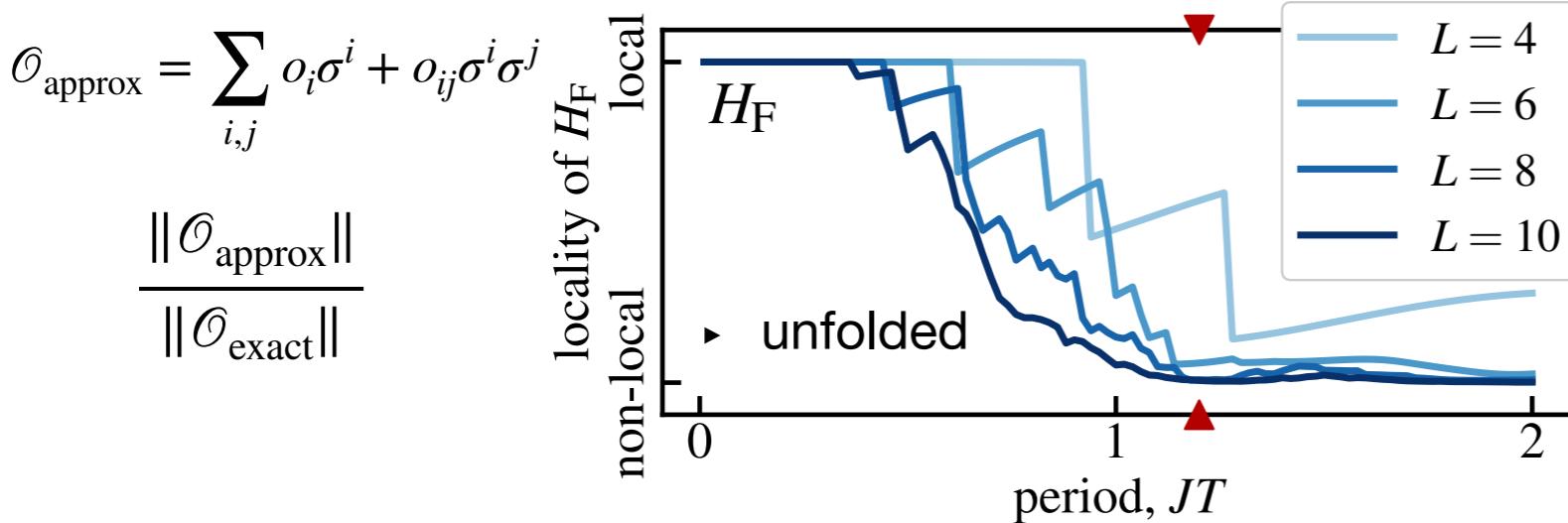
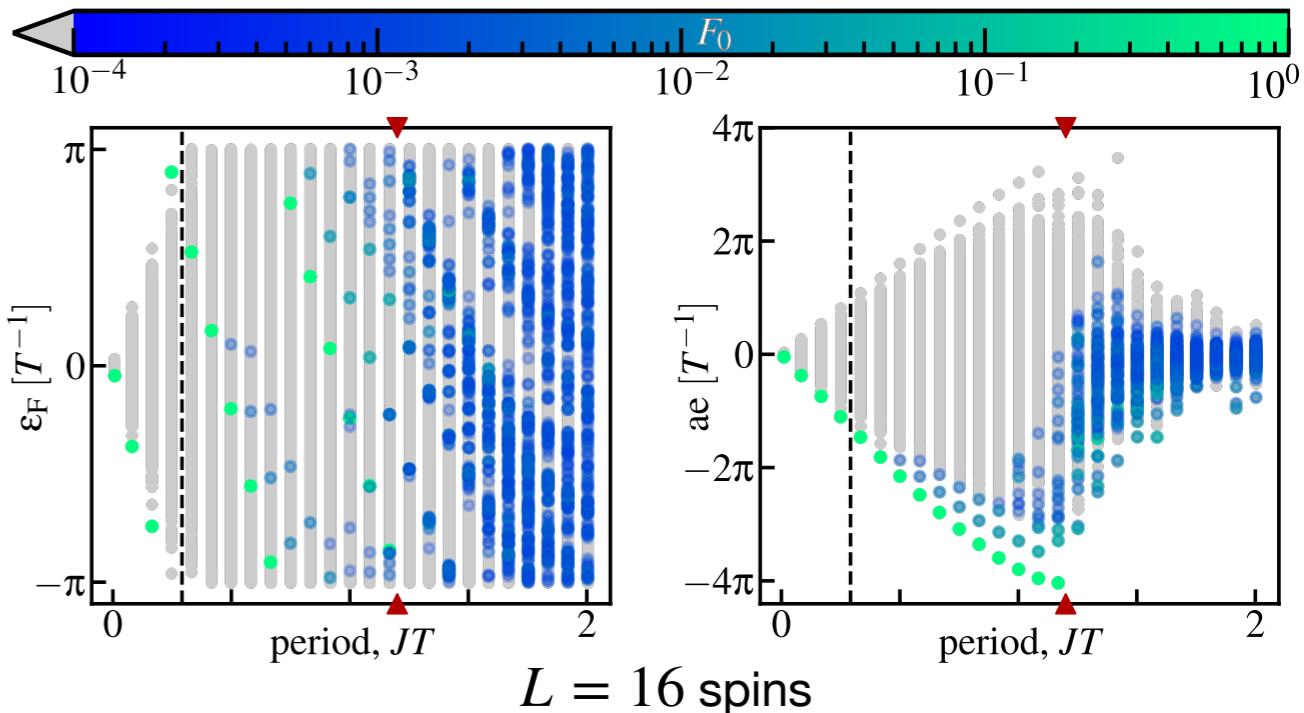
- distribution over average energy

- ▶ \propto spectrum extensive up to T_*
- ▶ \propto spectrum implodes for $T > T_*$

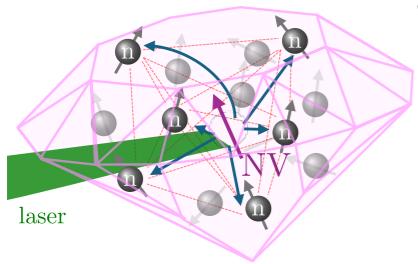
Q: are certain Floquet states special?

- locality of average energy operator

recall: H_F is non-local: $H_F = \sum_n \varepsilon_F^{(n)} |n_F\rangle\langle n_F| \rightarrow \sum_n \varepsilon_F^{(n)} |n_F\rangle\langle n_F| + \omega |m_F\rangle\langle m_F| = H'_F$

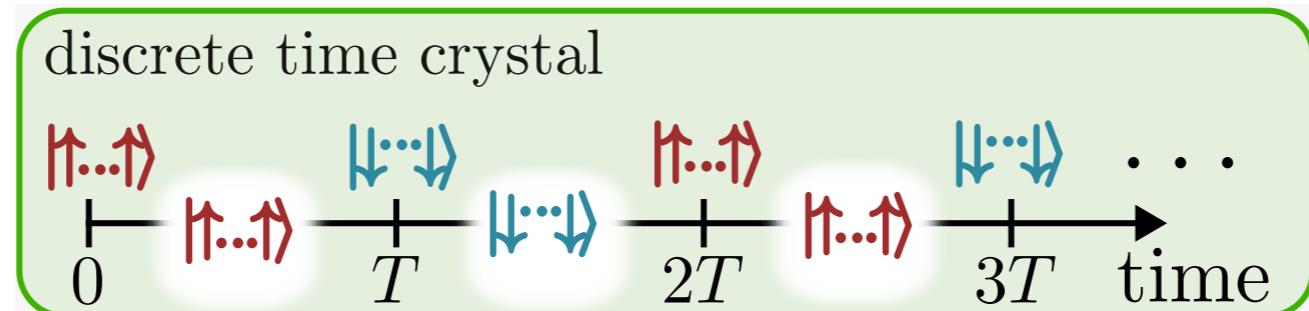


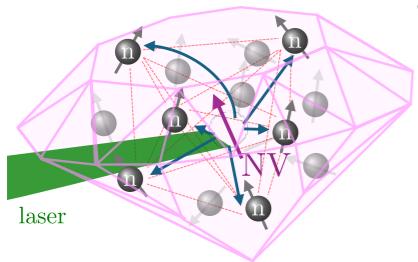
Q: is the average energy local?



Discrete time crystals

- ◎ evolution operator $U_F(\theta_x) = e^{-iTH^z}e^{-i\theta_x H^x}$





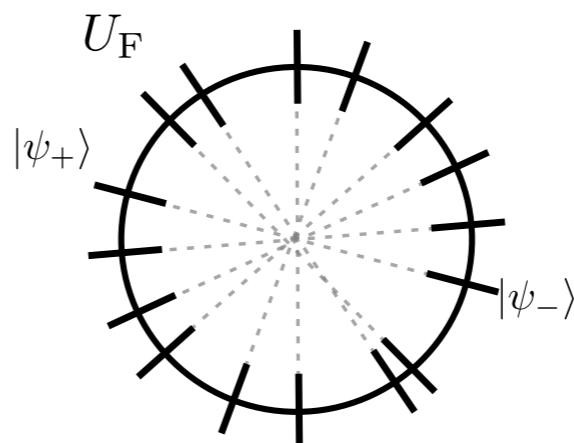
Discrete time crystals

◎ evolution operator $U_F(\theta_x) = e^{-iTH^z}e^{-i\theta_x H^x}$

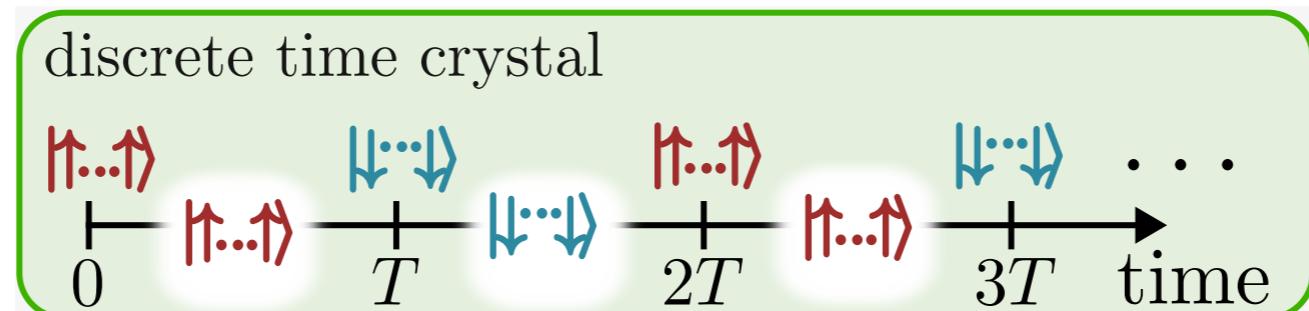
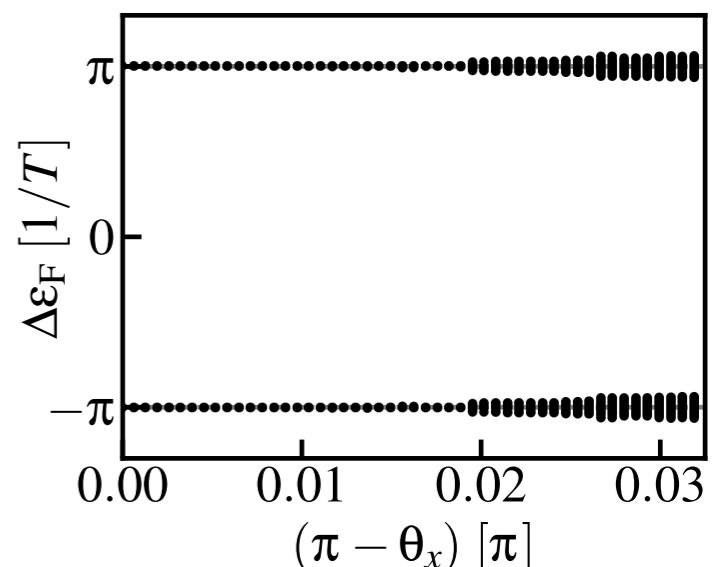
- pairing of Floquet states

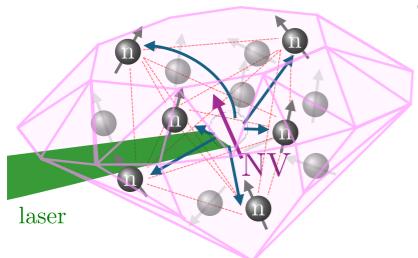
$$U_F(\pi) |n_F^\pm\rangle = \pm e^{-iT\varepsilon_n} |n_F^\pm\rangle$$

- π -gap in q'energy spectrum

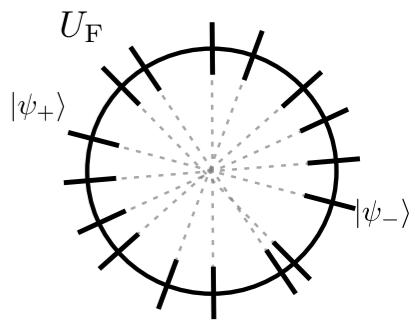


robust to perturbations in θ_x





Discrete time crystals



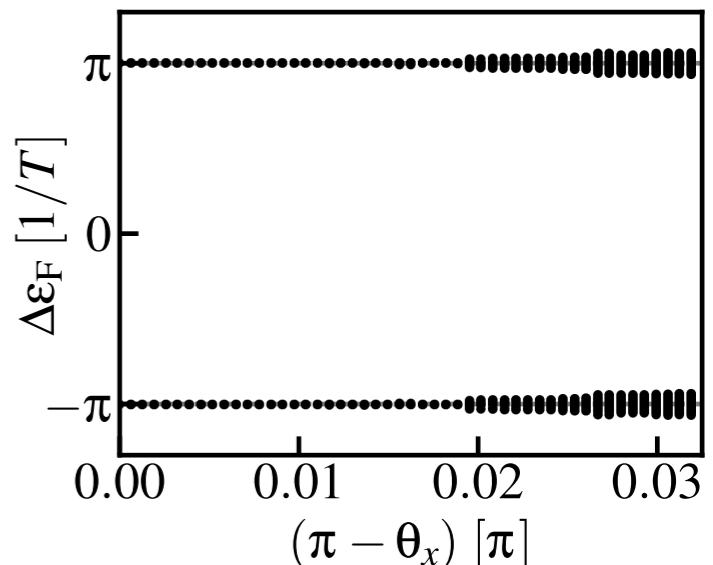
◎ evolution operator $U_F(\theta_x) = e^{-iTH^z}e^{-i\theta_x H^x}$

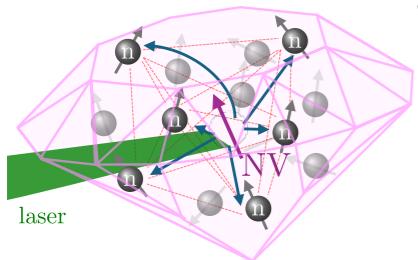
$$U_F(\pi) |n_F^\pm\rangle = \pm e^{-iT\varepsilon_n} |n_F^\pm\rangle$$

robust to perturbations in θ_x

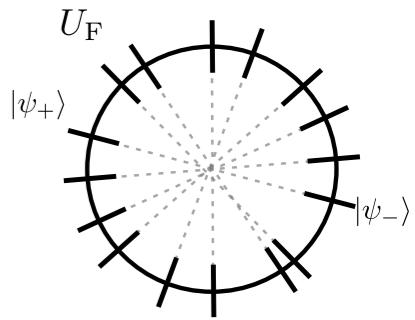
◎ average energy

$$\langle\varepsilon_n = \frac{1}{t} \int_0^t ds \langle n_F^\pm[s] | H(s) | n_F^\pm[s] \rangle = \varepsilon_n \quad \text{perfectly degenerate!}$$





Discrete time crystals



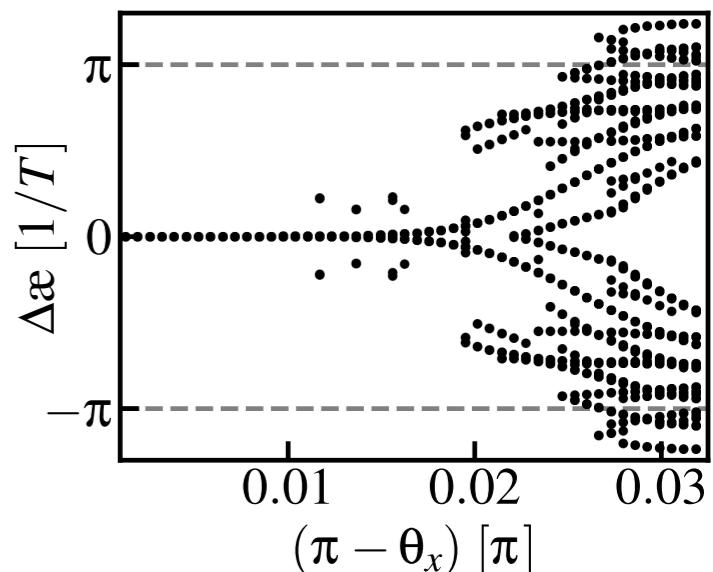
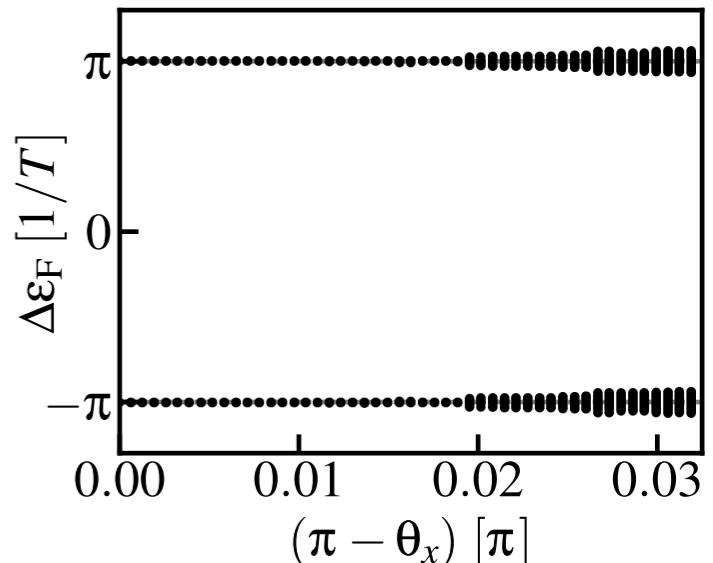
◎ evolution operator $U_F(\theta_x) = e^{-iTH^z}e^{-i\theta_x H^x}$

$$U_F(\pi) |n_F^\pm\rangle = \pm e^{-iT\varepsilon_n} |n_F^\pm\rangle$$

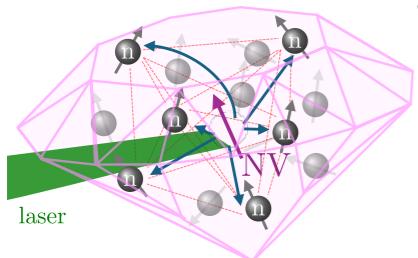
robust to perturbations in θ_x

◎ average energy

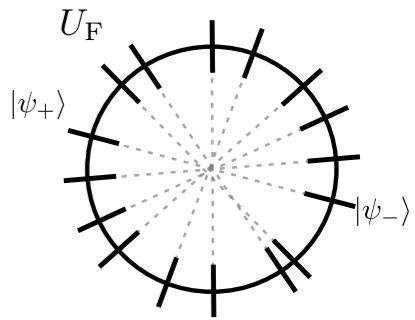
$$\langle\varepsilon_n = \frac{1}{t} \int_0^t ds \langle n_F^\pm[s] | H(s) | n_F^\pm[s] \rangle = \varepsilon_n \quad \text{perfectly degenerate!}$$



$L = 10$ spins



Discrete time crystals



◎ evolution operator $U_F(\theta_x) = e^{-iTH^z}e^{-i\theta_x H^x}$

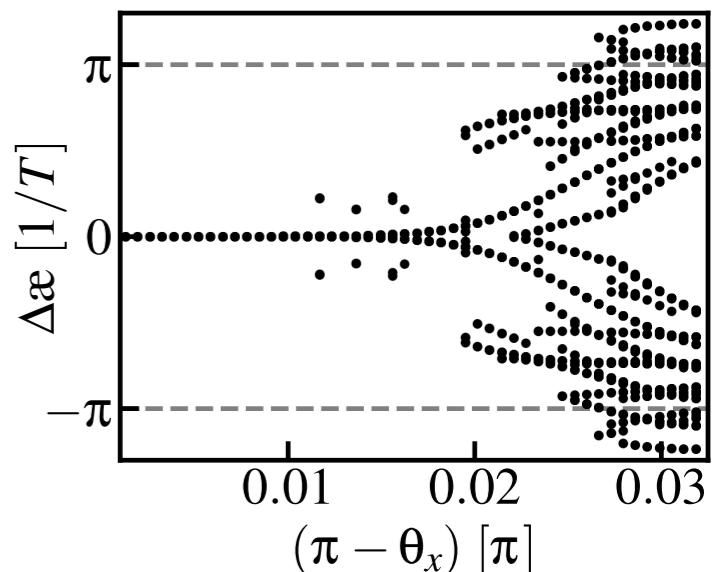
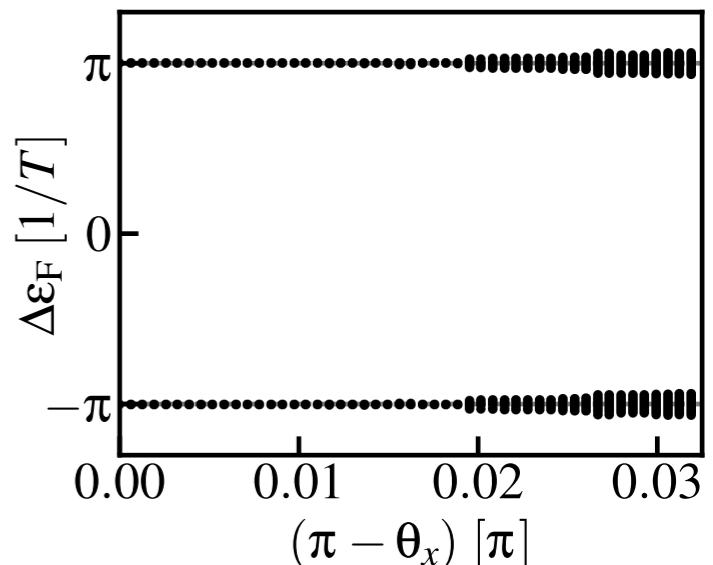
$$U_F(\pi) |n_F^\pm\rangle = \pm e^{-iT\varepsilon_n} |n_F^\pm\rangle$$

robust to perturbations in θ_x

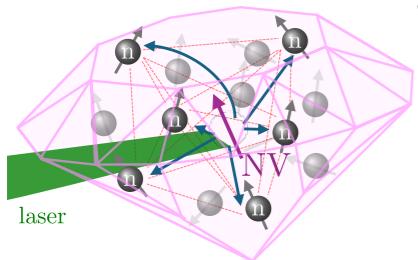
◎ average energy

$$\langle\varepsilon_n = \frac{1}{t} \int_0^t ds \langle n_F^\pm[s] | H(s) | n_F^\pm[s] \rangle = \varepsilon_n \quad \text{perfectly degenerate!}$$

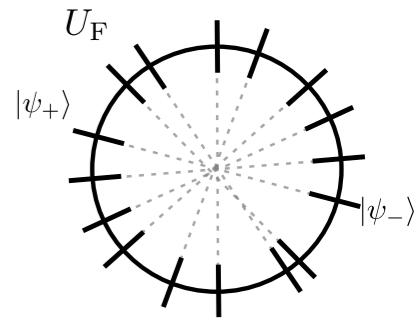
► highly sensitive probe of DTC transition



$L = 10$ spins



Discrete time crystals



◎ evolution operator $U_F(\theta_x) = e^{-iT\mathcal{H}^z}e^{-i\theta_x H^x}$

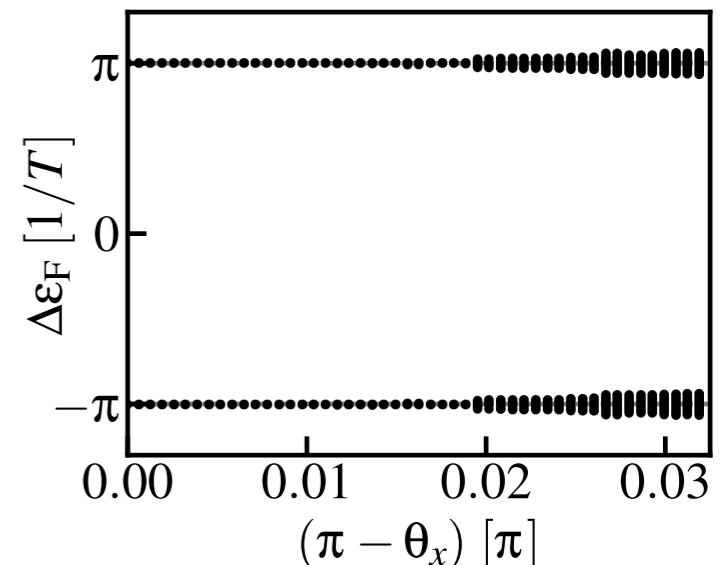
$$U_F(\pi) |n_F^\pm\rangle = \pm e^{-iT\varepsilon_n} |n_F^\pm\rangle$$

robust to perturbations in θ_x

◎ average energy

$$\langle\varepsilon_n = \frac{1}{t} \int_0^t ds \langle n_F^\pm[s] | H(s) | n_F^\pm[s] \rangle = \varepsilon_n \quad \text{perfectly degenerate!}$$

- ▶ highly sensitive probe of DTC transition

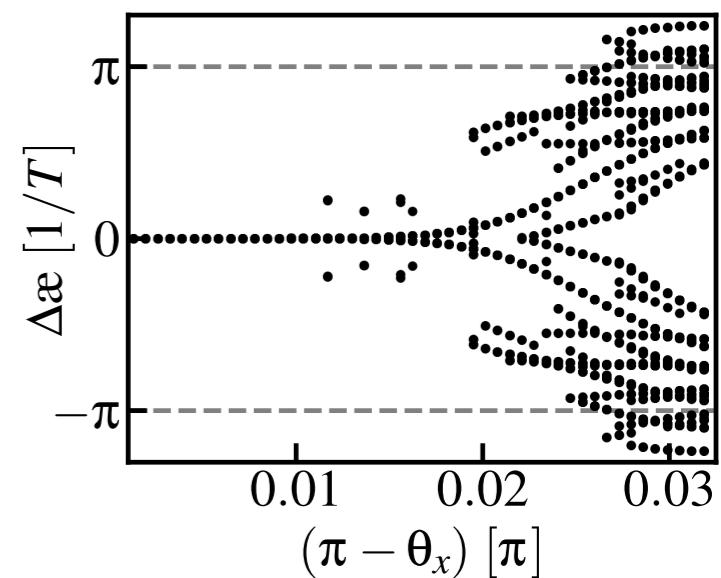
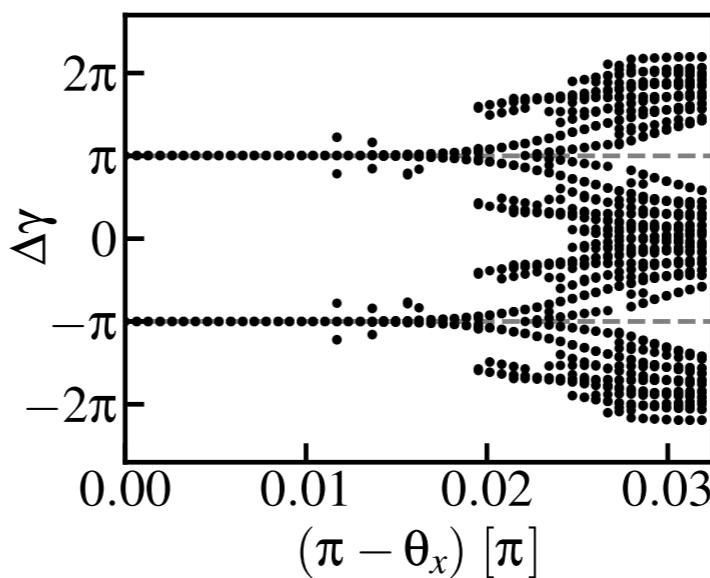


◎ Berry phases

$$\varepsilon_F^{(n)} = T^{-1} \gamma_n(T) + \langle\varepsilon_n(T)$$

- ▶ π -gap is purely geometric

→ similar for π -modes in AFTIs



$L = 10$ spins

❖ inherently nonequilibrium phenomena have geometric origin

Variational ansatz for H_F

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

- given drive $H(t)$, finding AGP $\mathcal{A}_F(t)$ determines Floquet Hamiltonian $H_F[t]$

Variational ansatz for H_F

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

- given drive $H(t)$, finding AGP $\mathcal{A}_F(t)$ determines Floquet Hamiltonian $H_F[t]$
- defining equation for gauge potential: $G(\mathcal{A}_F) = i[H, \mathcal{A}_F] - \partial_t H + \partial_t \mathcal{A}_F = 0$

Variational ansatz for H_F

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

- given drive $H(t)$, finding AGP $\mathcal{A}_F(t)$ determines Floquet Hamiltonian $H_F[t]$
- defining equation for gauge potential: $G(\mathcal{A}_F) = i[H, \mathcal{A}_F] - \partial_t H + \partial_t \mathcal{A}_F = 0$

- turn to algorithm

- ▶ make periodic ansatz for kick operator $K(t) = \sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$

$$\sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$$

unknown pre-selected

Variational ansatz for H_F

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

- given drive $H(t)$, finding AGP $\mathcal{A}_F(t)$ determines Floquet Hamiltonian $H_F[t]$
- defining equation for gauge potential: $G(\mathcal{A}_F) = i[H, \mathcal{A}_F] - \partial_t H + \partial_t \mathcal{A}_F = 0$
- turn to algorithm
 - ▶ make periodic ansatz for kick operator $K(t) = \sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$
 - ▶ compute associated gauge potential: $\mathcal{X}_F(t) = (i\partial_t e^{iK(t)}) e^{-iK(t)}$

$$\sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$$

unknown

pre-selected

Variational ansatz for H_F

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

- given drive $H(t)$, finding AGP $\mathcal{A}_F(t)$ determines Floquet Hamiltonian $H_F[t]$
- defining equation for gauge potential: $G(\mathcal{A}_F) = i[H, \mathcal{A}_F] - \partial_t H + \partial_t \mathcal{A}_F = 0$

- turn to algorithm

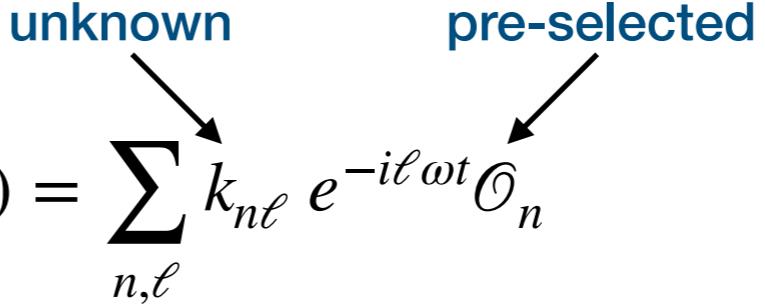
- ▶ make periodic ansatz for kick operator $K(t) = \sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$
- ▶ compute associated gauge potential: $\mathcal{X}_F(t) = (i\partial_t e^{iK(t)}) e^{-iK(t)}$

- ▶ compute $G(\mathcal{X}_F) = \sum_{n,\ell} g_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$

$$\text{unknown} \quad \text{pre-selected}$$
$$\sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$$

Variational ansatz for H_F

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

- given drive $H(t)$, finding AGP $\mathcal{A}_F(t)$ determines Floquet Hamiltonian $H_F[t]$
- defining equation for gauge potential: $G(\mathcal{A}_F) = i[H, \mathcal{A}_F] - \partial_t H + \partial_t \mathcal{A}_F = 0$
- turn to algorithm
 - ▶ make periodic ansatz for kick operator $K(t) = \sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$

 - ▶ compute associated gauge potential: $\mathcal{X}_F(t) = (i\partial_t e^{iK(t)}) e^{-iK(t)}$
 - ▶ compute $G(\mathcal{X}_F) = \sum_{n,\ell} g_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$
 - ▶ update: $k_{n\ell} \rightarrow k_{n\ell} - \eta |g_{n\ell}|$, for some η

Variational ansatz for H_F

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

- given drive $H(t)$, finding AGP $\mathcal{A}_F(t)$ determines Floquet Hamiltonian $H_F[t]$
- defining equation for gauge potential: $G(\mathcal{A}_F) = i[H, \mathcal{A}_F] - \partial_t H + \partial_t \mathcal{A}_F = 0$
- turn to algorithm
 - ▶ make periodic ansatz for kick operator $K(t) = \sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$
 - ▶ compute associated gauge potential: $\mathcal{X}_F(t) = (i\partial_t e^{iK(t)}) e^{-iK(t)}$
 - ▶ compute $G(\mathcal{X}_F) = \sum_{n,\ell} g_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$
 - ▶ update: $k_{n\ell} \rightarrow k_{n\ell} - \eta |g_{n\ell}|$, for some η
 - ▶ iterate until convergence

$$\begin{array}{ccc} \text{unknown} & & \text{pre-selected} \\ \searrow & & \swarrow \\ \sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n & & \end{array}$$

Variational ansatz for H_F

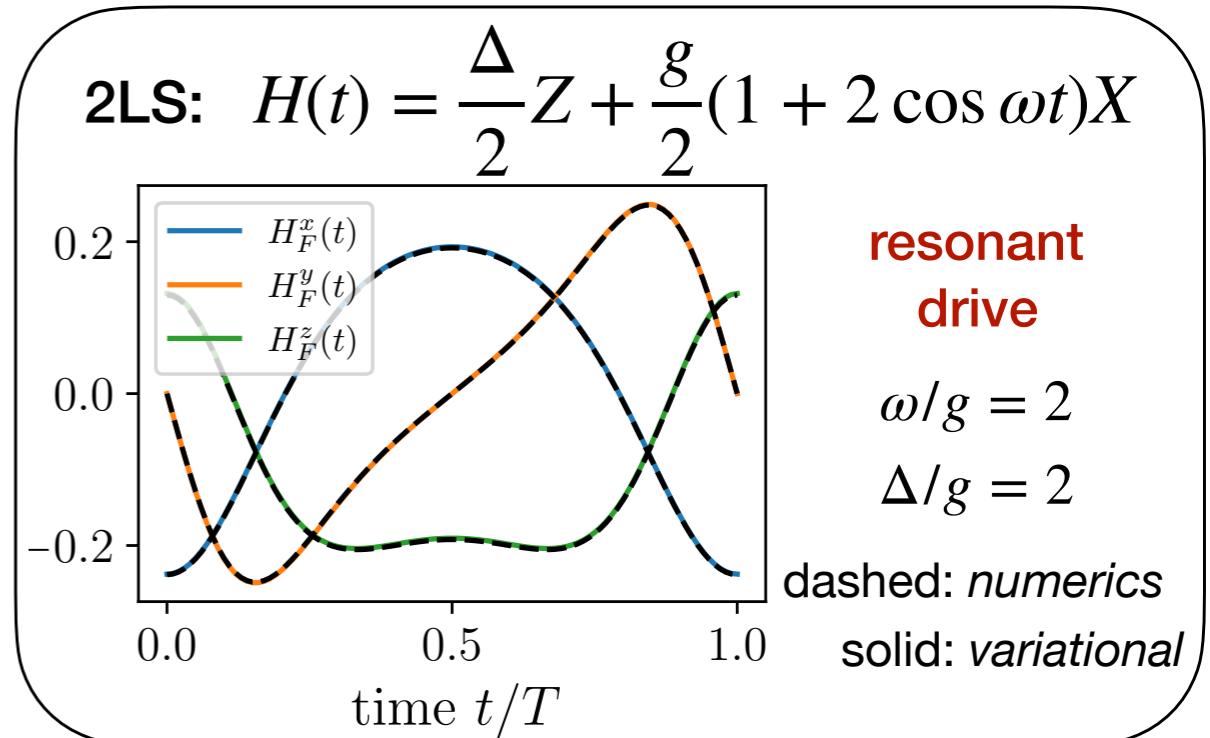
$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

- given drive $H(t)$, finding AGP $\mathcal{A}_F(t)$ determines Floquet Hamiltonian $H_F[t]$
- defining equation for gauge potential: $G(\mathcal{A}_F) = i[H, \mathcal{A}_F] - \partial_t H + \partial_t \mathcal{A}_F = 0$

- turn to algorithm

- make periodic ansatz for kick operator $K(t) = \sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$
- compute associated gauge potential: $\mathcal{X}_F(t) = (i\partial_t e^{iK(t)}) e^{-iK(t)}$
- compute $G(\mathcal{X}_F) = \sum_{n,\ell} g_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$
- update: $k_{n\ell} \rightarrow k_{n\ell} - \eta |g_{n\ell}|$, for some η
- iterate until convergence

unknown pre-selected
 $\sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$



Variational ansatz for H_F

$$H(t) = H_F[t] + \mathcal{A}_F(t)$$

- given drive $H(t)$, finding AGP $\mathcal{A}_F(t)$ determines Floquet Hamiltonian $H_F[t]$
- defining equation for gauge potential: $G(\mathcal{A}_F) = i[H, \mathcal{A}_F] - \partial_t H + \partial_t \mathcal{A}_F = 0$

- turn to algorithm

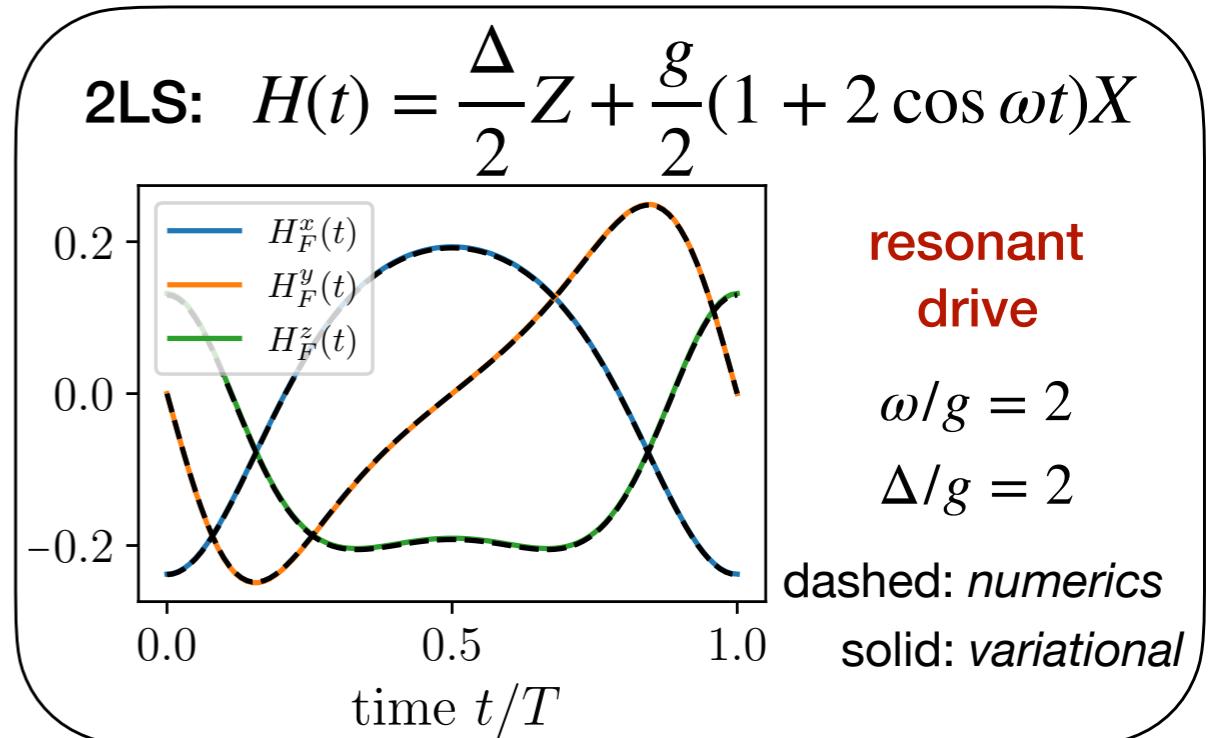
- make periodic ansatz for kick operator $K(t) = \sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$
- compute associated gauge potential: $\mathcal{X}_F(t) = (i\partial_t e^{iK(t)}) e^{-iK(t)}$

- compute $G(\mathcal{X}_F) = \sum_{n,\ell} g_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$

- update: $k_{n\ell} \rightarrow k_{n\ell} - \eta |g_{n\ell}|$, for some η
- iterate until convergence

- ✓ no diagonalization
- ✓ no time-ordered exponentials
- ✓ no high-frequency regime

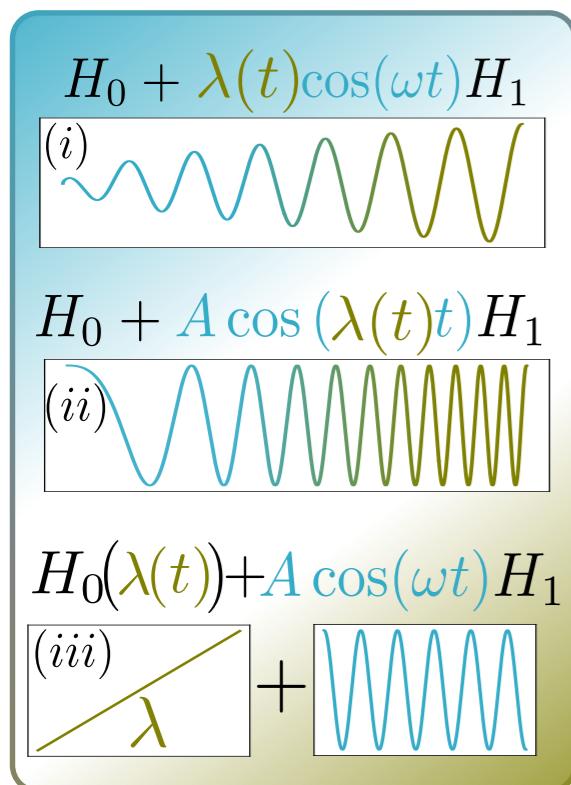
unknown pre-selected
 $\sum_{n,\ell} k_{n\ell} e^{-i\ell\omega t} \mathcal{O}_n$



Controlling systems on top of Floquet drives

so far: ramp time/phase of the drive

- ▶ what about other control parameters?



amplitude ramps

frequency chirps

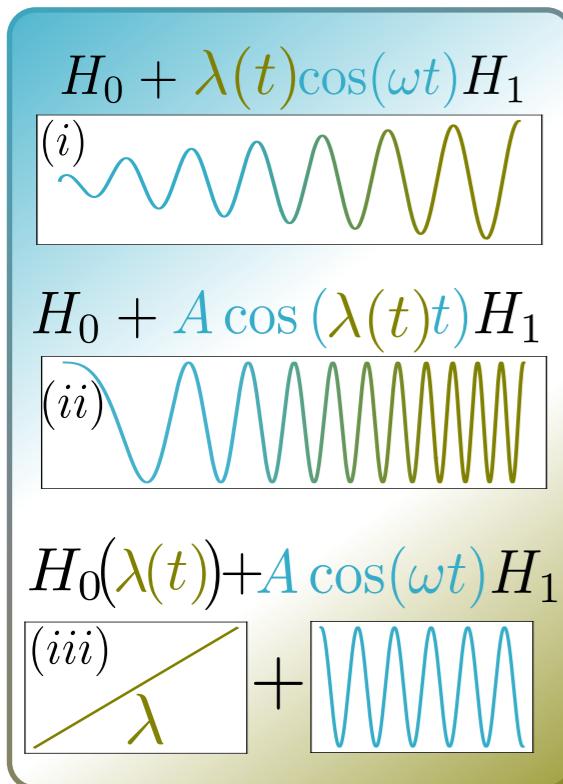
external ramps

- ▶ counterdiabatic driving of Floquet engineered states

Controlling systems on top of Floquet drives

so far: ramp time/phase of the drive

- ▶ what about other control parameters?

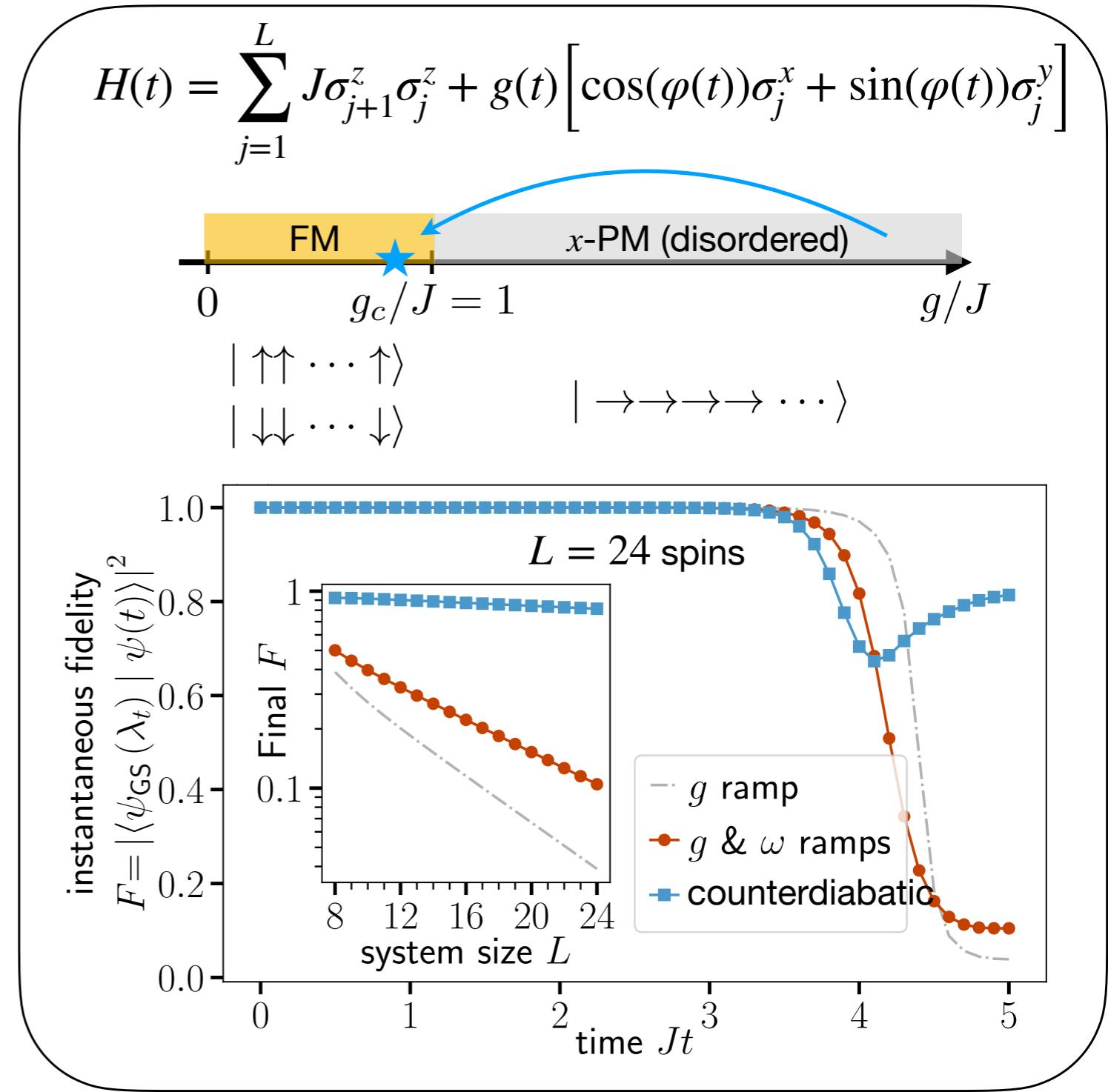


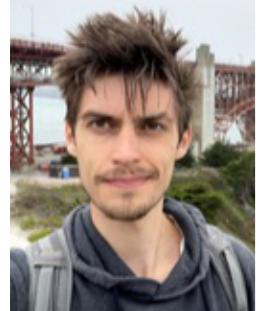
amplitude ramps

frequency chirps

external ramps

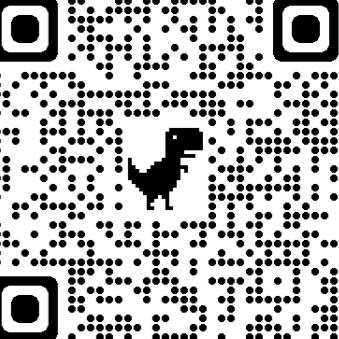
- ▶ counterdiabatic driving of Floquet engineered states





Summary & Outlook

PM Schindler and MB, arXiv: 2410.07029

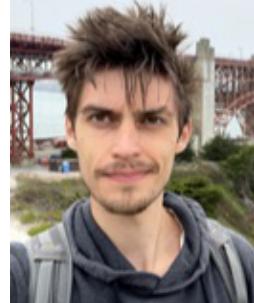


Paul M Schindler

www.pks.mpg.de/nqd

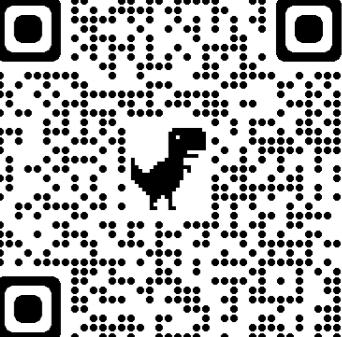
❖ take-home messages:

- ▶ lab frame Hamiltonian $H(t)$ generates CD driving for Floquet Hamiltonian $H_F[t]$
- ▶ parallel-transport formulation leads to unique Floquet ground state



Summary & Outlook

PM Schindler and MB, arXiv: 2410.07029

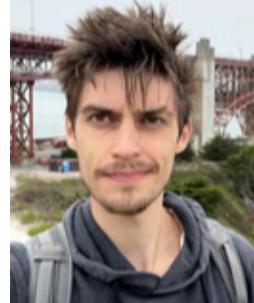


Paul M Schindler

www.pks.mpg.de/nqd

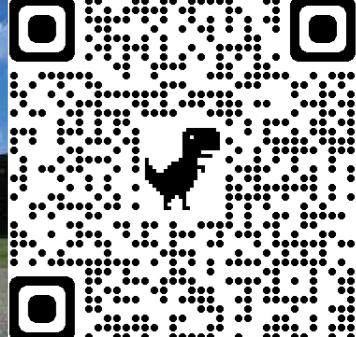
❖ take-home messages:

- ▶ lab frame Hamiltonian $H(t)$ generates CD driving for Floquet Hamiltonian $H_F[t]$
 - Floquet's theorem follows from the Adiabatic theorem (special case)
 - q'energy folding: consequence of partial gauge fixing for AGP: $U(1) \rightarrow \mathbb{Z}$
- ▶ parallel-transport formulation leads to unique Floquet ground state



Summary & Outlook

PM Schindler and MB, arXiv: 2410.07029

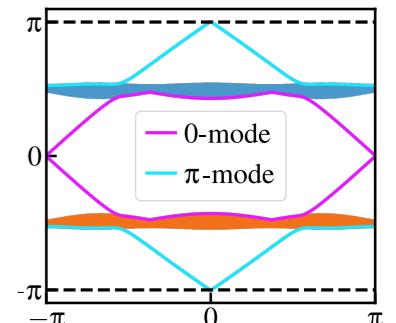


Paul M Schindler

www.pks.mpg.de/nqd

❖ take-home messages:

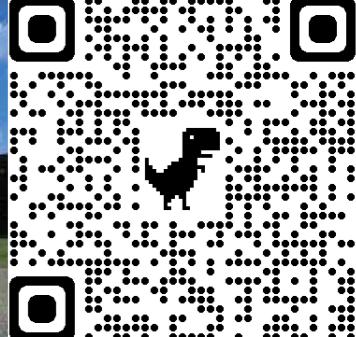
- ▶ lab frame Hamiltonian $H(t)$ generates CD driving for Floquet Hamiltonian $H_F[t]$
 - Floquet's theorem follows from the Adiabatic theorem (special case)
 - q'energy folding: consequence of partial gauge fixing for AGP: $U(1) \rightarrow \mathbb{Z}$
- ▶ parallel-transport formulation leads to unique Floquet ground state
 - alternative decomposition of strobo. dynamics: geometric & dynamical phases
 - inherently nonequilibrium effects have geometric origin (*time crystals, anomalous topo. insulators*)





Summary & Outlook

PM Schindler and MB, arXiv: 2410.07029

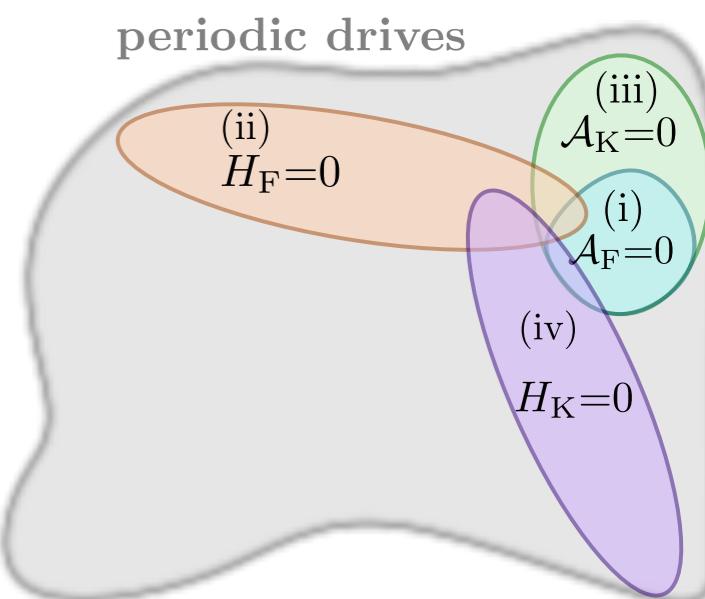
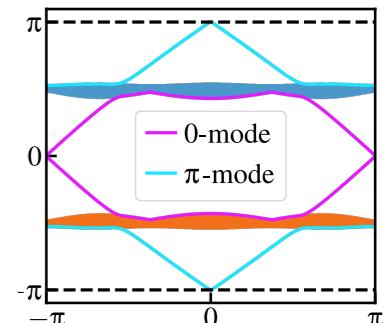


Paul M Schindler

www.pks.mpg.de/nqd

❖ take-home messages:

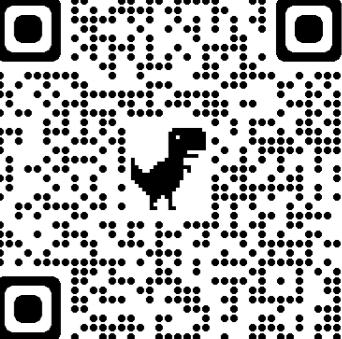
- ▶ lab frame Hamiltonian $H(t)$ generates CD driving for Floquet Hamiltonian $H_F[t]$
 - Floquet's theorem follows from the Adiabatic theorem (special case)
 - q'energy folding: consequence of partial gauge fixing for AGP: $U(1) \rightarrow \mathbb{Z}$
- ▶ parallel-transport formulation leads to unique Floquet ground state
 - alternative decomposition of strobo. dynamics: geometric & dynamical phases
 - inherently nonequilibrium effects have geometric origin (*time crystals, anomalous topo. insulators*)
- ◎ ‘elementary’ families of periodic drives:
 - ▶ *Floquet decomposition*: $H(t) = H_F[t] + \mathcal{A}_F(t)$
 - ▶ *Kato decomposition*: $H(t) = H_K(t) + \mathcal{A}_K(t)$





Summary & Outlook

PM Schindler and MB, arXiv: 2410.07029

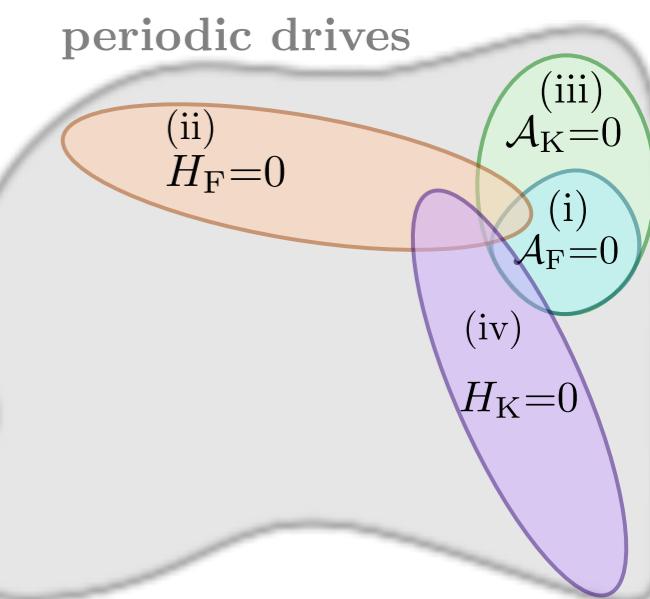
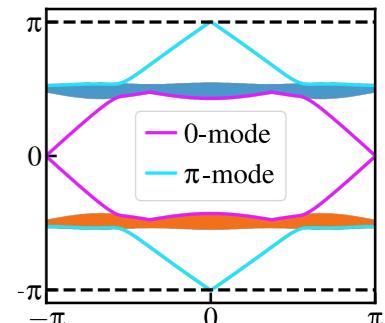


Paul M Schindler

www.pks.mpg.de/nqd

❖ take-home messages:

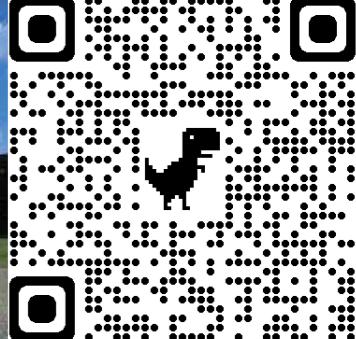
- ▶ lab frame Hamiltonian $H(t)$ generates CD driving for Floquet Hamiltonian $H_F[t]$
 - Floquet's theorem follows from the Adiabatic theorem (special case)
 - q'energy folding: consequence of partial gauge fixing for AGP: $U(1) \rightarrow \mathbb{Z}$
- ▶ parallel-transport formulation leads to unique Floquet ground state
 - alternative decomposition of strobo. dynamics: geometric & dynamical phases
 - inherently nonequilibrium effects have geometric origin (*time crystals, anomalous topo. insulators*)
- ◎ ‘elementary’ families of periodic drives:
 - ▶ *Floquet decomposition*: $H(t) = H_F[t] + \mathcal{A}_F(t)$
 - (i) equilibrium ‘drives’: $\mathcal{A}_F \equiv 0 \implies H(t) = \text{const}$ static
 - (ii) pure-micromotion drives: $H_F \equiv 0 \implies U(t) = P(t)$ no heating
 - ▶ *Kato decomposition*: $H(t) = H_K(t) + \mathcal{A}_K(t)$





Summary & Outlook

PM Schindler and MB, arXiv: 2410.07029



Paul M Schindler

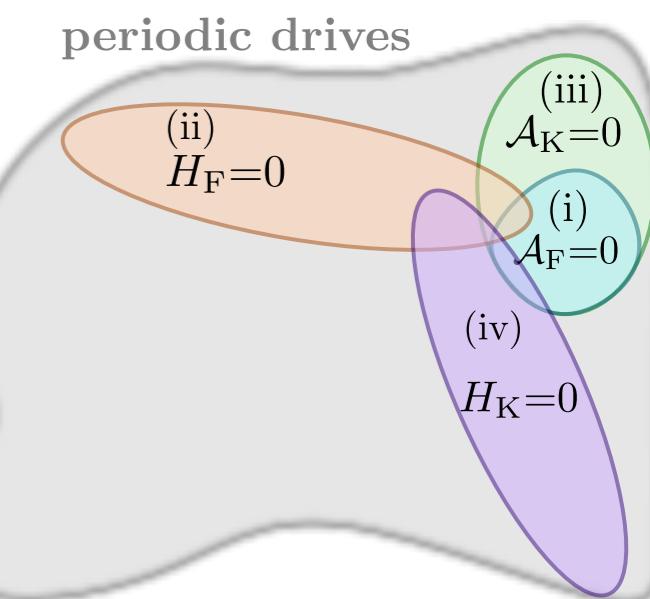
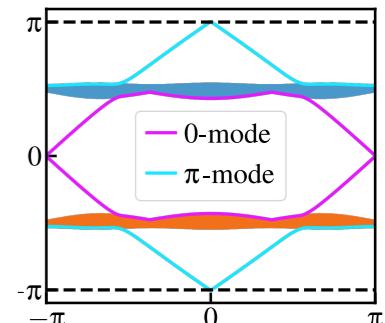
www.pks.mpg.de/nqd

❖ take-home messages:

- ▶ lab frame Hamiltonian $H(t)$ generates CD driving for Floquet Hamiltonian $H_F[t]$
 - Floquet's theorem follows from the Adiabatic theorem (special case)
 - q'energy folding: consequence of partial gauge fixing for AGP: $U(1) \rightarrow \mathbb{Z}$
- ▶ parallel-transport formulation leads to unique Floquet ground state
 - alternative decomposition of strobo. dynamics: geometric & dynamical phases
 - inherently nonequilibrium effects have geometric origin (*time crystals, anomalous topo. insulators*)

◎ ‘elementary’ families of periodic drives:

- ▶ *Floquet decomposition*: $H(t) = H_F[t] + \mathcal{A}_F(t)$
 - (i) equilibrium ‘drives’: $\mathcal{A}_F \equiv 0 \implies H(t) = \text{const}$ static
 - (ii) pure-micromotion drives: $H_F \equiv 0 \implies U(t) = P(t)$ no heating
- ▶ *Kato decomposition*: $H(t) = H_K(t) + \mathcal{A}_K(t)$
 - (iii) flat drives: $\mathcal{A}_K \equiv 0$
 - (iv) pure-geometric drives: $H_K \equiv 0 \implies U(t) = W(t)$ q'energy = geometric phase
(no Floquet ground state!)



Floquet resonances

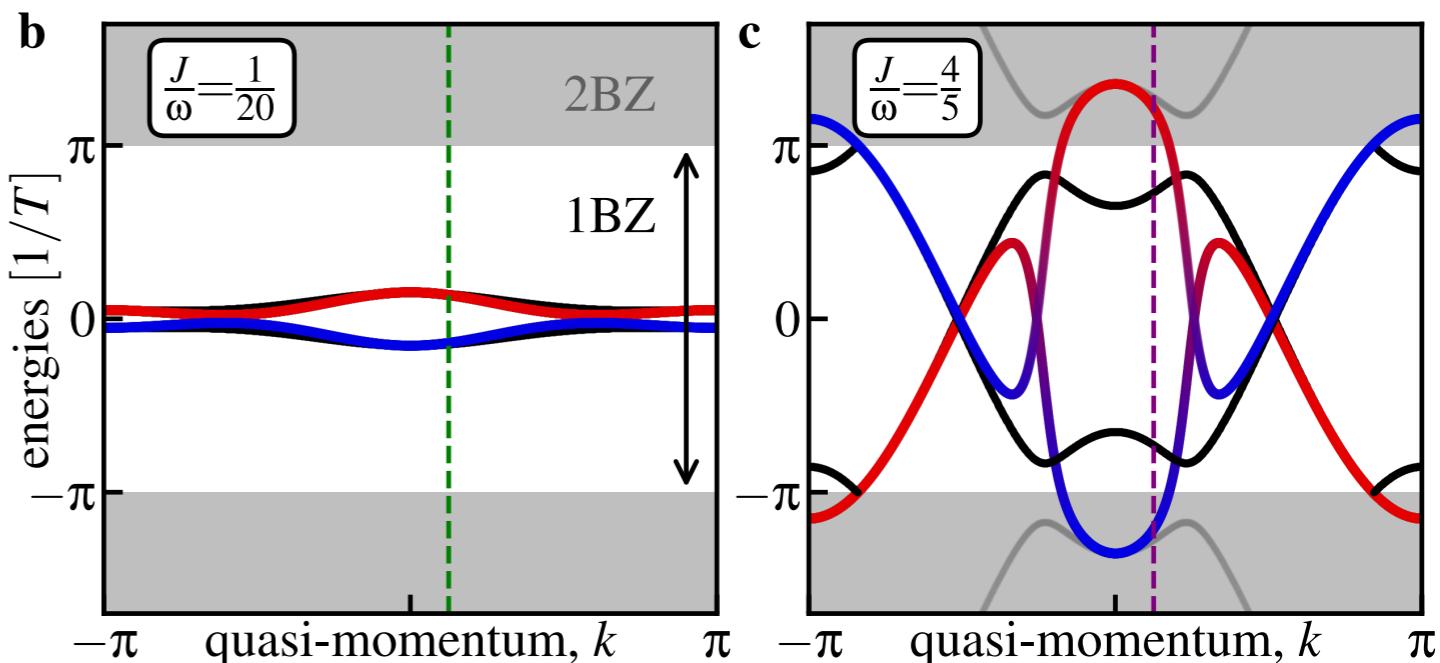
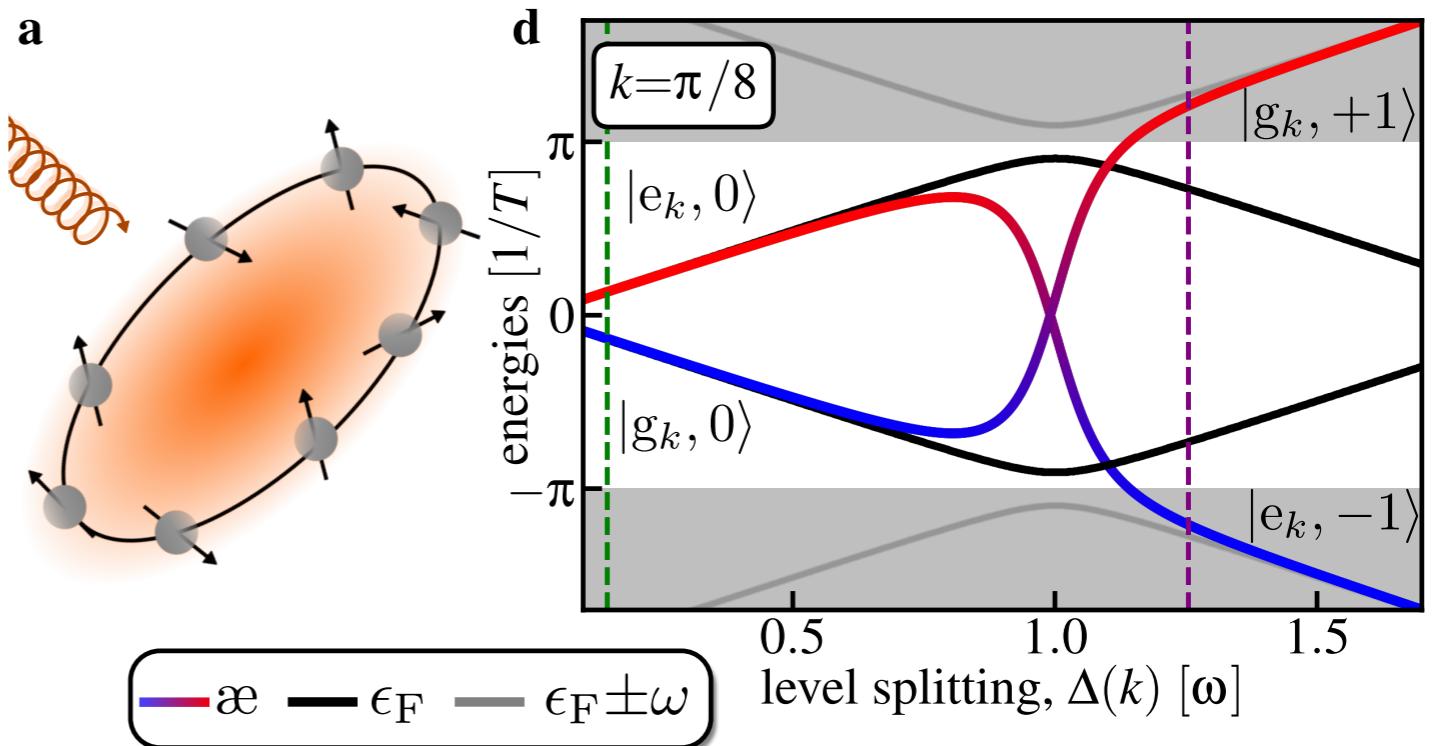
$$H(t) = \frac{1}{2} \sum_{n=1}^L \left[\left(J\sigma_{n+1}^+ \sigma_n^- + Aie^{-i\omega t} \sigma_{n+1}^+ \sigma_n^+ + \text{h.c.} \right) + \frac{g}{2} \sigma_n^z \right]$$

$$H(t) = \sum_k \psi_k^\dagger h(k, t) \psi_k$$

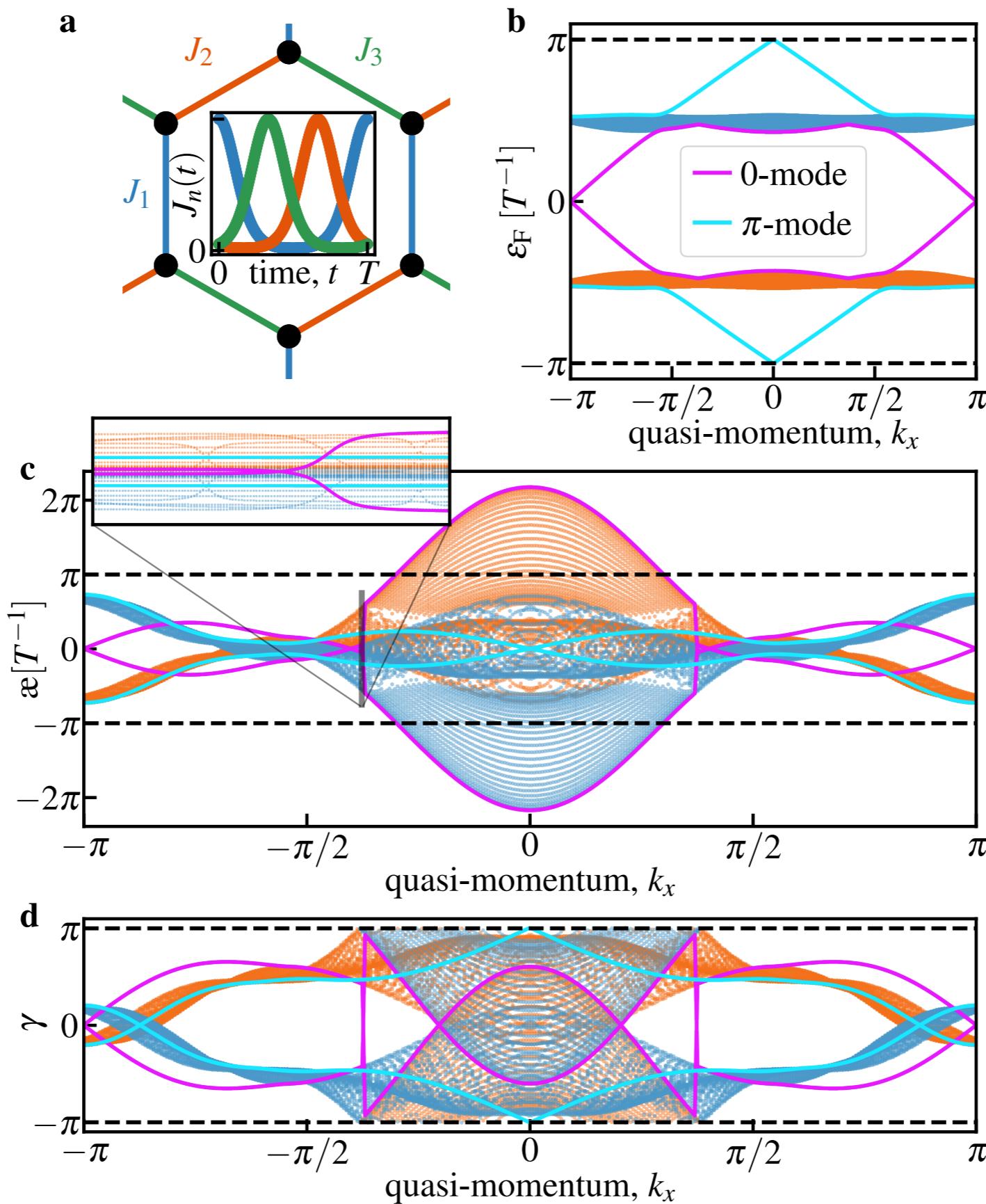
$$h(k, t) = \Delta_k \tau^z + A_k [\cos(\omega t) \tau^x + \sin(\omega t) \tau^y]$$

$$\Delta_k = g + J \cos(k)$$

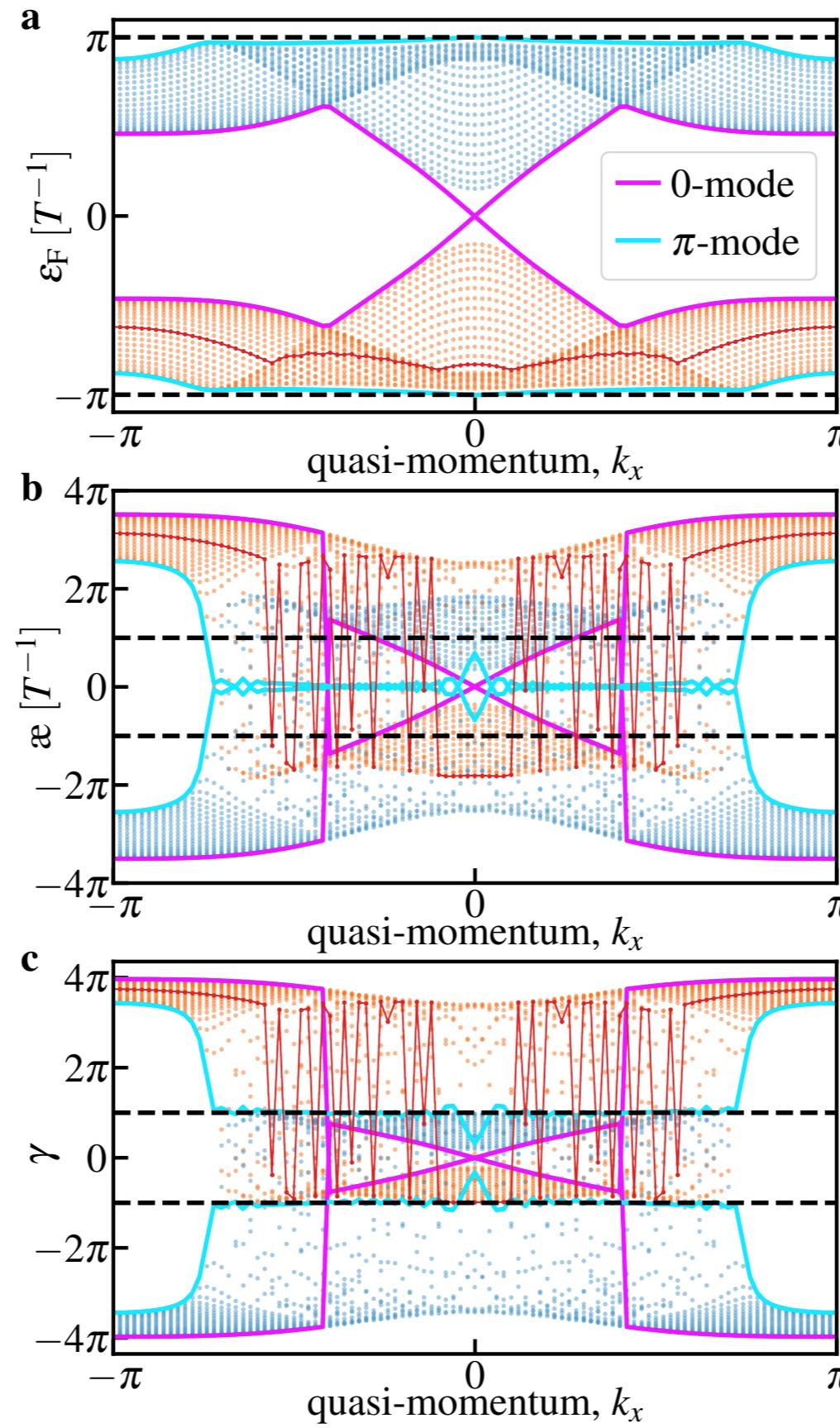
$$A_k = A \sin(k)$$



Anomalous Floquet topological insulators



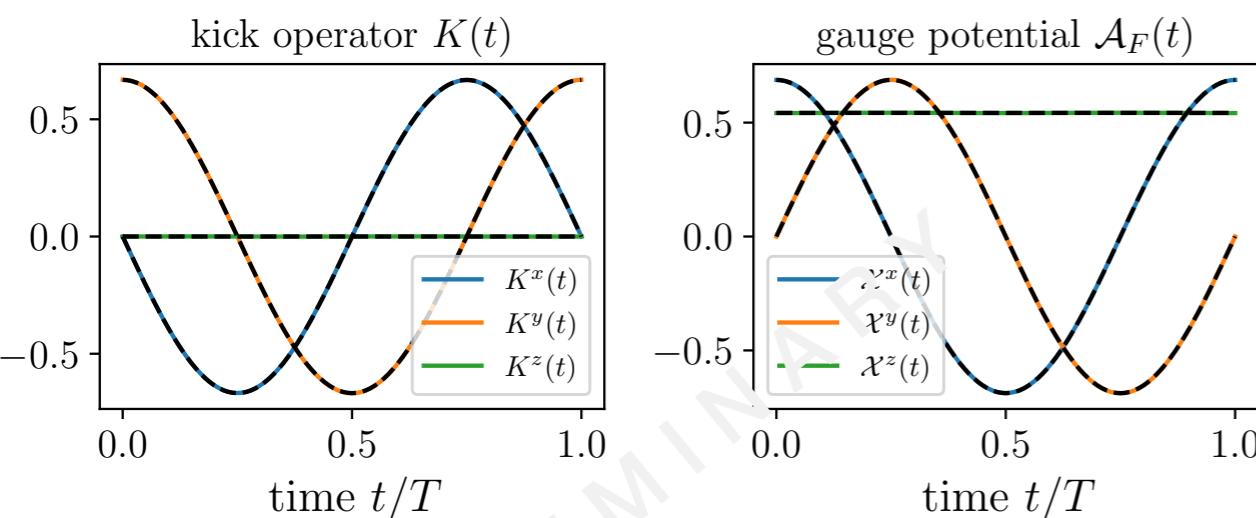
Anomalous Floquet topological insulators



Variational approximation of H_F

- 2LS: circular drive

$$H(t) = \frac{1}{2}\Delta Z + \frac{g}{2}(\cos \omega t X + \sin \omega t Y)$$

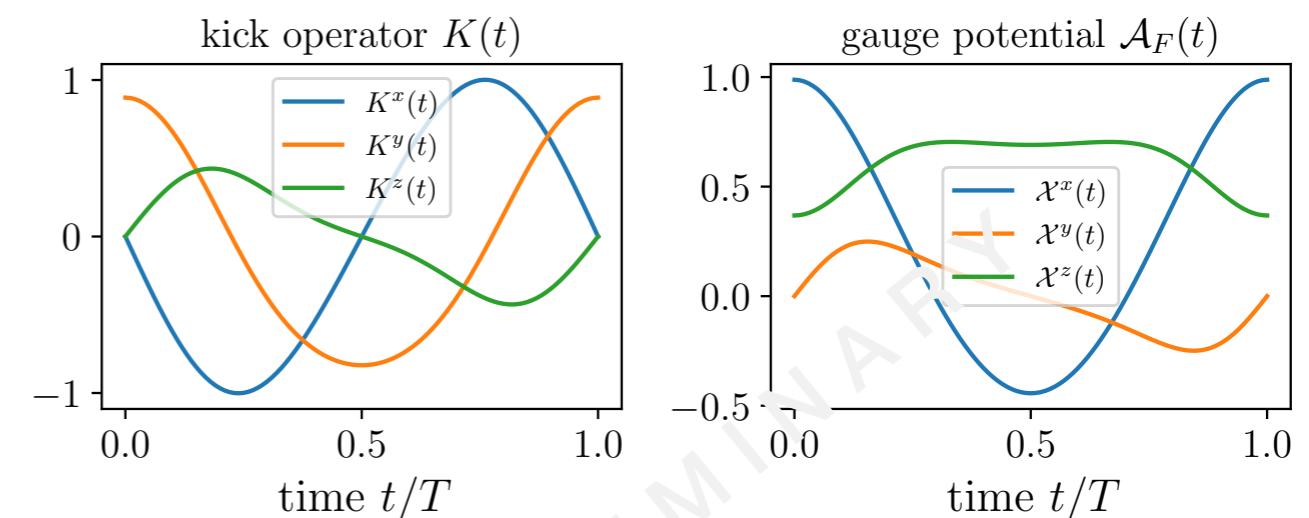


✓ matches exact solution (dashed line)

$$\omega/g = \sqrt{2}, \quad \Delta/g = 1$$

- 2LS: resonant linear drive

$$H(t) = \frac{1}{2}\Delta Z + \frac{g}{2}(1 + 2 \cos \omega t)X$$



✓ agrees with numerics (dashed line)

$$\omega/g = 2, \quad \Delta/g = 2$$

Variational approximation of H_F

- nonintegrable Ising chain:
$$H(t) = \sum_j JZ_{j+1}Z_j + h_z Z_j + h_x \sin \omega t X_j$$

- numerically compute exact H_F : **ground truth**

- compute approximation to H_F

- ▶ numerically, variational \mathcal{H}_F

$$K \in \left\{ \sum_j X_j, \sum_j Y_j, \sum_j Z_j, \sum_j X_j X_{j+1}, \sum_j Y_j Y_{j+1}, \sum_j Z_j Z_{j+1}, \sum_j X_j Y_{j+1} + Y_j X_{j+1}, \sum_j Y_j Z_{j+1} + Z_j Y_{j+1}, \sum_j Z_j X_{j+1} + X_j Z_{j+1} \right\}$$

- + keep up to 21 Fourier harmonics
 - ▶ analytically, Floquet-Magnus $H_{FM,n}$ (to a fixed order $n = 0, 1, 2$)

$$H_{FM}^{(0)} = \frac{1}{T} \int_0^T dt H(t) \quad H_{FM}^{(1)} = \frac{1}{2!Ti} \int_0^T dt_1 \int_0^{t_1} dt_2 [H(t_1), H(t_2)] \quad \dots$$

- compare time evolution operators: $\|e^{-iTH_F} - e^{-iT\mathcal{H}_F}\|$, $\|e^{-iTH_F} - e^{-iT\mathcal{H}_{FM}^{(n)}}\|$

Variational approximation of H_F

- nonintegrable Ising chain:

$$H(t) = \sum_j JZ_{j+1}Z_j + h_z Z_j + h_x \sin \omega t X_j$$

$$K \in \left\{ \sum_j X_j, \sum_j Y_j, \sum_j Z_j, \sum_j X_j X_{j+1}, \sum_j Y_j Y_{j+1}, \sum_j Z_j Z_{j+1}, \sum_j X_j Y_{j+1} + Y_j X_{j+1}, \sum_j Y_j Z_{j+1} + Z_j Y_{j+1}, \sum_j Z_j X_{j+1} + X_j Z_{j+1} \right\}$$

$$\|A - B\|^2 = 1 - \frac{1}{\dim(H)} \operatorname{Re} \operatorname{tr}(A^\dagger B)$$

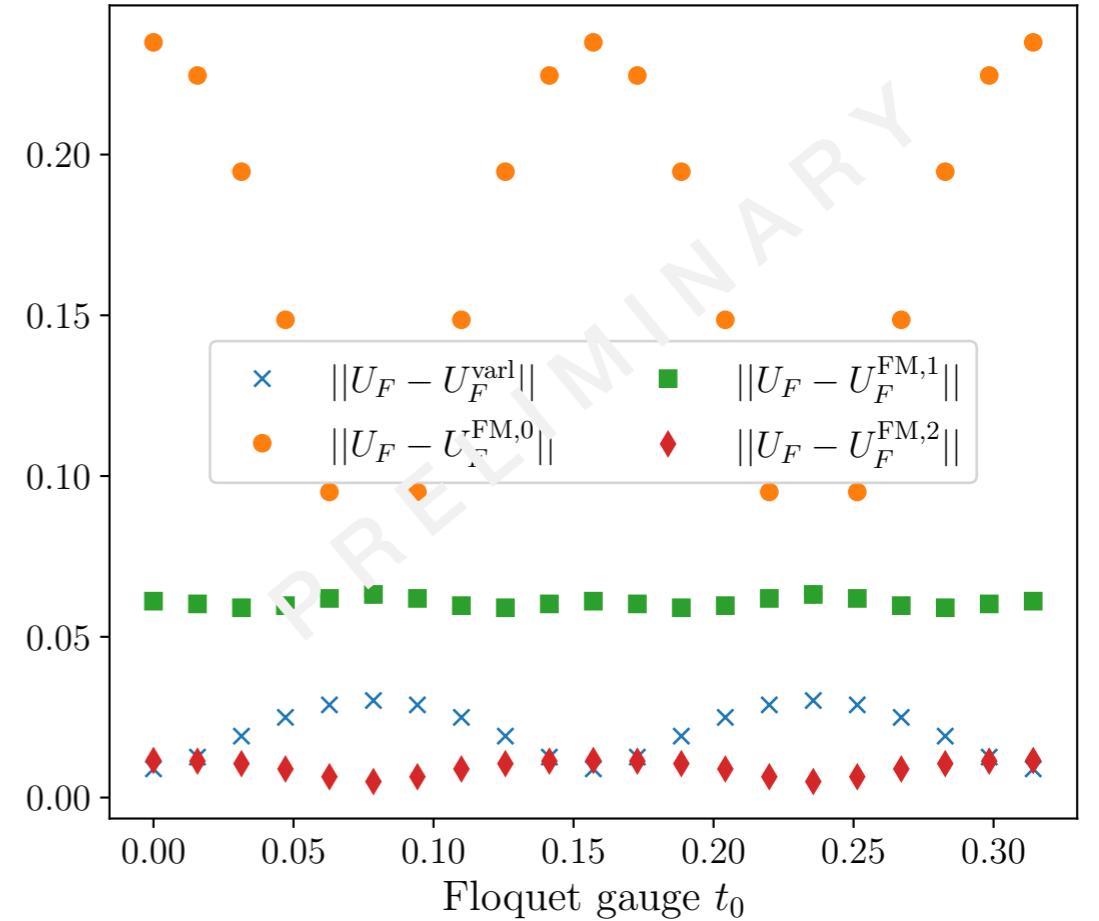
$$\|A - B\|^2 \in [0, 2]$$

$$U_F = e^{-iT\hat{H}_F}$$

$$\|e^{-iT\hat{H}_F} - e^{-iT\hat{\mathcal{H}}_F}\|$$

$$\|e^{-iT\hat{H}_F} - e^{-iT\hat{H}_{\text{FM}}^{(n)}}\|$$

high frequency regime



$$\omega/J = 20, \quad h_x/J = \sqrt{3}, \quad h_z/J = 0.9$$

► 2nd order FM: $[ZZ, [X, ZZ]] \sim ZXZ$, etc.

12 spins, 21 harmonics

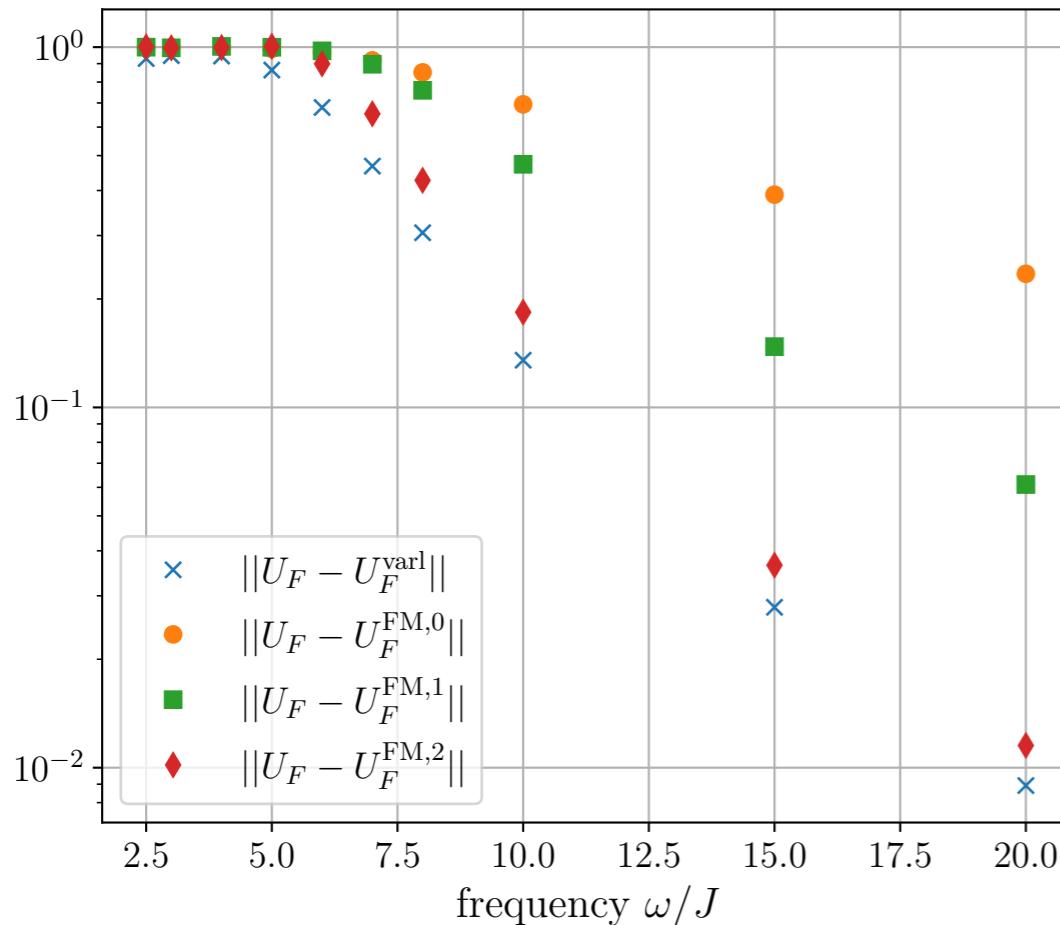
Variational approximation of H_F

- nonintegrable Ising chain:

$$H(t) = \sum_j JZ_{j+1}Z_j + h_z Z_j + h_x \sin \omega t X_j$$

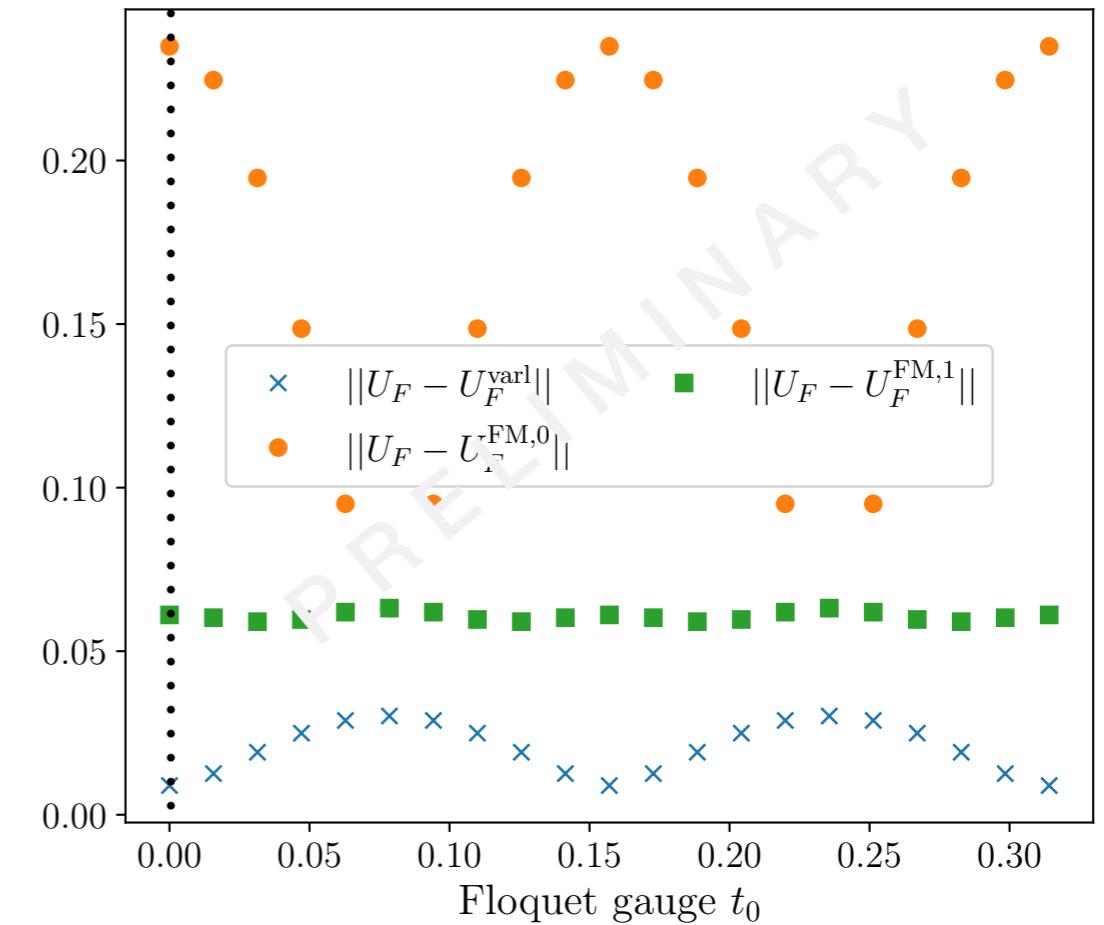
$$K \in \left\{ \sum_j X_j, \sum_j Y_j, \sum_j Z_j, \sum_j X_j X_{j+1}, \sum_j Y_j Y_{j+1}, \sum_j Z_j Z_{j+1}, \sum_j X_j Y_{j+1} + Y_j X_{j+1}, \sum_j Y_j Z_{j+1} + Z_j Y_{j+1}, \sum_j Z_j X_{j+1} + X_j Z_{j+1} \right\}$$

frequency scan



$$t_0 = 0$$

high frequency regime



$$\omega/J = 20, \quad h_x/J = \sqrt{3}, \quad h_z/J = 0.9$$

12 spins, 21 harmonics

$$\|A - B\|^2 = 1 - \frac{1}{\dim(H)} \operatorname{Re} \operatorname{tr}(A^\dagger B)$$

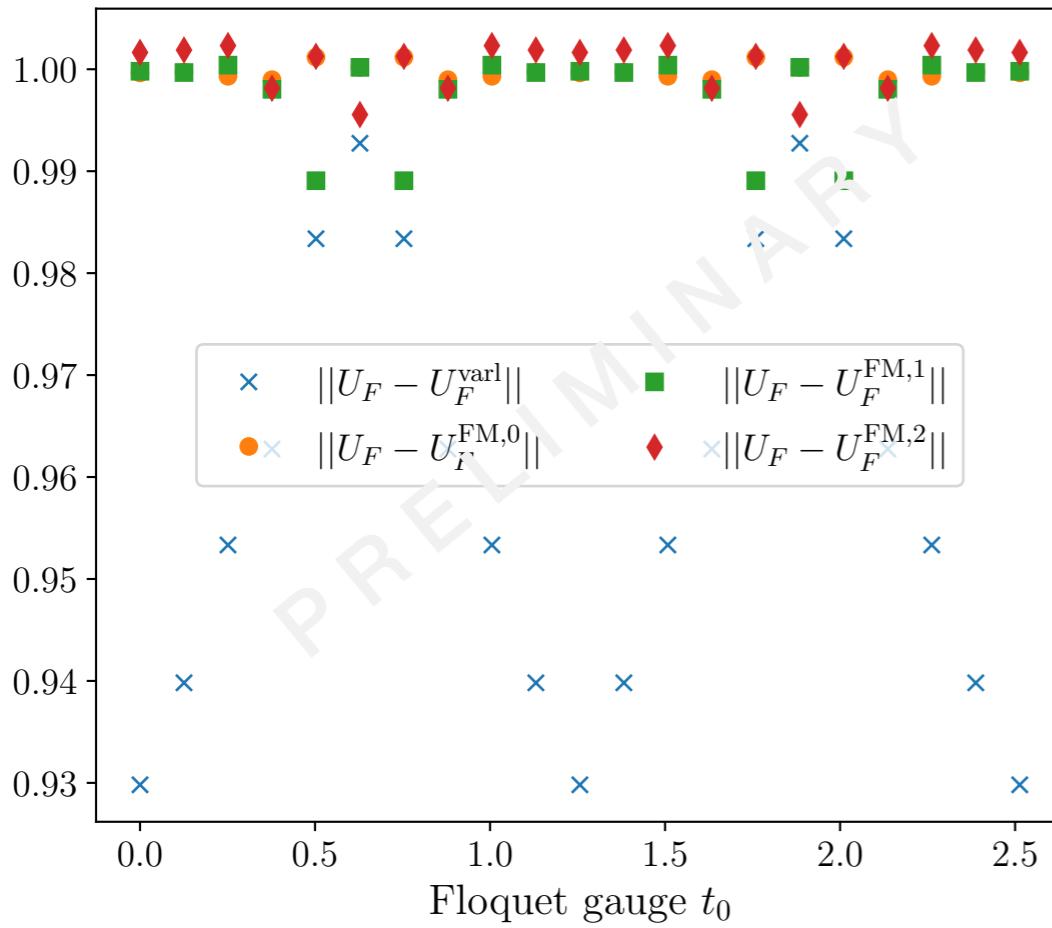
Variational approximation of H_F

- nonintegrable Ising chain:

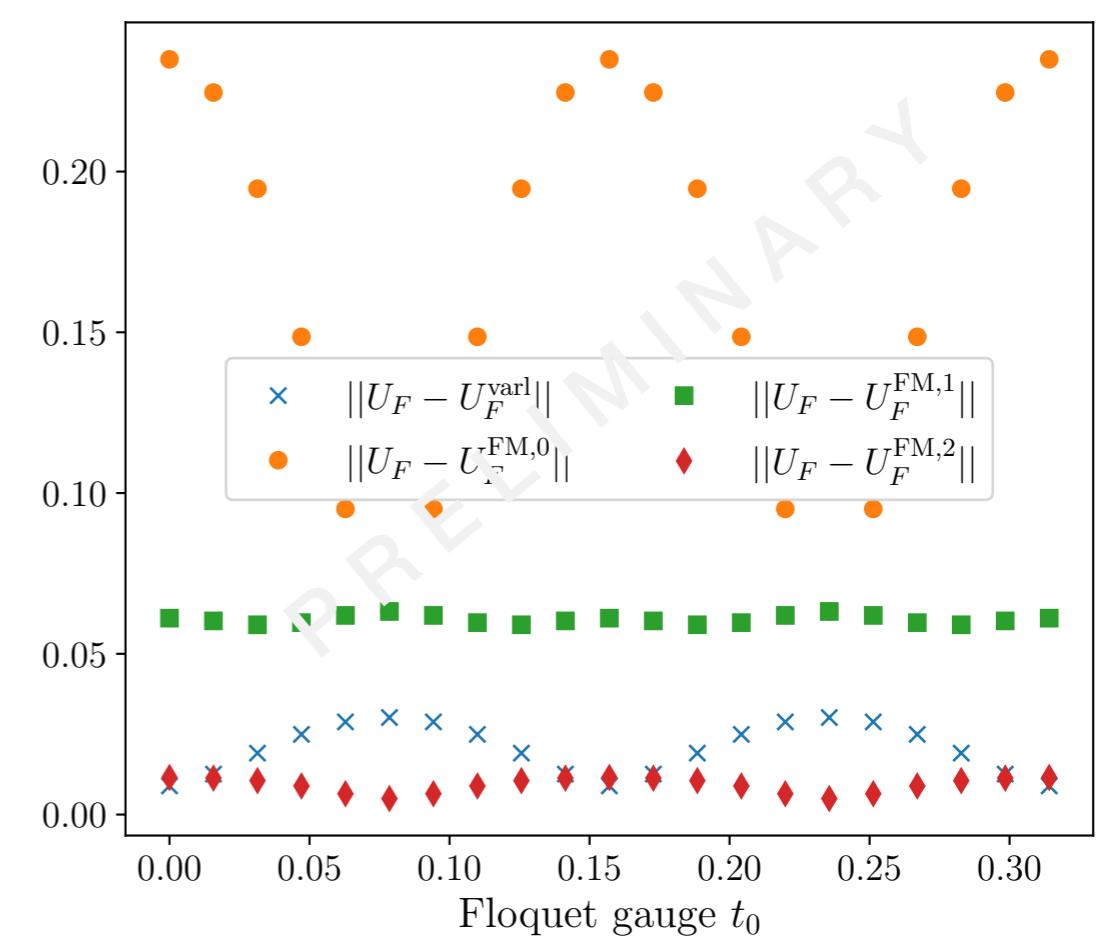
$$H(t) = \sum_j JZ_{j+1}Z_j + h_z Z_j + h_x \sin \omega t X_j$$

$$K \in \left\{ \sum_j X_j, \sum_j Y_j, \sum_j Z_j, \sum_j X_j X_{j+1}, \sum_j Y_j Y_{j+1}, \sum_j Z_j Z_{j+1}, \sum_j X_j Y_{j+1} + Y_j X_{j+1}, \sum_j Y_j Z_{j+1} + Z_j Y_{j+1}, \sum_j Z_j X_{j+1} + X_j Z_{j+1} \right\}$$

low frequency regime



high frequency regime



$$\omega/J = 2.5, \quad h_x/J = \sqrt{3}, \quad h_z/J = 0.9$$

✓ better than Floquet-Magnus expansion

$$\omega/J = 20, \quad h_x/J = \sqrt{3}, \quad h_z/J = 0.9$$

► 2nd order FM: $[ZZ, [X, ZZ]] \sim ZXZ$, etc.

12 spins, 21 harmonics

$$\|A - B\|^2 = 1 - \frac{1}{\dim(H)} \operatorname{Re} \operatorname{tr}(A^\dagger B)$$

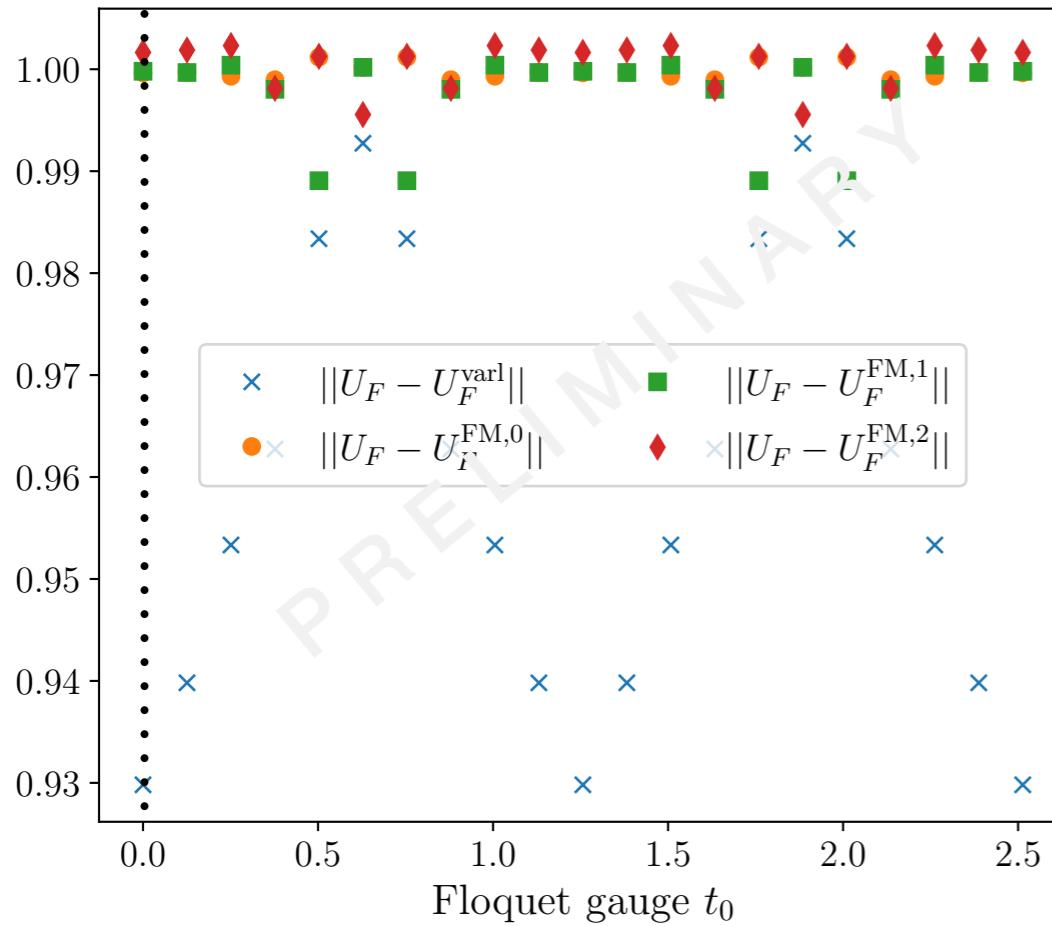
Variational approximation of H_F

- nonintegrable Ising chain:

$$H(t) = \sum_j JZ_{j+1}Z_j + h_z Z_j + h_x \sin \omega t X_j$$

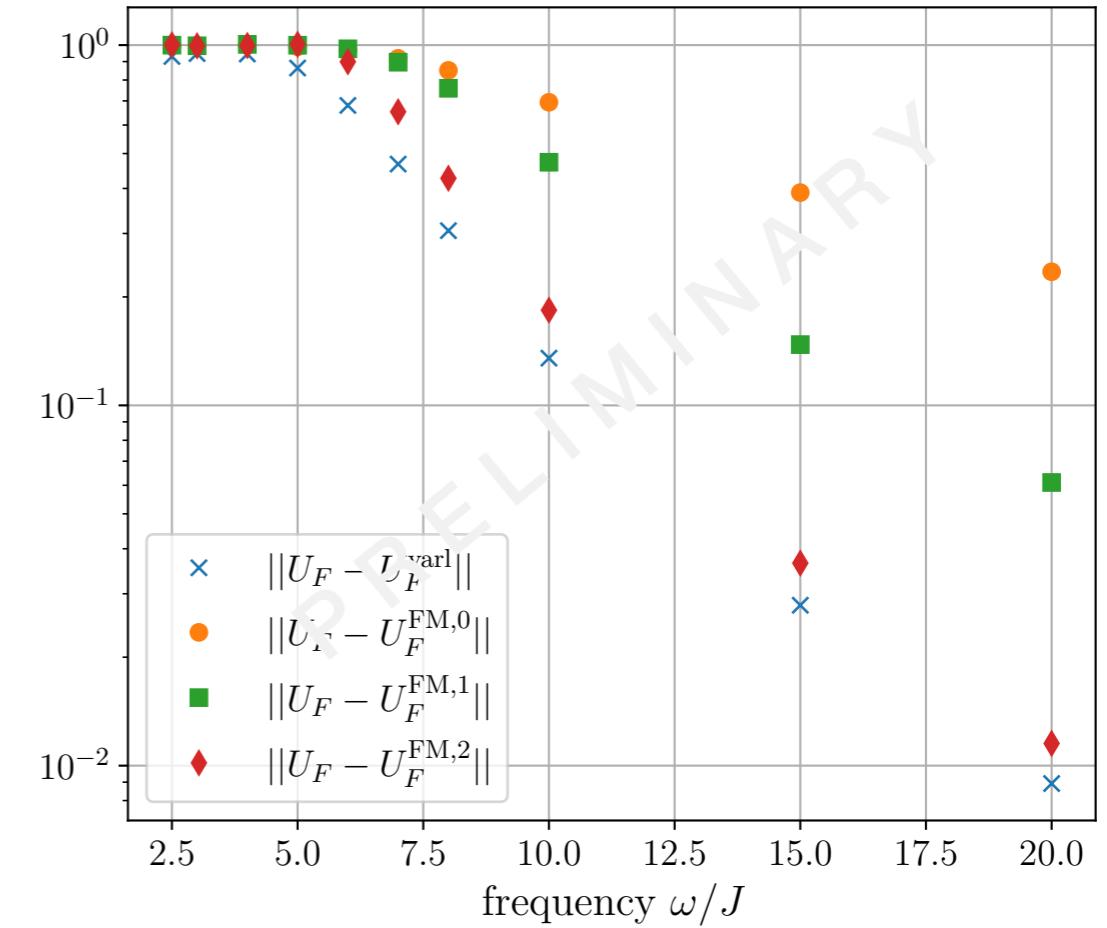
$$K \in \left\{ \sum_j X_j, \sum_j Y_j, \sum_j Z_j, \sum_j X_j X_{j+1}, \sum_j Y_j Y_{j+1}, \sum_j Z_j Z_{j+1}, \sum_j X_j Y_{j+1} + Y_j X_{j+1}, \sum_j Y_j Z_{j+1} + Z_j Y_{j+1}, \sum_j Z_j X_{j+1} + X_j Z_{j+1} \right\}$$

low frequency regime



$$\omega/J = 2.5, \quad h_x/J = \sqrt{3}, \quad h_z/J = 0.9$$

- ✓ better than Floquet-Magnus expansion



$$t_0 = 0$$

- 2nd order FM: $[ZZ, [X, ZZ]] \sim ZXZ$, etc.

$$\|A - B\|^2 = 1 - \frac{1}{\dim(H)} \operatorname{Re} \operatorname{tr}(A^\dagger B)$$

12 spins, 21 harmonics